

Borrower Name _____
Lender/Participating Financial Institution _____
Lender Loan No. _____

**HEAVY-DUTY VEHICLE AIR QUALITY LOAN PROGRAM
(HDV AIR QUALITY LOAN PROGRAM)
BORROWER ELIGIBILITY CRITERIA AND SELF-CERTIFICATION FORM**

The undersigned Borrower hereby applies to the California Pollution Control Financing Authority (CPCFA) for funds to pay the Borrower's premium for participation in the CPCFA's California Capital Access Program (CalCAP). The lender will submit this form with the CalCAP CARB Programs Loan Enrollment Form to CPCFA at:

California Pollution Control Financing Authority (CPCFA)
801 Capitol Mall, 2nd Floor
Attention: California Capital Access Program (CalCAP)
Sacramento, CA 95814
Fax: (916) 589-2805

For assistance, lenders please call CPCFA staff at (916) 654-5610.

**SECTION I
HDV AIR QUALITY LOAN PROGRAM ELIGIBILITY**

By initialing on each line, Borrower certifies to eligibility under the HDV Air Quality Loan Program.

(a)___ Borrower will use the program only to purchase on-road heavy duty vehicles and equipment for compliance with the California Air Resources Board's (CARB) Statewide In-Use Truck and Bus Regulation (Regulation) (California Code of Regulations, title 13, Section 2025), refer to:
<https://ww2.arb.ca.gov/our-work/programs/truck-bus-regulation/truck-and-bus-regulation-regulation-advisories>

Eligible purchases with loan proceeds include:

- Used and new trucks equipped with 2010 and later model year engines CARB-certified to 2010 and later model year emission standards;
- Equipment Warranty, when funded with the purchase of an eligible truck; and
- The engines can use diesel fuel, compressed natural gas (CNG), liquefied natural gas (LNG), or other fuels including zero-emission technology.

(b)___ Borrower certifies that his/her fleet has 10 or fewer on-road vehicles subject to the Regulation referenced in the above statement, or is a non-profit entity that meets all other CalCAP requirements. Consistent with fleet reporting requirements in California Code of Regulations, title 13, section 2025, the Borrower shall include vehicles whether or not they are registered, and include vehicles registered as non-operating. In Section III, the Borrower shall provide the total number of on-road heavy-duty vehicles in his/her fleet subject to the Regulation. *(Non-profit organizations may have more than 10 vehicles but must satisfy all other requirements of the CalCAP and HDV Air Quality Loan Programs).*

(c)___ Borrower certifies that he/she has 100 or fewer employees and \$10 million or less in annual revenues averaged over the prior three (3) years.

(d)___ Borrower is aware that CARB is developing the Advanced Clean Fleet regulation which would require State and local government fleets, drayage trucks, high priority fleets, and federal fleets to phase in medium- and heavy-duty zero-emission vehicles (ZEVs), and light-duty package delivery ZEVs over time. The proposed regulation sets an end date for combustion-powered new medium- and heavy-duty vehicle sales in California. Complete regulatory language, and the current status of the regulatory development, can be found at: <https://ww2.arb.ca.gov/rulemaking/2022/acf2022>. Borrower understands they may be subject to potential future obligations under this and other regulations requiring transition to zero-emission technology. Borrower is aware their purchase with the enrolled loan may affect their compliance.

(e)___ Borrower has submitted a public attestation online at: CAZEVLaborLawcompliance.org that they are in full compliance with all labor laws and other conditions as required by Assembly Bill 794 (Statutes of 2021).

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By initialing either (f) (g), or (h) below, Borrower certifies that either (f) (g), or (h) is true and correct. If Borrower has not received a CARB grant or is not approved for a voucher below, DO NOT INITIAL ANY OF THE STATEMENTS.

(f)_____ Borrower certifies that he/she has received a grant through CARB's Proposition 1B Goods Movement Emission Reduction Program for the vehicle(s) being financed.

