

**P. M. I. B.**

**Wednesday, November 20, 2013**

**10:00 a.m.**

PMIB Meeting  
November 20, 2013

DESIGNATION

# POOLED MONEY INVESTMENT ACCOUNT

## PORTFOLIO SUMMARY REPORT

November 20, 2013

(Dollars in Billions)

	10/31/13	09/30/13	Change From Prior Month	Prior Year 10/31/12
<b>Total Portfolio</b>				
Amount	\$56.821	\$56.617	\$0.204	\$62.959
Effective Yield	0.261%	0.253%	0.008%	0.320%
Quarter-to-date Yield	0.266%	0.265%	0.001%	0.340%
Year-to-date Yield	0.265%	0.265%	0.000%	0.357%
Average Life (in days)	220	239	(19)	229
<b>AB 55 Loans</b> (Government Code §16312 and §16313)				
Approved	\$0.347	\$0.377	(\$0.030)	\$0.213
Disbursed	\$0.090	\$0.204	(\$0.114)	\$0.126
<b>Local Agency Investment Fund</b>				
Deposits	\$18.890	\$19.284	(\$0.394)	\$19.965
Number of Participants	2,604	2,613	(9)	2,685

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on November 20, 2013, has determined and designated the amount of money available for deposit and investment as of November 13, 2013, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with law, for deposit in demand bank accounts as Compensating Balance for Services 1,659,653,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
( 1 )	11/18/2013	11/22/2013	\$ (472,200,000)	\$ (7,321,940,000)	\$ 4,489,140,000	\$ (2,945,300,000)
( 2 )	11/25/2013	11/29/2013	\$ (946,300,000)	\$ (8,380,740,000)	\$ 4,489,140,000	\$ (3,891,600,000)
( 3 )	12/2/2013	12/6/2013	\$ (486,200,000)	\$ (8,866,940,000)	\$ 4,489,140,000	\$ (4,377,800,000)
( 4 )	12/9/2013	12/13/2013	\$ 746,700,000	\$ (8,120,240,000)	\$ 4,489,140,000	\$ (3,631,100,000)

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance 1,659,653,000.

POOLED MONEY INVESTMENT BOARD:

\_\_\_\_\_  
Chairperson

\_\_\_\_\_  
Member

\_\_\_\_\_  
Member

Dated: November 20, 2013

\* Government Code

PMIB Meeting  
November 20, 2013

SMD

POOLED MONEY INVESTMENT BOARD  
(Surplus Money Investment Fund)  
Period October 1 through October 31, 2013

**DECLARATION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL	\$ 12,509,088,000.00*

**REDUCTION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL	\$ 13,024,719,000.00*

**POOLED MONEY INVESTMENT BOARD**

\_\_\_\_\_  
Member

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Member

Dated: October, 2013

\*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
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0005000	SAFENEIGH PKS, CLNWTR,CLNAIR/	24,000	1,410,000
0006000	DISABILITY ACCESS ACCOUNT	3,000	63,000
0007000	BREAST CANCER RESEARCH ACCOUNT	1,458,000	194,000
0009000	BREAST CANCER CONTROL ACCOUNT	1,374,000	67,000
0012000	ATTY GEN ANTITRUST ACCOUNT	6,000	3,000
0014000	HAZARDOUS WASTE CONTROL ACCT	8,254,000	8,342,000
0017000	FINGERPRINT FEES ACCOUNT	231,000	228,000
0018000	SITE REMEDIATION ACCOUNT	161,000	179,000
0023000	FARMWORKER REMEDIAL ACCOUNT	25,000	0
0024000	GUIDE DOGS FOR BLIND FUND	0	13,000
0028000	UNIFIED PROGRAM ACCOUNT	96,000	150,000
0032000	FIREARM SAFETY ACCOUNT	73,000	127,000
0033000	STATE ENERGY CONSER ASST ACCT	74,000	1,024,000
0035000	SURFACE MINING & RECLAMATION	42,000	85,000
0041000	AERONAUTICS ACCOUNT	424,000	247,000
0042000	STATE HIGHWAY ACCOUNT	1,238,583,000	1,213,245,000
0044000	MOTOR VEHICLE ACCOUNT	320,651,000	305,308,000
0045000	BICYCLE TRANSPORTATION ACCT	615,000	300,000
0046000	PUBLIC TRANSPORTATION ACCT	150,253,000	49,963,000
0048000	TRANSPORTATION REV ACCOUNT	1,197,251,000	1,133,476,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	10,000	500,000
0055000	MASS TRANSIT REVOLVING ACCOUNT	825,000	979,000
0061000	MOTOR VEHICLE FUEL ACCOUNT	493,455,000	485,672,000
0063000	MOTOR VEHICLE TRANS TAX ACCT	1,000	0
0064000	MOTOR VEHICLE LIC FEE ACCT	4,412,000	4,383,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	0	59,000
0066000	SALE OF TOBACCO TO MINORS CTRL	5,000	195,000
0067000	STATE CORPORATIONS FUND	1,982,000	8,646,000
0069000	BARBERING/COSMETOLOGY CONT FD	2,514,000	949,000
0074000	MEDICAL WASTE MANAGEMENT FUND	167,000	280,000
0075000	RADIATION CONTROL FUND	2,993,000	910,000
0076000	TISSUE BANK LICENSE FUND	106,000	56,000
0078000	GRAPHIC DESIGN LICENSE PLATE F	112,000	1,102,000
0080000	CHILDHOOD LEAD POISONG PYTN FD	5,000	7,245,000
0082000	EXPORT DOCUMENT PROGRAM FUND	92,000	58,000
0083000	VETERANS SERVICE OFFICE FUND	77,000	41,000
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	5,000	0
0094000	RETAIL SALES TAX	5,041,000	11,586,000
0096000	CAL-OSHA TARGET INSPC&CONSULTN	49,000	484,000
0098000	CLINICAL LAB IMPROVEMENT FUND	1,111,000	682,000
0099000	HEALTH STATISTICS SPEC FUND	1,826,000	560,000
0100000	CALIF USED OIL RECYCLING FUND	1,107,000	410,000
0101000	SCH FACILITIES FEE ASST FUND	1,000	0
0106000	PESTICIDE REGULATION FUND	10,057,000	3,470,000
0108000	ACUPUNCTURE FUND	384,000	42,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	6,071,000	2,000
0115000	AIR POLLUTION CONTROL FUND	3,886,000	6,568,000
0119000	SCH FACILITIES FUND 1998	161,000	0

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MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
0121000	HOSPITAL BUILDING FUND	7,342,000	7,547,000
0129000	WATER DEVICE CERT SPEC ACC	20,000	13,000
0132000	WORKERS COMP MNGDCARE FUND	0	1,000
0133000	CALIF BEVERAGE CONTAINER RECYL	75,953,000	81,373,000
0140000	ENVIRONMENTL LICENSE PLATE FD	4,137,000	3,980,000
0141000	SOIL CONSERVATION FUND	512,000	107,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	150,000	169,000
0143000	CA HEALTH DATA & PLANNING FD	437,000	2,133,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	309,000	103,000
0158000	TRAVEL SELLER	57,000	71,000
0159000	ST TRIAL COURT IMPROV & MODERN	3,186,000	18,839,000
0163000	CONTINUING CARE PROVIDER FEE	6,000	85,000
0166000	CERTIFICATION ACCT-CONS AFF FD	1,000	80,000
0168000	STRUCTURAL PEST CONTROL	12,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	133,000	310,000
0171000	CALIF DEBT & INVEST ADV COMM	500,000	519,000
0172000	DEV DISABILITIES PROGRAM DEV	1,000	802,000
0175000	DISPENSING OPTICIANS FUND	15,000	10,000
0177000	FOOD SAFETY FUND	176,000	407,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	140,000	117,000
0181000	REGISTERED NURSE EDUCATION	142,000	138,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	1,774,000	302,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	1,647,000	14,465,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	23,285,000	51,357,000
0191000	FAIR AND EXPOSITION FUND	3,202,000	0
0193000	WASTE DISCHARGE PERMIT FUND	341,000	19,207,000
0194000	EMERG MED SRVS TRNG PGM APROV	21,000	75,000
0198000	CA FIRE & ARSON TRAINING FD	62,000	1,137,000
0203000	GENETIC DISEASE TESTING FUND	10,969,000	8,890,000
0205000	GEO PELS FUND	46,000	50,000
0207000	FISH & WILDLIFE POLLUTION ACCT	117,000	15,000
0209000	CA HAZRD LIQUID PIPELINE SAFTY	1,204,000	555,000
0210000	OUTPATIENT SETTING FUND	1,000	0
0211000	CA WTRFOWL HAB PRESERVATION	1,000	0
0215000	INDUSTRIAL DEVELOPMENT FUND	10,000	0
0216000	INDUSTRIAL REL CONST IND ENF	2,000	1,000
0217000	INSURANCE FUND	11,095,000	10,218,000
0219000	LIFETIME LICENSE TRUST ACCOUNT	6,000	0
0223000	WORKERS' COMP ADMIN REVOLV FD	15,572,000	13,310,000
0226000	CA TIRE RECYCLING MGMT FUND	764,000	639,000
0228000	SEC OF STATE'S BUSINESS FEE FD	5,747,000	4,516,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	22,327,000	40,862,000
0231000	CIGARET. & TOB./HEALTH EDUCAT.	7,940,000	5,049,000
0232000	CIGARET. & TOB./HOSPITAL SERV.	14,500,000	0
0233000	CIGARET. & TOB./PHYSICIAN SERV.	4,143,000	0
0234000	CIGARET. & TOB./RESEARCH ACCT.	2,079,000	1,015,000
0235000	CIGARET. & TOB./PUBLIC RESOURCE	1,626,000	1,098,000
0236000	CIGARET. & TOB./UNALLOCATED	10,363,000	247,000

