

STATEMENT OF ECONOMIC INTERESTS

Date Received
 Official Use Only

RECEIVED
 FAIR POLITICAL
 PRACTICES COMMISSION
COVER PAGE
 2014 MAR 21 AM 9:34

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
 Carroll Katie Elaine

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
 State Treasurer's Office
 Division, Board, Department, District, if applicable
 Executive
 Your Position
 Deputy Treasurer

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: See attached Position: Designee

2. Jurisdiction of Office (Check at least one box)

- State
- Multi-County _____
- City of _____
- Judge or Court Commissioner (Statewide Jurisdiction)
- County of _____
- Other _____

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2013, through December 31, 2013.
- or-
- The period covered is ____/____/____, through December 31, 2013.
- Assuming Office: Date assumed ____/____/____
- Leaving Office: Date Left ____/____/____ (Check one)
- The period covered is January 1, 2013, through the date of leaving office.
- The period covered is ____/____/____, through the date of leaving office.
- Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

- Check applicable schedules or "None." ► Total number of pages including this cover page: 2
- Schedule A-1 - Investments - schedule attached
 - Schedule A-2 - Investments - schedule attached
 - Schedule B - Real Property - schedule attached
 - Schedule C - Income, Loans, & Business Positions - schedule attached
 - Schedule D - Income - Gifts - schedule attached
 - Schedule E - Income - Gifts - Travel Payments - schedule attached
- or-
- None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
 (Business or Agency Address Recommended - Public Document)
 915 Capitol Mall, Room 110 Sacramento CA 95814

DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL)
 (916) 653-2995 kcarroll@treasurer.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3-21-14 Signature Katie Carroll
 (month, day, year) (File the originally signed statement with your filing official.)

Katie Carroll, Deputy Treasurer

Addendum to SEI Form 700
For 2014

Original is filed with the
FAIR POLITICAL PRACTICES COMMISSION

IBANK

Attn: Tom Tengan, FPPC Filing Officer
980 Ninth Street, Suite 900
Sacramento CA 95814

California Earthquake Authority (CEA)

Attn: Neil Hall, FPPC Filing Officer
801 K Street, Suite 1000
Sacramento, CA 95814

Golden State Tobacco Securitization Corporation

California Department of Finance
Attn: Jeanna Wimberly, FPPC Filing Officer
915 L Street 12th Floor
Sacramento, CA 95814

Golden State Transportation Financing Corporation

California Department of Finance
Attn: Jeanna Wimberly, FPPC Filing Officer
915 L Street 12th Floor
Sacramento, CA 95814

State Public Works Board

California Department of Finance
Attn: Jeanna Wimberly, FPPC Filing Officer
915 L Street 12th Floor
Sacramento, CA 95814

CalHFA

Attn: JoJo Ojima, FPPC Filing Officer
500 Capitol Mall # 1400
Sacramento, CA 95814