

## Annual Applicant Public Benefits and Ongoing Compliance Self-Certification “Self-Certification”

**ACKNOWLEDGMENT:** The California Debt Limit Allocation Committee (“CDLAC” or “Committee”) does not expect to hold an Applicant (Issuer) responsible for conditions they are not aware of; only for the Applicant to confirm their understanding of the status of the project/program based upon their own post-issuance compliance procedures. CDLAC will not review the Applicant’s procedures, and in good faith, will assume that the Applicant has in-place procedures they judge to adequately satisfy their post-issuance responsibilities as defined under the Internal Revenue Code and CDLAC Regulations. An Applicant is free to request project information from the Project Sponsor and rely on that information if they believe it satisfies their own compliance procedures and responsibilities. That information can then serve as the basis for the Applicant’s response to the questions within this certification.

**INSTRUCTIONS:** Per the CDLAC Regulations, all Projects/Programs within an existing bond regulatory period and/or CDLAC compliance period shall be monitored for compliance with the terms and conditions of the Committee Resolution by the Applicant (Issuer). Mortgage Credit Certificate Single Family Housing Programs with outstanding authority shall be monitored for the same requirements. The Applicant shall complete and submit the Annual Applicant Public Benefits and On-going Compliance Self Certification via the CDLAC Online Compliance Certification System. The self-certification must be submitted by the Applicant to CDLAC no later than March 1 of each year (or at such other time as defined in the CDLAC Regulations or requested by the Committee).

### **ALL APPLICANTS: Applicant/Issuer Certification of Delivery of Public Benefits**

**(All) Applicant/Issuer Name:**

**(All) Project Name (N/A for Single Family Housing Programs):**

**(All) Program Type (QRRP, SFH, EXEMPT, IDB, Etc.):**

**(All) Application Number(s)**

**(All) Resolution Number(s):**

**(All) Property Address (N/A for Single Family Housing Programs):**

**(All) Project Completion Date** (~~For QRRP Projects:~~ Enter Placed in Service Date or program completion date)

(QRRP PRIOR TO 2017) Has the Applicant received the Project Sponsor’s complete Compliance Certification for this reporting period? (Applicable to projects awarded allocation after 2000) If no, please explain or indicate “Not Applicable”.

(All 2017 and BEYOND) Has the Applicant received the Project Sponsor’s complete Compliance Certification II for this reporting period?

(All 2017 and BEYOND) If the Project Sponsor/Borrower indicated in the Certification of Compliance II or like form:

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- a. A change in project name , please provide the new project name
- b. A change in ownership affecting the CDLAC resolution, please provide contact information for the new owner
- c. A change in Issuer, please provide the new Issuer name
- d. All bonds have been redeemed, please provide the redemption notice
- e. A notice or event of default or of foreclosure has occurred, please explain

(ALL 2017 AND BEYOND) \*Please note if any of these circumstances have occurred, request to revision to the CDLAC resolution

(QRRP PRIOR TO 2017) Has the project satisfied the following requirements as memorialized in the Exhibit A of the CDLAC Resolution and bond regulatory agreement:

- ~~2. QRRP ONLY: Has the Applicant received the Project Sponsor’s complete Compliance Certification for this reporting period? (Applicable to projects awarded allocation after 2000) If no, please explain or indicate “Not Applicable”.~~
3. QRRP PRIOR TO 2017 ONLY: Has the project satisfied all of the income rent requirements memorialized in the Exhibit A (Applicable to projects awarded allocation after 2000)? If no, please explain or indicate “Not Applicable”.
4. ALL QRRP ONLY: Has the project satisfied all of the income rent requirements memorialized in the bond regulatory agreement? If no, please explain.
5. QRRP PRIOR TO 2017 ONLY: Is the Project currently providing service amenities on a regular and ongoing basis? (Note: services must be provided for the minimum committed term beginning after the project has been placed in service) If no, please explain or indicate “Project did not commit to Service amenities; or Project has completed term of commitment”.
6. ALL PRIOR TO 2017: Has the project/program satisfied all other requirements as memorialized in the Exhibit A? If no, please explain.
7. QRRP ONLY 2017 and BEYOND: If the Project Sponsor/Borrower indicated in the Certification of Compliance II or like form:
  - a. Has the regulatory agreement has been terminated, if yes please explain
  - b. Are the number of Federal Bond Restricted Units and Other Restricted Units are not consistent with the CDLAC resolution, if not please explain
  - c. Is the 10% at 50% general distribution requirement is being met in a matter inconsistent with the CDLAC resolution, if no please explain.
  - d. Are the service amenities are not being provided in a manner consistent with the CDLAC resolution, if no please explain.

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8. IDB 2017 and BEYOND ONLY: If the Project Sponsor/Borrow indicated job had been created or retained
  - a. Please report on how many jobs were created or retained
9. SINGLE FAMILY 2017 and BEYOND ONLY: Has the single family program met the income and geographical targets identified in the CDALC resolution? If no please explain.