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CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
0238000	VETS CEMETERY PERPETUAL MAINT	12,000	1,000
0239000	PRIVATE SECURITY SERVS FUND	1,240,000	131,000
0241000	LOC PUB PROSECUTR/DEFNDR TNG	52,000	3,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	1,166,000	376,000
0247000	DRINKING WATER OPERATOR CERT	207,000	105,000
0256000	SEXUAL PREDATOR PUBLIC INFO	4,000	11,000
0259000	SUPPLEMENTAL CONTRIB PROG FUND	13,000	13,000
0261000	OFF HIGHWAY LICENSE FEE	70,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	8,563,000	6,296,000
0264000	OSTEOPATHIC MED BD CONTINGENT	279,000	114,000
0266000	INLAND WETLANDS RESTORATION FD	1,000	0
0268000	PEACE OFFICERS TRAINING	2,490,000	3,658,000
0269000	GLASS PROCESSING FEE ACCOUNT	821,000	0
0270000	TECHNICAL ASSISTANCE FUND	1,421,000	3,706,000
0271000	CERTIFICATION FUND	50,000	135,000
0272000	INFANT BOTULISM TRTMT & PREV	1,367,000	1,148,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	197,000	2,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	187,000	0
0277000	BIMETAL PROCESSING FEE ACCT	125,000	0
0278000	PET PROCESSING FEE ACCT	3,336,000	0
0279000	CHILD HEALTH & SAFETY FUND	650,000	757,000
0280000	PHYSICIAN ASSISTANT FD	171,000	65,000
0281000	RECYCLING MARKET DEV REV LOAN	406,000	7,000
0289000	HLTH INS COUNSELING/ADVOCACY	1,000	548,000
0290000	PILOT COMMISSIONERS' SPEC FD	14,000	79,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	111,000	353,000
0294000	REMOVAL/REMEDIAL ACTION ACCT	306,000	583,000
0295000	PODIATRIC MEDICINE FUND	193,000	64,000
0296000	COACHELLA VALLEY MTN CONSRV	1,000	3,000
0298000	FINANCIAL INSTITUTIONS FUND	9,000	319,000
0299000	CREDIT UNION FUND	0	4,000
0300000	PROFESSIONAL FORESTER REGIS	3,000	57,000
0305000	PRIVATE POSTSECONDARY ED	1,660,000	233,000
0306000	SAFE DRINKING WATER ACCOUNT	4,126,000	0
0310000	PSYCHOLOGY FUND	466,000	18,000
0312000	EMERG MED SVCS PERSONNEL FUND	256,000	614,000
0314000	DIESEL EMISSION REDUCTION FUND	2,000	0
0317000	REAL ESTATE FUND	3,257,000	3,402,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	109,000	62,000
0319000	RESPIRATORY CARE FUND	108,000	211,000
0320000	OIL SPILL PREVENTION & ADMIN	4,739,000	2,606,000
0321000	OIL SPILL RESPONSE TRUST FUND	144,000	6,000
0322000	ENVIRONMENTAL ENHANCEMENT FUND	10,000	2,000
0325000	ELECTRONIC & APPL REPAIR FUND	440,000	105,000
0326000	ATHLETIC COMMISSION FUND	94,000	11,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	4,670,000	10,600,000
0329000	VEHICLE LICENSE COLLECTN ACCT	1,000,000	1,083,000
0331000	SALES TAX ACCOUNT	82,924,000	186,928,000

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
0332000	VEHICLE LICENSE FEE ACCOUNT	124,068,000	109,513,000
0335000	REGISTERED ENV HLTH SPECLST FD	40,000	53,000
0336000	MINE RECLAMATION ACCOUNT	43,000	0
0338000	STRONG-MOTION INSTR/SEIMC MAPG	874,000	515,000
0351000	MENTAL HEALTH SUBACCOUNT-	93,394,000	93,380,000
0365000	HISTORIC PROPERTY MAINT FUND	182,000	0
0366000	INDIAN GAMING REV SHARING TRST	1,306,000	0
0367000	INDIAN GAMING SPEC DISTRIB FD	315,000	4,648,000
0368000	ASBESTOS CONSULTANT CERT. ACCT	34,000	15,000
0369000	ASBESTOS TRAINING APPROVAL ACC	5,000	2,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	302,000	88,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	5,741,000	638,000
0381000	PUB INTEREST R D & D	3,615,000	4,624,000
0382000	RENEWABLE RESOURCE TRUST FUND	502,000	2,427,000
0386000	SOLID WASTE DISP CLEANUP TRUST	10,000	175,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	449,000	1,257,000
0392000	ST PARKS AND RECREATION ACCT	79,651,000	58,186,000
0396000	SELF-INSURANCE PLANS FUND	11,000	246,000
0399000	STRUCTL PEST CONTRL EDU&ENFORC	30,000	5,000
0400000	REAL ESTATE APPRAISERS REG FD	201,000	453,000
0402000	SAFE CLEAN WATER SUPPLY	3,021,000	295,000
0407000	TEACHER CREDENTIALS	2,257,000	1,356,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	480,000	179,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	1,000	2,000
0412000	TRANSPORTATION RATE	998,000	877,000
0419000	WATER RECYCLING SUBACCOUNT	25,000	0
0421000	VEHICLE INSPECTION & REPAIR FD	9,407,000	6,384,000
0424000	SEA WATER INTRUSION CONTROL	7,000	0
0425000	VICTIM-WITNESS ASSISTANCE FD	1,312,000	544,000
0434000	AIR TOXICS INVENTORY & ASSESMT	0	15,000
0436000	UNDERGRD STOR TANK TESTER ACCT	0	5,000
0439000	UNDERGRD STOR TANK CLEANUP FD	47,125,000	28,212,000
0447000	WILDLIFE RESTORATION FUND	1,216,000	298,000
0448000	OCCUPANCY COMPLIANCE MONITOR	454,000	218,000
0449000	WINTER RECREATION FUND	40,000	5,000
0452000	ELEVATOR SAFETY ACCOUNT	2,749,000	960,000
0453000	PRESSURE VESSEL ACCOUNT	110,000	53,000
0457000	TAX CREDIT ALLOC FEE ACCOUNT	2,626,000	768,000
0458000	SITE OPERATN & MAINTENANCE ACC	59,000	24,000
0459000	TELEPHONE MED ADV SERV. FD	15,000	12,000
0460000	DEALERS RECORD OF SALES SP ACT	1,885,000	1,936,000
0461000	PUC TRANSPORTATION REIMB ACCT	117,000	141,000
0462000	PUC UTILITIES REIMBMENT ACCT	23,622,000	23,646,000
0464000	HIGH COST FUND A ADM COMM FD	7,880,000	150,000
0465000	ENERGY RESOURCES PROGRAMS A/C	2,739,000	2,700,000
0470000	HIGH-COST FUND-B ADM COMM FD	7,292,000	2,089,000
0471000	UNIV LIFELINE TELEP SVC TRST	13,384,000	5,540,000
0478000	VECTORBORNE DISEASE ACCOUNT	64,000	0

