



SEC Municipalities Continuing Disclosure Cooperation Initiative: A Call to All Municipal Issuers

Monday, April 28, 2014
10:00 AM - 11:00 AM Pacific Time

WEBINAR

On March 10, 2014, the Securities and Exchange Commission (SEC) announced a new cooperative enforcement initiative designed to encourage any issuers and underwriters of municipal securities to self-report certain violations of the federal securities laws. Issuers and underwriters have up until September 10, 2014, under the Municipalities Continuing Disclosure Cooperation Initiative (the “MCDC Initiative”) to self-report materially inaccurate statements in a final official statement regarding the issuer’s prior compliance with its continuing obligations as described in Rule 15c2-12. The SEC offers no assurances to issuer and underwriters who do not take advantage of the MCDC Initiative. The webinar will provide information on the MCDC Initiative, including:

- The origins of the MCDC Initiative and why it is advantageous for issuers and underwriters to participate.
- Issuers and underwriters who should consider self-reporting under the MCDC Initiative.
- The process of self-reporting under the MCDC Initiative.

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Webinar Coordinator: CDIAC
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