

Completing CARB Loan Enrollment Application

(<http://www.treasurer.ca.gov/cpcfa/calcap/arb/tools.asp>)

1

✓ **Tip #1:**
Use the *Tools*
for Lenders
webpage.

The screenshot shows the website of the California State Treasurer, Fiona Ma, CPA. The page is titled "California Air Resources Board (CARB) Independent Contributor for the Heavy-Duty Vehicle Air Quality Loan Program". Under the heading "Tools for Lenders", there is a list of links: "Lender Manual", "Completing CARB Loan Enrollment Application", "Change in Terms", "North American Industry Classification System (NAICS) Code", "Census Tract Tool", "Census Tract Tool (guided walkthrough)", "Authorized Retrofits", "Claim Interest Calculator", "Recapture Opt-in", "Recapture Worksheet Formula & Examples", and "Frequently Asked Questions". The "Frequently Asked Questions" link is highlighted in yellow. Blue arrows point to the URL in the browser address bar, the "Tools for Lenders" section, and the "Frequently Asked Questions" link.

https://www.treasurer.ca.gov/cpcfa/calcap/arb/tools.asp

TO Intranet STO CPCFA CCR STO CalCAP CARB CARB Zions North American Ind... EDD EDD Census Map

Home | Open Government | Careers | Contact

Search

Home | CPCFA Home | Contacts | Publications and Annual Reports

Home -> CPCFA -> CalCAP -> California ARB On-Road Heavy-Duty Vehicle Air Quality Loan Program -> Tools for Lenders

CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY

California Air Resources Board (CARB) Independent Contributor for the Heavy-Duty Vehicle Air Quality Loan Program

CALIFORNIA CAPITAL ACCESS PROGRAM

Tools for Lenders

- Lender Manual
- Completing CARB Loan Enrollment Application
- Change in Terms
- North American Industry Classification System (NAICS) Code
- Census Tract Tool
- Census Tract Tool (guided walkthrough)
- Authorized Retrofits
- Claim Interest Calculator
- Recapture Opt-in
- Recapture Worksheet Formula & Examples
- Frequently Asked Questions

Completing CARB Loan Enrollment Application – Common Errors & Omissions (continued)

2

✓ **Tip 2:** Check to see that the Borrower's Name, DBA & Address are CONSISTENT.



Borrower Information

Name _____ DBA _____

Address _____ City _____ County _____ Zip _____

Type of Business/Activities _____

Average Annual Revenue Last 3 Years \$ _____

Number of Employees _____ Jobs created _____ Jobs retained _____

Will loan monies be used at above address? ☐ Yes ☐ No If no, location where loan will be used:

Address _____ City _____ County _____ Zip _____

NAICS Code _____ Census Tract # _____
<http://www.census.gov/eos/www/naics/> <http://www.fiec.gov/Geocode/default.aspx>

Purpose of Loan _____

Is business minority owned? ☐ Yes ☐ No ☐ Decline to Answer

Is business woman owned? ☐ Yes ☐ No ☐ Decline to Answer

Is business veteran owned? ☐ Yes ☐ No ☐ Decline to Answer

(Business Name)

(Print Individual's Name)

(Individual's Signature)

(Business Address, City, State, Zip Code)

(Individual's Title as it pertains to the business)

(Date)

(Phone Number)

| | |
|----------------|--|
| CPCFA USE ONLY | |
| | |

(CPCFA Review: Signature and Date)

Revised August 15, 2017

Borrower Certification - Page 3

CARB Enrollment Application

Completing CARB Loan Enrollment Application

Common Errors & Omissions (continued)

3

✓ **Tip 3:** All census tract entries should include the state, county & tract codes – a total of 11 digits.

Borrower Information

Name _____ DBA _____

Address _____ City _____ County _____ Zip _____

Type of Business/Activities _____

Average Annual Revenue Last 3 Years \$ _____

Number of Employees _____ Jobs created _____ Jobs retained _____

Will loan monies be used at above address? ☐ Yes ☐ No If no, location where loan will be used:

Address _____ City _____ County _____ Zip _____

NAICS Code _____ **Census Tract #** 06 067 0011.01

<http://www.census.gov/eos/www/naics/> <http://www.ffiec.gov/Geocode/default.aspx>

Purpose of Loan _____

Is business minority owned? ☐ Yes ☐ No ☐ Decline to Answer

Is business woman owned? ☐ Yes ☐ No ☐ Decline to Answer

Is business veteran owned? ☐ Yes ☐ No ☐ Decline to Answer

<http://ffiec.gov/Geocode/default.aspx>

Matched Address

| | |
|-------------|---------------------------------------------|
| Address | 915 CAPITOL MALL, SACRAMENTO, CA, 95814 |
| MSA/MD Code | 40900 |
| State Code | 06 |
| County Code | 067 |
| Tract Code | 0011.01 |
| MSA/MD Name | SACRAMENTO--ROSEVILLE-- ARDEN-ARCADE, CA |
| State Name | CALIFORNIA |
| County Name | SACRAMENTO COUNTY |

Census Demographic Data

Completing CARB Loan Enrollment Application

Common Errors & Omissions (continued)

4

✓ **Tip 4:** On Borrower Certification - page 1 borrower initials are only needed on Items d, e, and f if the borrower received an CARB grant or voucher.