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
0481000	GARMNT MANUFACTURERS SPECL A/C	30,000	12,000
0483000	DEAF & DESABLED TELECOMM PGM	1,870,000	5,060,000
0492000	STATE ATHLETIC COMM NEURO EXAM	2,000	0
0493000	TELECONNECT FD - ADM COMM FD	6,574,000	9,594,000
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	37,000	59,000
0501000	CALIFORNIA HOUSING FINANCE	290,991,000	345,879,000
0502000	CALIF WATER RESOURCES DEV BOND	35,290,000	43,277,000
0505000	AFFORDABLE STUDENT HOUS REVLV	1,000	0
0506000	CENTRAL VALLEY WATER PROJ CONS	48,057,000	38,485,000
0507000	CENTRAL VALLEY WATER PROJ REV	91,778,000	44,977,000
0512000	COMPENSATION INSURANCE FUND	246,676,000	234,581,000
0514000	EMPLOYMENT TRAINING FUND	288,000	5,484,000
0516000	HARBORS & WATERCRAFT REVOL FD	2,773,000	6,128,000
0518000	HLTH FAC CONST LOAN INSURANCE	161,000	174,000
0523000	EAST BAY ST.BLDG.AUTH.FUND	10,000	0
0524000	L.A. ST. BLDG. AUTHORITY FUND	7,000	4,075,000
0525000	HIGH TECH EDUCATION REV FUND F	0	31,418,000
0526000	CA SCHOOL FINANCE AUTHORITY FD	80,000	3,000
0528000	ALTERNATIVE ENERGY AUTH FD	99,000	79,000
0530000	MOBILEHOME PARK PURCHASE FUND	436,000	47,000
0538000	SAN FRANCISCO STATE BUILDING	11,000	7,421,000
0539000	OAKLAND STATE BLDG AUTHORITY	5,000	2,167,000
0541000	SAN BERNARDINO ST BLDG AUTH	6,000	0
0557000	TOXIC SUBSTANCES CONTROL ACCT	427,000	357,000
0561000	RIVERSIDE CO PUB FIN AUTH FUND	0	788,000
0562000	STATE LOTTERY FUND	215,567,000	54,481,000
0564000	SCHOLARSHARE ADM FUND	259,000	321,000
0565000	STATE COASTAL CONSERVANCY FD	476,000	476,000
0566000	DOJ CHILD ABUSE FUND	10,000	73,000
0567000	GAMBLING CONTROL FUND	223,000	235,000
0569000	GAMBLING CONTROL FINES & PENLT	0	9,000
0572000	STRINGFELLOW INS PROCEEDS ACCT	1,000	0
0574000	HIGHER EDUC CAP OUTLAY BD FD	4,000	0
0576000	ST UNIV DORMITORY CONSTRUCTN	4,934,000	19,436,000
0578000	ST UNIV DORM INTEREST/REDEMPT	166,411,000	0
0582000	HIGH POLLUTER REPAIR/REMOVAL	6,531,000	1,764,000
0585000	COUNTIES CHILDREN & FAMILIES	60,605,000	29,006,000
0587000	FAMILY LAW TRUST FUND	215,000	394,000
0588000	UNEMPLOYMENT COMP DISABL FUND	91,094,000	95,566,000
0592000	VETS FARM/HOME BUILDING-1943	125,476,000	107,997,000
0600000	VENDING STAND FUND	141,000	430,000
0601000	AGRICULTURE BUILDING FUND	36,000	0
0604000	ARMORY FUND	153,000	0
0610000	ORIENTATION CNTR BLIND TRUST	1,000	0
0612000	SACTO CITY FINANCING AUTH FD	768,000	113,080,000
0615000	PEACE OFFICER&FIREFIGHTERS DEF	12,000	0
0617000	STATE MTR. POLLUTION CONTROL	49,554,000	44,007,000
0623000	CA CHILDREN/FAMILIES TRUST FD	38,563,000	76,351,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
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0629000	SAFE DRINKING WTR ST REVLV FD	137,000	31,370,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	4,565,000	122,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	3,813,000	0
0636000	CHILD CARE ACCT, CHILD/FAM FRS	2,272,000	540,000
0637000	RESEARCH DEVEL ACCT	2,272,000	46,000
0638000	ADMINISTRATION ACCT	736,000	105,000
0639000	UNALLOCATED ACCOUNT	1,524,000	2,313,000
0641000	DOMESTIC VIOLENCE RESTRAINING	48,000	0
0648000	MOBILEHOME/MFG HOME REV FUND	6,847,000	6,984,000
0649000	CA INFRASTRT & ECON DEVL BANK	41,000	1,690,000
0653000	SEISMIC RETROFIT BOND 1996	5,000	18,000
0658000	UC MERCED	2,000	2,135,000
0660000	PUBLIC BUILDINGS CONSTRUCTN	716,131,000	386,702,000
0665000	REHAB REVOLV LOAN GUARANTEE	1,000	0
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	121,551,000	22,401,000
0672000	CHILD HLTH/DISAB PREV TRMT ACC	1,000	0
0673000	PASSENGER EQUIPMT ACQUISTION	4,000	0
0678000	PRISON INDUSTRIES REVOLV FD	16,055,000	12,347,000
0679000	STATE WATER QUALITY CONTROL	1,510,000	2,398,000
0687000	DONATED FOOD REVOLVING FUND	1,222,000	300,000
0690000	EMPLOYMENT DVLP DEPT BLDG FUND	1,000	0
0691000	WATER RESOURCES REVOLVING	88,286,000	77,950,000
0698000	HOME PURCHASE ASSISTANCE FUND	238,000	0
0701000	VETERANS' HOME FUND	6,000	0
0702000	P&V- CONSUMER AFFAIRS FUND	30,193,000	59,991,000
0703000	CLEAN AIR & TRANSP IMPROV FUND	1,976,000	0
0704000	P&V- ACCOUNTANCY FUND	936,000	823,000
0705000	1992 HGER EDUC CAP OUTLAY BD	3,000	117,000
0706000	ST BRD OF ARCHITECTURAL EXAMIN	1,193,000	3,000
0707000	CALIF SAFE DRINKING WATER FUND	1,000	1,000
0714000	ROBERTI AFFORDABLE HOUSING FD	0	130,000
0717000	CEMETERY FUND	338,000	127,000
0735000	P&V- CONTRACTORS' LICENSE FD	7,343,000	5,711,000
0740000	CLEAN WATER BOND-FUND 1984 STA	39,000	0
0741000	P&V- DENTISTRY FUND	697,000	872,000
0742000	STATE URBAN & COASTAL PARK	0	3,000
0744000	1986 WTR CONS WTR QLTY BD	4,000	0
0747000	PRISON CONSTRUCTION FUND 1988	1,000	83,000
0749000	REFUNDING ESCROW FUND	2,000	250,641,000
0750000	ST FUNERAL DIRECTORS & EMBALM	247,000	65,000
0752000	HOME FURN & THERMAL INSULATION	407,000	272,000
0755000	LICENSED MIDWIFERY FUND	3,000	0
0756000	PASSENGER RAIL&CLEAN AIR BD FD	0	5,000
0757000	LANDSCAPE ARCHITECTS FUND	202,000	38,000
0758000	MEDICAL BD OF CALIF	4,145,000	3,992,000
0759000	P&V- PHYSICAL THERAPY FUND	342,000	210,000
0761000	P&V- REGISTERED NURSING FUND	1,818,000	2,885,000
0763000	P&V- OPTOMETRY FUND	131,000	130,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
0767000	P&V- PHARMACY BD CONTINGENT	1,455,000	1,203,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	1,000	7,000
0769000	PRIVATE INVESTIGATOR FUND	41,000	16,000
0770000	PRO ENGINEERS & LAND SURVEYORS	642,000	538,000
0771000	COURT REPORTERS FUND	84,000	57,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	491,000	515,000
0775000	P&V- STRUCTURAL PEST CONTROL	302,000	214,000
0777000	VETERINARY MEDICAL BD CONTG FD	701,000	7,000
0779000	VOCATNL NURSING/PSYCH TECH FD	1,309,000	530,000
0780000	PSYCH TECH, VOC NRSNG PSYCH TC	156,000	111,000
0784000	STUDENT LOAN OPERATING FUND	2,000	0
0786000	WILDLIFE,COAST&PARK CONS FD 88	1,000	0
0788000	CA EARTHQK SAFETY/HOUS REHAB	0	39,000
0790000	WATER CONSERVATION FUND, 1988	2,000	0
0793000	CA SAFE DRINKING WATER FD 1988	5,000	0
0803000	STATE CHILDREN'S TRUST FUND	2,000	51,000
0813000	SELF-HELP HOUSING FUND	91,000	92,000
0814000	CA STATE LOTTERY EDUCATION	8,000	34,000
0815000	JUDGES RETIREMENT FUND	15,929,000	15,682,000
0821000	FLEXELECT BENEFIT FUND	1,659,000	1,426,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	141,897,000	149,296,000
0827000	MILK PRODUCERS SECURITY TRUST	32,000	0
0829000	HEALTH PROFESSIONS EDUC FUND	2,000	11,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	2,508,833,000	3,414,762,000
0833000	ANNUITANTS' HEALTH CARE COV FD	35,557,000	40,803,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	84,872,000	82,263,000
0835000	TEACHERS RETIREMENT	108,949,000	101,515,000
0839000	CA ST UNIV LOTTERY EDUCATN FD	2,000	2,000
0840000	CA MOTORCYCLIST SAFETY FD	129,000	293,000
0849000	REPLACEMENT BENEFIT CUST. FD	19,000	1,000
0853000	PETROLEUM VIOLATION ESCROW ACC	7,000	0
0865000	MENTAL HEALTH MANAGED CARE DP	7,356,000	7,071,000
0867000	CA FARMLAND CONSERVANCY PGM FD	474,000	0
0872000	STATE HOSPITAL ACCOUNT,	9,499,000	8,765,000
0884000	JUDGE RETIREMENT SYSTEM II FD	1,581,000	5,490,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	23,000	25,000
0904000	CA HEALTH FACILITIES FIN AUTH	734,000	889,000
0908000	SCHOOL EMPLOYEES FUND	5,161,000	0
0910000	CONDEMNATION DEPOSITS FUND	25,533,000	19,069,000
0911000	EDUC FACILITIES AUTHORITY	18,000	157,000
0914000	BAY FILL CLEAN-UP ABATMENT	2,000	0
0915000	DEFERRED COMPENSATION PLAN FD	2,193,000	1,607,000
0916000	CA HOUSING LOAN INSURANCE FUND	2,000	3,000
0917000	INMATES' WELFARE FUND	3,971,000	3,556,000
0918000	SMALL BUSINESS EXPANSION	0	39,000
0920000	LITIGATION DEPOSIT FUND	15,755,000	25,824,000
0925000	CA COMM COLL BUSINESS RESOURCE	1,000	0
0928000	FOREST RESOURCES IMPROV FUND	250,000	2,407,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
0929000	HOUSING REHABILITATION LOAN	1,131,000	397,000
0930000	POLLUTN CONTL FINANCING AUTH	2,379,000	2,379,000
0932000	TRIAL COURT TRUST FUND	143,243,000	143,180,000
0933000	MANAGED CARE FUND	1,865,000	4,008,000
0938000	RENTAL HOUSING CONSTRUCTN FD	2,321,000	326,000
0942000	SPECIAL DEPOSIT FUND	28,373,000	17,881,000
0943000	LAND BANK FUND	11,000	4,000
0948000	CAL ST UNIV & COLL TRUST FUND	445,629,000	406,800,000
0950000	PUB EMPLOY CONTINGENCY RESRV	246,090,000	230,501,000
0952000	STATE PARK CONTINGENT	376,000	223,000
0954000	STUDENT LOAN AUTHORITY FUND	5,000	17,000
0960000	STUDENT TUITION RECOVERY FUND	81,000	722,000
0965000	TIMBER TAX	829,000	1,000
0972000	MANUFACTURED HOME RECOVERY FD	72,000	11,000
0977000	RESIDENT-RUN HOUSING REVL FUND	1,000	0
0980000	PREDEVELOPMENT LOAN FUND	50,000	19,000
0983000	CALIF FD FOR SENIOR CITIZENS	2,000	30,000
0985000	EMERGENCY HOUSING & ASST FUND	4,000	0
1008000	FIREARMS SAFETY/ENFRCMNT SPCL	582,000	468,000
2501000	LOCAL TRANSPORTATION LOAN ACCT	3,000	0
3002000	ELECTRICIAN CERTIF FUND	101,000	95,000
3004000	GARMENT INDUSTRY REGULATIONS	169,000	240,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	10,000	300,000
3015000	GAS CONSUMPTION SURCHARGE FUND	28,000	64,263,000
3016000	MISSING PERSONS DNA DATA BASE	274,000	285,000
3017000	OCCUPATIONAL THERAPY FUND	82,000	83,000
3018000	DRUG AND DEVICE SAFETY FUND	683,000	463,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	667,000	744,000
3023000	WIC MANUFACTURER REBATE FUND	20,141,000	20,133,000
3025000	ABANDONED MINE RECLAMATION	2,000	35,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	1,000	70,000
3035000	ENVIRONMENTAL QUALITY ASSESSMNT	2,000	0
3037000	STATE COURT FACILITIES CONST F	11,135,000	2,482,000
3039000	DENTALLY UNDERSERVED ACCOUNT	1,000	75,000
3042000	VICTIMS OF CORP FRAUD COMP FD	135,000	0
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	3,437,000	6,939,000
3053000	PUBLIC RGHTS LAW ENFRCMNT SPEC	4,409,000	0
3056000	SAFE DRKNG MATR & TOXIC ENFORC	327,000	120,000
3058000	WATER RIGHTS FUND	21,000	1,824,000
3059000	FISCAL RECOVERY FUND	112,208,000	53,005,000
3060000	APPELLATE COURT TRUST FUND	377,000	512,000
3062000	ENGY FAC LICENSE AND COMPL FD	1,002,000	390,000
3064000	MENTAL HLTH PRACTITIONER ED FD	34,000	45,000
3065000	ELEC WSTE RCVRY RCYLG ACCT	9,328,000	34,489,000
3066000	COURT FACILITIES TRUST FUND	15,310,000	6,333,000
3068000	VOCATIONAL NURSE EDUCATION FD	22,000	8,000
3069000	NATUROPATHIC DOCTOR'S FUND	8,000	6,000
3071000	CAR WASH WORKER RESTITUTION FD	36,000	0