(g)_____ Borrower certifies that he/she has received a grant through the CARB's Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP) for the vehicle(s) being financed.

(h)_____ Borrower certifies that he/she is approved for a voucher for CARB's Hybrid and Zero-Emission Truck and Bus Voucher Incentive Project (HVIP) to purchase or lease a hybrid or zero-emission truck or bus.

SECTION II CalCAP ELIGIBILITY

By initialing on each line, the Borrower certifies to eligibility under CalCAP.

(a)_____ Borrower is a qualified business as defined in the CalCAP regulations section 8078.22.

(b)_____ Borrower obtained a loan that is for a vehicle registered in California with the Department of Motor Vehicles.

(c)_____ Borrower agrees to allow the participating financial institution to provide information from financial records of the Borrower upon request of the Executive Director of CPCFA.

(d)_____ Borrower has no legal, beneficial, or equitable interest in the fees or the contribution.

(e)_____ Borrower does not have a total enrolled principal amount in excess of \$2,500,000 at any CalCAP participating financial institution over a three (3) year period.

(f)_____ Borrower has secured or made application for all applicable licenses or permits needed to conduct its business.

(g)_____ Borrower has received CPCFA's CalCAP/CARB Privacy Notice dated December 5, 2018.

(h)_____ Borrower is not: an executive officer, director or principal shareholder of the lender/ participating financial institution; a member of the immediate family of those individuals; or a related interest of those individuals.

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SECTION III

By initialing below, the Borrower certifies that each statement is true and correct.

- (1) _____ Borrower certifies that the qualified business meets state and federal requirements to operate in California.
 (2) _____ Borrower agrees to allow CARB staff or its designee to inspect the affected vehicle.

The Borrower is to provide the applicable information in the table below, including the engine manufacturer and model year of a truck that is replaced with a truck purchased with loan proceeds.

Additional Information for Completion by the Borrower				
Fleet Size^a				
For Truck Purchases				
For truck purchased, state the GVWR^b:				
	Engine Manufacturer	Engine Model Year	Engine Horsepower	Fuel Type ^c
New Vehicle Purchase				
Used Vehicle Purchase				
Replaced Truck (if purchase is to replace an existing truck)				
DID BORROWER RECEIVE A NOTICE OF NON-COMPLIANCE FROM CARB? <input type="checkbox"/> YES <input type="checkbox"/> NO				
For Trailer Purchases^d				
	Trailer Manufacturer	Trailer Model Year	SmartWay Certified? (Yes or No)	
Trailer				

^a The Borrower shall write in the total number (example: 8) of on-road vehicles subject to the Regulation referenced in the beginning of this form, in his or her fleet.

^b Information about Gross Vehicle Weight Rating (GVWR) is available at <http://www.arb.ca.gov/msprog/truckstop/azregs/labels.htm>.

^c Fuel Codes: D-Diesel, E-Electric, N-Natural Gas, Q-Hybrid, P-Propane.

^d Trailers may be eligible for financing ONLY in conjunction with the purchase of an eligible tractor.

 (Business Name)

The undersigned declares under penalty of perjury, under the laws of the State of California, that all statements and responses made in this self-certification are true and correct, with full knowledge that all statements and responses are subject to investigation and that any incomplete, unclear, false, or dishonest response may be grounds for Borrower's disqualification in the HDV Air Quality Loan Program and may also result in Borrower being barred from participating in any other California-sponsored or other state programs, or from doing business with the State of California. The Borrower acknowledges, understands and accepts that by providing or making any false statements or providing false information, the Borrower may be in a violation of the California False Claims Act (Government Code Section 12650 et seq.).

 (Print Individual's Name)

 (Individual's Title as it pertains to the business)

 (Individual's Signature)

 (Date)

 (Business Address, City, State, Zip Code)

 (Phone Number)

 (CPCFA Review: Signature and Date)

<i>CPCFA USE ONLY</i>	