HEAVY-DUTY VEHICLE AIR QUALITY LOAN PROGRAM (HDV AIR QUALITY LOAN PROGRAM) BORROWER ELIGIBILITY CRITERIA AND SELF-CERTIFICATION FORM

By initialing either (d) (e), or (f) below, Borrower certifies that either (d) (e), or (f) is true and correct. If Borrower has not received a ARB grant or is not approved for a voucher below, DO NOT INITIAL ANY OF THE STATEMENTS.

(d) _____ Borrower certifies that he/she has received a grant through ARB's Proposition 1B Goods Movement Emission Reduction Program for the vehicle(s) being financed.

(e) _____ Borrower certifies that he/she has received a grant through the ARB's Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP) for the vehicle(s) being financed.

(f) _____ Borrower certifies that he/she is approved for a voucher for ARB's Hybrid and Zero-Emission Truck and Bus Voucher Incentive Project (HVIP) to purchase or lease a hybrid or zero-emission truck or bus.

Revised August 15, 2017

Borrower Certification – page 1

Completing CARB Loan Enrollment Application

Errors & Omissions (continued)

5

✓ **Tip 5:** Follow these reminders when completing Page 3 of Borrower Certification.

Fleet size: Enter number of vehicles in borrower's fleet prior to this purchase.

GVWR: Enter truck's Gross Vehicle Weight Rating.

Replaced Truck: Not needed unless borrower received a CARB voucher or grant for replaced truck.

Did Borrower Receive a Notice of Non-Compliance from CARB?

Signers title: Provide signer's name as it relates to the business (eg. CEO, Owner, etc).

Borrower Name _____
Lender/Participating Financial Institution _____
Lender Loan No. _____

SECTION III

By initialing on each line, the Borrower certifies that each statement below is true and correct. Please also provide the applicable information in the table below, including the engine manufacturer and model year of a truck that is replaced with a truck purchased with loan proceeds.

(a) _____ Borrower certifies that he/she meets state and federal requirements to operate in California.
(b) _____ Borrower agrees to allow CARB staff or its designee to inspect the affected vehicle.

| Additional Information for Completion by the Borrower | | | | |
|----------------------------------------------------------------------------------------------------------------------------|----------------------|--------------------|---------------------------------|------------------------------|
| Fleet Size* | | | | |
| For Truck Purchases | | | | |
| For truck purchase, state the GVWR^b: | | | | |
| | Engine Manufacturer | Engine Model Year | Engine Horsepower | Fuel Type^c |
| New Purchase | | | | |
| Used Purchase | | | | |
| Replaced Truck (if purchase is to replace an existing truck) | | | | |
| DID BORROWER RECEIVE A NOTICE OF NON-COMPLIANCE FROM CARB? <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | |
| For Trailer Purchases^d | | | | |
| | Trailer Manufacturer | Trailer Model Year | SmartWay Certified? (Yes or No) | |
| Trailer | | | | |

* The Borrower shall write in the total number (example: 8) of on-road vehicles subject to the Regulation referenced in the beginning of this form, in his or her fleet.
^b Information about Gross Vehicle Weight Rating (GVWR) is available at <http://www.arb.ca.gov/msprog/truckstop/azregs/labels.htm>.
^c Fuel Codes: D-Diesel, E-Electric, N-Natural Gas, Q-Hybrid, P-Propane.
^d Trailers may be eligible for financing ONLY in conjunction with an eligible tractor.

(Business Name)

(Print Individual's Name)

(Individual's Signature)

(Business Address, City, State, Zip Code)

(Individual's Title as it pertains to the business)

(Date)

(Phone Number)

CPCFA USE ONLY

Completing CARB Loan Enrollment Application

Common Errors & Omissions (continued)

6

✓ **Tip 6:** Send enrollment documents in the following order when submitting your loan enrollment documents to CalCAP and use these helpful links.

Submit to CalCAP@treasurer.ca.gov

1. CARB (or CalCAP) Enrollment Application
2. Lender Certification
3. Borrower Certification (followed by Docu-Sign Cert, if applicable)
4. NAICS verification
5. Google Map printout (if census tract information is not available)

Helpful Links:

Lender Manual: <http://treasurer.ca.gov/cpcfa/calcap/arb/manual/index.asp>

NAICS Codes: <http://www.census.gov/eos/www/naics/>

Census Tract Tool: <http://www.ffiec.gov/Geocode/default.aspx>

CARB Authorized Retrofits: <http://www.arb.ca.gov/diesel/verdev/vt/cvt.htm>