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
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3072000	CAR WASH WORKER FUND	39,000	6,000
3074000	MEDICAL MARIJUANA PROGRAM FUND	137,000	23,000
3079000	CHILDRENS MED SERVICES REBATE	4,650,000	14,095,000
3080000	AIDS DRUG ASSIST PGM REBATE	18,884,000	9,679,000
3081000	CANNERY INSPECTION FUND	128,000	191,000
3084000	ST CERTIFIED UNIFIED PGM AGY	24,000	242,000
3085000	MENTAL HEALTH SERVICES FUND	75,800,000	94,032,000
3086000	DNA IDENTIFICATION FUND	11,378,000	9,266,000
3087000	UNFAIR COMPETITION LAW FUND	11,366,000	1,619,000
3088000	REGISTRY OF CHARITABLE TRUSTS	193,000	506,000
3089000	PUC UTIL COMM RATEPAYER ADV	13,437,000	19,434,000
3097000	PRIVATE HOSPITAL SUPPLEMENTAL	544,000	1,300,000
3098000	DPH LICENSING & CERT PGM FUND	12,835,000	3,395,000
3100000	DWR ELECTRIC POWER FUND	165,850,000	89,662,000
3101000	ANALYTICAL LABORATORY ACCOUNT	1,000	0
3103000	HATCHERY AND INLAND FISHERIES	871,000	4,150,000
3108000	PROFESSIONAL FIDUCIARY FUND	27,000	15,000
3109000	NATURAL GAS SUBACCT, PIRD&D	5,922,000	1,906,000
3111000	RETAIL FOOD SAFETY AND DEFENSE	7,000	0
3114000	BIRTH DEFECTS MONITORING PGM	751,000	90,000
3117000	ALTERNATIVE & RENEWABLE FUEL	330,098,000	325,272,000
3121000	OCCUPATIONAL SAFETY & HEALTH	2,702,000	2,898,000
3122000	ENHANCED FLEET MODERNIZATION	4,359,000	2,165,000
3133000	MANAGED CARE ADMIN FINES FUND	100,000	0
3134000	SCHOOL DISTRICT ACCOUNT	7,000	136,000
3137000	EMERGENCY MEDICAL TECH CERT	59,000	116,000
3138000	IMMEDIATE & CRITICAL NEEDS	23,234,000	8,459,000
3140000	STATE DENTAL HYGIENE FUND	54,000	29,000
3141000	CA ADVANCED SERVICES FUND	1,866,000	3,929,000
3142000	STATE DENTAL ASSISTANT FUND	102,000	134,000
3144000	BLDG STANDARDS ADMIN FUND	1,100,000	1,100,000
3145000	UST ORPHAN SITE CLEANUP FUND	1,017,000	627,000
3147000	SMALL COMMUNITY GRANT FUND	310,000	577,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	5,000	77,000
3152000	LABOR ENFORCEMENT & COMPLIANCE	2,081,000	3,104,000
3153000	HORSE RACING FUND	280,000	831,000
3156000	CHILDREN'S HEALTH & HUMAN SVCS	7,403,000	10,583,000
3157000	RECREATIONAL HEALTH FUND	28,000	0
3158000	HOSPITAL QUALITY ASSURANCE REV	172,373,000	87,013,000
3160000	WASTEWATER OPERATOR CERT FUND	25,000	83,000
3163000	HEALTH INFO TECH & EXCHANGE FD	324,000	266,000
3164000	RNM ENRG RESRC DVLP FEE TRUST	7,000	282,000
3165000	ENTERPRISE ZONE FUND	338,000	74,000
3167000	SKILLED NURSING FAC QUALITY &	0	209,000
3168000	EMERGENCY MED AIR TRANSPORT	112,803,000	112,748,000
3175000	CALIFORNIA HEALTH TRUST FUND	26,055,000	30,603,000
3209000	OFFICE PATIENT ADVOCATE TRUST	611,000	400,000
3211000	ELECTRIC PROG INVEST CHRGE FD	3,178,000	402,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
3228000	GREENHOUSE GAS REDUCTION FD	186,000	0
3237000	COST OF IMPLEMENTATION ACCT	2,211,000	5,000
3240000	SECONDHAND DEALER & PAWNBR FD	64,000	0
3244000	PDATA FUND	13,000	0
6000000	PUBLIC LIBRARY CONSTR/RENOV FD	4,000	359,000
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	997,000	5,379,000
6028000	HI ED CAP OUTLAY BOND FD 2002	5,000	38,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	227,000	3,437,000
6031000	WTR SEC,CLN DRNKNG WR, CST BCH	160,000	6,567,000
6032000	VOTING MODERNIZATION FUND	1,000	0
6036000	ST SCHOOL FACILITIES FD 2002	128,000	16,228,000
6037000	HOUSING/EMER SHELTER TRUST FD	338,000	8,176,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	18,000	720,000
6043000	HIGH-SPEED PASSENGER TRAIN	11,794,000	16,526,000
6044000	SCHOOL FACILITIES FD, 2004 ST	44,119,000	3,563,000
6046000	CHILDREN'S HOSPITAL FUND	22,000	4,158,000
6047000	STEM CELL RESEARCH AND CURES	15,615,000	19,483,000
6048000	2006 UNIV CAP OUTLAY BD FD	63,000	13,625,000
6049000	2006 CA COMM COLLEGE BD FD	42,000	5,089,000
6051000	SAFE DRINKING WATER OF 2006	792,000	27,343,000
6052000	DISASTR & FLOOD BND FD OF 2006	4,045,000	29,348,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	290,603,000	620,310,000
6057000	2006 STATE SCHOOL FAC FUND	208,307,000	467,000
6066000	HOUSING/EMERGENCY SHELTER FD	276,000	39,911,000
6079000	CHILDREN'S HOSPITAL BOND ACT	17,000	7,387,000
6801000	TRANS FINANCNG SUBACCT,SHA,STF	40,000	0
7505000	REVOLVING LOANS FUND	9,000	0
8001000	TEACHERS' HEALTH BENEFITS FD	2,906,000	2,873,000
8004000	CHILD SUPPORT COLLECT RCVRV FD	12,810,000	24,817,000
8014000	CA PHARM SCHOLRSP/LOAN PGM FD	2,000	0
8018000	SALTON SEA RESTORATION FUND	289,000	113,000
8026000	PETRO UNDERGROUND STOR TK FIN	506,000	583,000
8028000	PETROLEUM FINANCING COLLECTION	1,000	0
8029000	COASTAL TRUST FUND	10,000	32,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	44,787,000	52,961,000
8032000	OIL TRUST FUND	2,185,000	0
8034000	MEDICALLY UNDERSERVED PHYSICNS	168,000	277,000
8038000	DONATE LIFE CA TRUST, MVA, STF	150,000	0
8041000	TEACHERS' DEFERRED COMP FUND	24,000	37,000
8047000	CALIFORNIA SEA OTTER FUND	1,000	5,000
8049000	VISION CARE PGM,STATE ANNU FD	931,000	921,000
8062000	POOLED SELF-INSURANCE FUND	556,000	1,541,000
8064000	ARTS COUNCIL FUND	0	35,000
8071000	NATIONAL MORTGAGE SP DEP FUND	19,000	0
8073000	CA HLTH ACCESS MODEL PRO ACCT	4,000	0
9250000	BOXERS' PENSION FUND	5,000	1,000
9328000	CA INFRASTRUCTURE GUARANTEE	16,000	0
9330000	CLEAN/RENEW ENERGY LOAN FUND	2,462,000	0

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20131001 TO 20131031

FUND	FUNDNAME	DECLARATION	REDUCTION
9728000	JUDICIAL BRANCH WORKERS' COMP	29,000	1,733,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	38,908,000	30,378,000
9731000	LEGAL SERVICES REVOLVING FUND	14,408,000	27,701,000
9733000	COURT FACILITIES ARCHITECT REV	5,207,000	1,763,000
9739000	WATER POLL CONTROL RVLVG FUND	1,041,000	410,000
9740000	CENTRAL SERVICE COST RECOVERY	157,000	11,020,000
9741000	ENERGY EFFICIENT STATE PROPRTY	130,000	16,000
TOTAL		12,509,088,000	13,024,719,000

PMIB Meeting  
November 20, 2013

SMIF

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

**Date:** November 20, 2013

**To:** Pooled Money Investment Board  
Bill Lockyer, Chair  
John Chiang, Member  
Michael Cohen, Member

**From:** Bettina Redway, Executive Secretary  
Pooled Money Investment Board

**Subject:** Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the Secretary of State, Management Services, Financial Services to allow the following to participate in the Surplus Money Investment Fund:

Business Programs Modernization  
Fund Number: 3254

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

\_\_\_\_\_  
Bill Lockyer

\_\_\_\_\_  
Date

\_\_\_\_\_  
John Chiang

\_\_\_\_\_  
Date

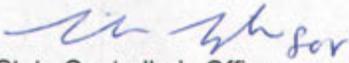
\_\_\_\_\_  
Michael Cohen

\_\_\_\_\_  
Date

# Memorandum

To : Bettina Redway  
Executive Secretary  
Pooled Money Investment Board

Date: November 14, 2013

From :   
State Controller's Office  
Jocelyn J. Roubique, Bureau Chief  
Division of Accounting and Reporting  
Bureau of Accounting and Legislation

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

The State Controller's Office recommends approval for the following fund to participate in the Surplus Money Investment Fund:

Business Programs Modernization Fund  
(Fund Number: 3254)

If you have any questions, please contact Gary Marshall at (916) 322-4462.

cc: Bill Dowell, State Treasurer's Office  
Jeffery Wurm, State Treasurer's Office  
Ella McDowell, State Treasurer's Office  
Gregory Bruss, Department of Finance  
Linda Lingbloom, State Controller's Office, Division of Accounting & Reporting

**POOLED MONEY INVESTMENT BOARD**  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

**DATE:** November 12, 2013

**TO:** Jocelyn Roubique, Chief  
Division of Accounting & Reporting  
State Controller's Office

**FROM:** *for*   
Bettina Redway  
Executive Secretary  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the Secretary of State, for participation of the following fund in the Surplus Money Investment Fund:

Secretary of State, Management Services, Financial Services  
Business Programs Modernization  
Fund Number: 3254

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Gary Marshall, State Controller's Office



**DEBRA BOWEN** | SECRETARY OF STATE | STATE OF CALIFORNIA  
MANAGEMENT SERVICES | FINANCIAL SERVICES

1500 11th Street, room 465 | Sacramento, CA 95814 | Tel (916) 653-9165 | Fax (916) 653-8544 | [www.sos.ca.gov](http://www.sos.ca.gov)

November 7, 2013

State Treasurer's Office  
Pooled Money Investment Board  
915 Capitol Mall C-15  
Sacramento, CA 95814

Re: Investment of Business Programs Modernization Fund #3254

In January 2014 we will begin collecting and remitting revenue collections for the Business Programs Modernization Fund #3254.

We are requesting that the cash for this fund be part of the Surplus Money Investment Fund program.

If you have any questions please call me at 916-651-8709

Your prompt attention to this request is appreciated.

A handwritten signature in cursive script that reads "K. Khatra".

Kam Khatra, Manager  
Accounting Office

attachment

DEPARTMENT OF FINANCE  
FUND CODE MAINTENANCE FILE AND FUND MANUAL WORKSHEET

ADD  ABOLISH  CHANGE  RETITLE  RENUM FROM \_\_\_\_\_ to \_\_\_\_\_

Fund: 3254 Short Title: Bus Parm Mod Ed Admin Org: 0890  
(12 character name compare against existing list)

Long Title: Business Programs Modernization Fund  
(40 Character Name: Please type in upper and lower case and place "State" or "California" at the end of Long Title)

Legal Title: Business Programs Modernization Fund

Special Note (to be included in UCM):  DO NOT USE--RENUMBERED TO \_\_\_\_\_  DO NOT USE--ABOLISHED \_\_\_\_\_  DOF/SCO USE ONLY  SUNSETS ON \_\_\_\_\_  PROPOSED

AS Class: S GAAP: SR Source: 0 SAL Code: INCLUDED EXCLUDED 0=ALWAYS EXCLUDED  
(SAL Explanation on reverse)

Status (circle one): ACTIVE INACTIVE ABOLISHED PROPOSED HISTORY CONFIDENTIAL

Authority/Legal Citation (Code Section and Chapter): Corporations Code, 1502(k) Date: 7/1/2014

Purpose of Fund: \_\_\_\_\_

(See reverse for additional space)

Abolish Auth: \_\_\_\_\_ Date: \_\_\_\_\_  
Reuse Date: \_\_\_\_\_

Treatment of Available Balance & Appropriations: \_\_\_\_\_

(See reverse for additional space)

FCM File Comments: DOF Analyst: Tamara Johnson  
(Requester, Internal info, etc.)

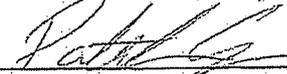
(See reverse for additional space)

Revenue Source: \_\_\_\_\_  
(See reverse for additional space)

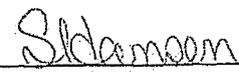
Appropriation Authority: \_\_\_\_\_

Historical Comments: \_\_\_\_\_  
(Retitled from, Renumbered when, etc.)

(See reverse for additional space)

  
Completed By Financial Operations Date: 10/16/13

FUND CONDITION TO PRINT  
IN GOVERNOR'S BUDGET

  
Completed By Fiscal Systems & Consulting Date: 10/16/13

YES / NO

SAL Explanation:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Fund Classification  
GAAP Basis (SR)

Governmental

- General Fund
- Special Revenue Funds
- Capital Projects Funds
- Debt Service Funds
- Unclassified Governmental Funds

Proprietary

- Enterprise Funds
- Internal Service Funds
- Unclassified Proprietary Funds

Fiduciary Funds

- Retirement Funds
- Trust and Agency Funds - Other
- Trust and Agency Funds - Federal
- Unclassified Fiduciary

NOT A FUND

- Fund category established for budgeting and accounting purposes only

Fund Classification (S)  
Legal Basis

Governmental

- General Fund
- General Fund Special Accounts
- Transportation Funds
- Feeder Funds
- Other Governmental Cost Funds (O)
- Unallocated Special Funds

Nongovernmental

- Public Service Enterprise
- Working Capital and Revolving Funds
- Bond Funds
- Retirement Funds
- Trust and Agency Funds - Non-Federal
- Trust and Agency Funds - Federal
- Unallocated Nongovernmental Cost Funds
- Various Unclassified Funds

-- ADDITIONAL SPACE --

Purpose of Fund (cont'd):

\_\_\_\_\_  
\_\_\_\_\_

FCM File Comments (cont'd)

(Requester, Internal info, etc.)

\_\_\_\_\_  
\_\_\_\_\_

Treatment (cont'd):

\_\_\_\_\_

Revenue Source (cont'd):

\_\_\_\_\_

Historical Comments (cont'd):

\_\_\_\_\_  
\_\_\_\_\_

**Assembly Bill No. 554**

## CHAPTER 364

An act to amend Sections 1502 and 2117 of the Corporations Code, and to amend, repeal, and add Section 12182 of the Government Code, relating to state government.

[Approved by Governor September 26, 2013. Filed with Secretary of State September 26, 2013.]

## LEGISLATIVE COUNSEL'S DIGEST

AB 554, Mullin. State government: Secretary of State: fees.

(1) Existing law requires every corporation to file a specified statement of information with the Secretary of State and pay a \$5 disclosure fee in addition to any other required fees. Existing law requires one-half of the amount of this disclosure fee to be used for specified purposes, including the development and maintenance of an online database, and the other one-half to be deposited in the Victims of Corporate Fraud Compensation Fund.

This bill would instead require the first half of the disclosure fee to be deposited in the Business Programs Modernization Fund, which would be established by this bill. Moneys deposited in the fund would be made available, upon appropriation, to the Secretary of State of the purposes specified in existing law.

(2) Existing law states that it is the intent of the Legislature that moneys deposited into the Secretary of State's Business Fees Fund be used to support the programs from which the fees are collected and provides that they shall be expended to the extent that appropriations are made in the annual Budget Act.

Existing law authorizes the Secretary of State to charge and collect fees for preclearance of documents and expedited filings if the special handling does not cause disruption or delay in the process of the normal handling of documents, and if the implementation of the special handling is supported by an appropriation in the annual Budget Act. Existing law also specifies that copying and special handling fees shall be accounted as Secretary of State expenditure reimbursements.

This bill would instead provide that, operative July 1, 2014, copying and special handling fees be paid into the Secretary of State's Business Fees Fund and would delete the requirement that the implementation of special handling be supported by an appropriation in the annual Budget Act.

*The people of the State of California do enact as follows:*

## SECTION 1.

Section 1502 of the *Corporations Code* is amended to read:  
1502.

(a) Every corporation shall file, within 90 days after the filing of its original articles and annually thereafter during the applicable filing period, on a form prescribed by the Secretary of State, a statement containing all of the following:

- (1) The name of the corporation and the Secretary of State's file number.
- (2) The names and complete business or residence addresses of its incumbent directors.
- (3) The number of vacancies on the board, if any.
- (4) The names and complete business or residence addresses of its chief executive officer, secretary, and chief financial officer.
- (5) The street address of its principal executive office.
- (6) The mailing address of the corporation, if different from the street address of its



(a) and (b) is true and correct. No claim may be made against the state for inaccurate information contained in the statements.

(k) There is hereby established the Business Programs Modernization Fund in the State Treasury. Moneys deposited into the fund shall, upon appropriation by the Legislature, be available to the Secretary of State to further the purposes of this section, including the development and maintenance of the online database required by subdivision (h), and by subdivision (c) of Section 2117.

SEC. 2.

Section 2117 of the *Corporations Code* is amended to read:  
2117.

(a) Every foreign corporation (other than a foreign association) qualified to transact intrastate business shall file, within 90 days after the filing of its original statement and designation of foreign corporation and annually thereafter during the applicable filing period, on a form prescribed by the Secretary of State, a statement containing the following:

(1) The name of the corporation as registered in California and the California Secretary of State's file number.

(2) The names and complete business or residence addresses of its chief executive officer, secretary, and chief financial officer.

(3) The street address of its principal executive office.

(4) The mailing address of the corporation, if different from the street address of its principal executive office.

(5) The street address of its principal business office in this state, if any.

(6) If the corporation chooses to receive renewal notices and any other notifications from the Secretary of State by electronic mail instead of by United States mail, the corporation shall include a valid electronic mail address for the corporation or for the corporation's designee to receive those notices.

(7) A statement of the general type of business that constitutes the principal business activity of the corporation (for example, manufacturer of aircraft; wholesale liquor distributor; or retail department store).

(b) The statement required by subdivision (a) shall also designate, as the agent of the corporation for the purpose of service of process, a natural person residing in this state or a corporation that has complied with Section 1505 and whose capacity to act as the agent has not terminated. If a natural person is designated, the statement shall set forth the person's complete business or residence street address. If a corporate agent is designated, no address for it shall be set forth.

(c) The statement required by subdivision (a) shall be available and open to the public for inspection. The Secretary of State shall provide access to all information contained in the statement by means of an online database.

(d) In addition to any other fees required, a foreign corporation shall pay a five-dollar (\$5) disclosure fee upon filing the statement required by subdivision (a). One-half of the fee shall, notwithstanding Section 12176 of the Government Code, be deposited into the Business Programs Modernization Fund established in subdivision (k) of Section 1502, and one-half shall be deposited into the Victims of Corporate Fraud Compensation Fund established in Section 2280.

(e) Whenever any of the information required by subdivision (a) is changed, the corporation may file a current statement containing all the information required by subdivisions (a) and (b). In order to change its agent for service of process or the address of the agent, the corporation shall file a current statement containing all the information required by subdivisions (a) and (b). Whenever any statement is filed pursuant to this section, it supersedes any previously filed statement and the statement in the filing pursuant to Section 2105.

(f) Subdivisions (c), (d), (f), and (g) of Section 1502 apply to statements filed

pursuant to this section, except that "articles" shall mean the filing pursuant to Section 2105, and "corporation" shall mean a foreign corporation.

SEC. 3.

Section 12182 of the *Government Code* is amended to read:

12182.

(a) The Secretary of State shall charge and collect fees as provided in this article and may also by regulation establish fees to be charged and collected for copying and special handling in connection with filing documents, issuing of certificates, and other services performed by the office.

(b) Except as provided in subdivision (c), the fees shall approximate the estimated cost of copying and special handling.

(c) Fees charged for preclearance of documents and expedited filings may be in different amounts, which shall not exceed one thousand dollars (\$1,000). Those fees may be charged only if the special handling does not cause disruption or delay in the process of normal handling of documents, and if the implementation of the special handling is supported by an appropriation in the Budget Act.

(d) Copying and special handling fees shall be accounted as Secretary of State expenditure reimbursements.

(e) The preclearance or expedited filing of documents by the Secretary of State or his or her employees pursuant to this section shall be considered discretionary pursuant to Section 820.2.

(f) This section shall become inoperative on June 30, 2014, and, as of January 1, 2015, is repealed.

SEC. 4.

Section 12182 is added to the *Government Code*, to read:

12182.

(a) The Secretary of State shall charge and collect fees as provided in this article and may also by regulation establish fees to be charged and collected for copying and special handling in connection with filing documents, issuing of certificates, and other services performed by the office.

(b) Except as provided in subdivision (c), the fees shall approximate the estimated cost of copying and special handling.

(c) Fees charged for preclearance of documents and expedited filings may be in different amounts, that shall not exceed one thousand dollars (\$1,000). Those fees may be charged only if the special handling does not cause disruption or delay in the process of normal handling of documents.

(d) Copying and special handling fees shall be paid into the Secretary of State's Business Fees Fund.

(e) The preclearance or expedited filing of documents by the Secretary of State or his or her employees pursuant to this section shall be considered discretionary pursuant to Section 820.2.

(f) This section shall become operative commencing July 1, 2014.

PMIB Meeting  
November 20, 2013

Item 6.

**Proposed 2014 PMIB Meeting Dates  
November 20, 2014**

**For the PMIB members' consideration, the proposed 2014 PMIB meeting dates are as follows:**

**January 15, 2014**

**February 19, 2014**

**March 19, 2014**

**April 16, 2014**

**May 21, 2014**

**June 18, 2014**

**July 16, 2014**

**August 20, 2014**

**September 17, 2014**

**October 15, 2014**

**November 19, 2014**

**December 10, 2014**

PMIB Meeting  
November 20, 2013

AB55 LOANS

**POOLED MONEY INVESTMENT BOARD**  
**November 20, 2013**  
**Staff Report – Agenda Item 7**

**AB 55 LOAN REPORTING**

1. **PMIA Loans Monthly Reporting.** The current amount of outstanding approved AB 55 Loans as of October 31, 2013 is \$347,429,649.37.

**AB 55 LOAN RECOMMENDATIONS**

1. **Loan Renewal Requests.** Staff recommends approval of the three loan renewal requests (Items 7.a - 7.c) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Item 7.a reflects an increase necessary to complete construction of the project, as well as to pay accrued interest and administrative costs. Items 7.b & 7.c reflect increases necessary to pay accrued interest and administrative costs. The three loan renewal requests are in compliance with the current AB55 loan policy.

## EXHIBIT A

POOLED MONEY INVESTMENT BOARD  
 LOAN REQUESTS (AB 55 LOANS)  
 For the November 20, 2013 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						New Loan Amount per Loan Application	Impact on the Pool	Original Amount of Existing Loan	Impact on the Pool	Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	STO Loan Recommendations	Impact on the Pool (for recommended loan amount)
<b>RENEWAL REQUEST</b>													
a	Renewal	1230012	1340013	0660 573	<b>Department of Corrections and Rehabilitation</b> <b>SPWB Lease Revenue Bonds</b> Madera County Jail Expansion Project	\$ 28,047,000.00	\$ 27,970,000.00	\$ 77,000.00	N/A	N/A	\$ 28,047,000.00	\$ 77,000.00	BF
b	Renewal	1230013	1340014	0660 689	<b>Department of Forestry and Fire Protection</b> <b>SPWB Lease Revenue Bonds</b> Intermountain Conservation Camp: Replace Facility	\$ 1,103,000.00	\$ 1,095,000.00	\$ 8,000.00	N/A	N/A	\$ 1,103,000.00	\$ 8,000.00	BF
c	Renewal	1230014	1340015	0660 690	<b>Department of Forestry and Fire Protection</b> <b>SPWB Lease Revenue Bonds</b> Miramonte Conservation Camp: Replace Facility	\$ 2,507,000.00	\$ 2,495,000.00	\$ 12,000.00	N/A	N/A	\$ 2,507,000.00	\$ 12,000.00	BF
						<b>\$ 31,657,000.00</b>	<b>\$ 31,560,000.00</b>	<b>\$ 97,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 31,657,000.00</b>	<b>\$ 97,000.00</b>	

Total amount of outstanding PMIA loans as of October 31, 2013  
 Impact on the Pool from this meeting's actions  
 Outstanding PMIA loans after this meeting's actions

Requested	Recommended
\$ 347,429,649.37	\$ 347,429,649.37
\$ 97,000.00	\$ 97,000.00
\$ 347,526,649.37	\$ 347,526,649.37

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1340013

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

\$ 28,047,000.00 cy

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$445,771,000.00</b> ✓	Amount Requested: <b>\$28,047,000.00</b> ✓
Appropriation(s) to be Funded: <b>AB900, Ch 7/07, 5225-801-0660, 61.01.753</b> ✓		<b>365,771,000 - 27B</b> cy	Date Funds Needed: <b>11/22/2013</b> ✓
<b>Jail Facilities Phase I</b>		Government Code Sections <b>15820.90-15820.907</b> ✓	
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>	
Department Director’s Name (please print): <b>Deborah Hysen</b>	Sig: _____	Date: <b>9/25/13</b>	
Name of SPWB Project: cy <b>Madera County Jail Expansion Project</b>	Proj. Manager: <b>Darlene Maston</b>	Phone: <b>916-255-2237</b>	
SCO Fund # (for renewals only): <b>0660 573</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>10/31/2013</b>	

Use of Loan Proceeds: ✓

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
  - To pay vendors directly?
  - To make advances to other state funds\*?
    - \*If Yes, specify :
  - To reimburse local government for vendor payments?
  - To make advances to State Architect Revolving Fund\*?
    - \*If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 
  - \*If Yes, include a completed real estate “due diligence” memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>10/25/2010</b> ✓	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$ 27,970,000 to be used to pay/reduce existing PMIB loan # 1230012
- Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: ~~\$445,771,000.00~~ <sup>370</sup> 365,771,000 Proposed Funding Date: 11/22/2013 ✓  
 Loan Amount: ~~\$28,049,000.00~~ <sup>cy</sup> 28,047,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)
- State Building Construction Act
- Other, specify: \_\_\_\_\_

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 10/25/2010, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name: \_\_\_\_\_  
 Signature: \_\_\_\_\_ Date: 9/25/13

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: \_\_\_\_\_ Administrative Secretary Date: 10/14/2013

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable): <u>13 OCT -1 6M 3:00</u>		
Signature: Executive Secretary of the Pooled Money Investment Board <u>CY</u>		Date

**PMIA Loan Request for SPWB Bond Programs  
Cashflow Statement**

Department Name: Corrections and Rehabilitation Project Name: Madera County Jail Expansion  
 Year: 2013-14 Est. Project Completion Date: 10/31/2013  
 Date: \_\_\_\_\_ Est. Phase Completion Date: N/A Acquisition  
 Loan Amount <sup>(1)</sup>: \$ 28,047,000 11/15/2010 Prelim Plans  
 Funds Disbursed to \_\_\_\_\_ \*Per SCO Report dated 10/25/13 2/16/2011 Work Draw  
 Date: 24,858,954 (incl Prior Interest & Admin) 10/31/2013 Constr <sup>(2)</sup>  
 Name of Bond Program: Lease Revenue - Madera County Jail Expansion N/A Equip  
N/A Design-Build

	(1)	(2)	(3)	(4)	(5)	(6)
	Month and Year	Original Projection	Actual Disbursements	Projections for the Next 12 Months	Cumulative Disbursements	Phase of Project (A,P,W,C,E, D-B)
Prior yrs	JUL/11-OCT/11	26,962,371.00	17,046,952.00		17,046,952	C
1	Nov-12	1,000,000.00	-		17,046,952	C
2	Dec-12	2,276,571.00	-		17,046,952	C
3	Jan-13	1,000,000.00	2,231,921.00		19,278,873	C
4	Feb-13	750,000.00	1,870,993.00		21,149,866	C
5	Mar-13	700,000.00	-		21,149,866	C
6	Apr-13	650,000.00	425,609.00		21,575,475	C
7	May-13	550,000.00	329,675.00		21,905,150	C
8	Jun-13	550,000.00	293,902.00		22,199,052	C
9	Jul-13	450,000.00	425,175.00		22,624,227	C
10	Aug-13	436,659.00	443,363.00		23,067,590	C
11	Sep-13			1,726,263.00	24,793,853	C
12	Oct-13	2,791,470.00		763,306.00	25,557,159	C
	Prior Interest:		42,127.01 ✓		25,599,286	
	Current Interest		73,303.46 ✓		25,672,589	
	Admin. <sup>(3)</sup>		9,270.00 ✓	7,500.00	25,689,359	
13	Nov-13			450,000.00	26,139,359	C
14	Dec-13			450,000.00	26,589,359	C
15	Jan-14			1,457,541.00	28,046,900	C
16	Feb-14				28,046,900	C
17	Mar-14				28,046,900	C
18	Apr-14				28,046,900	C
19	May-14				28,046,900	C
20	Jun-14				28,046,900	C
21	Jul-14				28,046,900	C
22	Aug-14				28,046,900	C
23	Sep-14				28,046,900	C
24	Oct-14				28,047,000	C

(1) Rounded up to nearest thousand;  
 (2) Any project requesting construction funds for the first time must have a completed due diligence memo submitted with loan application;  
 (3) Projected Admin. includes estimated fees from STO, SCO and DOF.

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
 PMIB NO. 1340014

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**  
 (Completed by Requesting Department)

Department Name: <b>Forestry and Fire Protection</b>		Total Project Appropriation: <b>\$21,182,000.00</b>	Amount Requested: <b>\$1,103,000.00</b>
Appropriation(s) to be Funded: <b>Ch. 47/06 Item 3540-301-0660 (2)</b> <b>Ch. 268/08 Item 3540-301-0660 (4.5)</b>		Date Funds Needed: <b>11/22/2013</b>	
Department Contact: <b>Belinda Swackhammer</b>	Title: <b>Capital Outlay Associate Analyst</b>	Phone: <b>(916) 322-1205</b>	
Department Director’s Name (please print): <b>Tony Favro (Deputy Director)</b>		Date: <b>10/9/13</b>	
Name of SPWB Project: <b>Intermountain CC: Replace Facility</b>	<b>Doug Brenning, DGS PMB</b>	Phone: <b>(916) 376-1639</b>	
SCO Fund # (for renewals only): <b>0660 689</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>2/1/2017</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
  - To pay vendors directly?  To reimburse local government for vendor payments?
  - To make advances to other state funds\*?  To make advances to State Architect Revolving Fund\*?
  - \*If Yes, specify: \_\_\_\_\_ \*If Yes, specify: **DGS-PMB Project No. 122166**
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 
  - \*If Yes, include a completed real estate “due diligence” memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>8/18/2006</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
<b>10/10/2008</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 1,015,000 to be used to pay/reduce existing PMIB loan # 1230013

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable): \_\_\_\_\_

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
 (See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$21,182,000.00 Proposed Funding Date: 11/22/2013  
Loan Amount: \$1,103,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)
- State Building Construction Act
- Other, specify: \_\_\_\_\_

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/18/2006, 10/10/2008, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name  
Department of Forestry and Fire Protection

Signature: *[Handwritten Signature]* Date: 10/9/13

The Department certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: Administrative Secretary Deputy Director Date: 10/18/13

POLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		

Conditions (if applicable): *[Handwritten Note]*

Signature: Executive Secretary of the Pooled Money Investment Board Date

# PMIA Loan Request for SPWB Bond Programs

## Cashflow Statement

Department Name: Forestry and Fire Protection Project Name: Intermountain CC: Replace Facility

Year: 2013 Est. Project Completion Date: 2/1/2018

Date: 9/26/2013 Est. Phase Completion Date: N/A Acquisition

Loan Amount <sup>(1)</sup>: \$ 1,103,000 5/8/2009 Prelim Plans

Funds Disbursed to \_\_\_\_\_ \*Per SCO Report dated 11/04/13 9/14/2015 Work Draw

Date: \$1,093,230.93 (incl Prior Interest & Admin) 2/1/2018 Constr <sup>(2)</sup>

Name of Bond Program: Public Buildings Construction Fund

- Equip  
- Design-Build

	(1)	(2)	(3)	(4)	(5)	(6)
				Projections for the Next 12 Months	Cumulative Disbursements	Phase of Project (A,P,W,C,E, D-B)
Month and Year	Original Projection	Actual Disbursements				

Prior yrs	7/1/06-9/30/2012					
		970,948.69			970,949	P
1	Oct-12	-			970,949	P
2	Nov-12	-			970,949	P
3	Dec-12	-			970,949	P
4	Jan-13	-			970,949	P
5	Feb-13	-			970,949	P
6	Mar-13	-			970,949	P
7	Apr-13	-			970,949	P
8	May-13	-			970,949	P
9	Jun-13	-			970,949	P
10	Jul-13	-			970,949	P
11	Aug-13	-			970,949	P
12	Sep-13	-			970,949	P
	Prior Interest	92,511.83			1,063,461	-
	Current Interest	3,438.13			1,066,899	-
	Admin. <sup>(3)</sup>	28,848.00	7,500.00		1,103,247	-
13	Oct-13			-	1,103,247	P
14	Nov-13			-	1,103,247	P
15	Dec-13			-	1,103,247	P
16	Jan-14			-	1,103,247	P
17	Feb-14			-	1,103,247	P
18	Mar-14			-	1,103,247	P
19	Apr-14			-	1,103,247	P
20	May-14			-	1,103,247	P
21	Jun-14			-	1,103,247	P
22	Jul-14			-	1,103,247	P
23	Aug-14			-	1,103,247	P
24	Sep-14			-	1,103,000	P

(1) Rounded up to nearest thousand;

(2) Any project requesting construction funds for the first time must have a completed due diligence memo submitted with loan application;

(3) Projected Admin. includes estimated fees from STO, SCO and DOF.

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1340015

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**

(Completed by Requesting Department)

Department Name: <b>Forestry and Fire Protection</b>		Total Project Appropriation: <b>\$52,723,259.00</b>	Amount Requested: <b>\$2,507,000.00</b>
Appropriation(s) to be Funded: <b>Ch. 47/06 Item 3540-301-0660 (4)</b> <b>Ch. 268/08 Item 3540-301-0660 (9)</b>			Date Funds Needed: <b>11/22/2013</b>
Department Contact: <b>Belinda Swackhammer</b>		Title: <b>Capital Outlay Associate Analyst</b>	Phone: <b>(916) 322-1205</b>
Department Director’s Name (please print): <b>Tony Favro (Deputy Director)</b>			Date: <b>10/9/13</b>
Name of SPWB Project: <b>Miramonte CC: Replace Facility</b>		Phone: <b>(916) 376-1629</b>	
SCO Fund # (for renewals only): <b>0660 690</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>8/30/2018</b>	

**Use of Loan Proceeds:**

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

<input checked="" type="checkbox"/> To pay vendors directly?	<input type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*?	<input checked="" type="checkbox"/> To make advances to State Architect Revolving Fund*?

\*If Yes, specify : \_\_\_\_\_
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 

\*If Yes, include a completed real estate “due diligence” memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
8/18/2006	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
10/10/2008	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 2,495,000 to be used to pay/reduce existing PMIB loan # 1230014

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.

agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$52,723,259.00 - J.H.H. Proposed Funding Date: 11/22/2013  
Loan Amount: \$2,507,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)  State Building Construction Act  Other, specify: \_\_\_\_\_

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/18/2006, 10/10/2008, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name  
**Department of Forestry and Fire Protection**  
Signature: Department Director *[Signature]* Date 10/9/13

The State certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Administrative Secretary *Deputy Director* Date 10/18/13

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Comptroller of the State of California		
Director of Finance of the State of California		

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board Date

