

**P. M. I. B.**

**Wednesday, October 19, 2016**

**10:00 a.m.**

PMIB Meeting  
October 19, 2016

DESIGNATION

# POOLED MONEY INVESTMENT ACCOUNT

## PORTFOLIO SUMMARY REPORT

October 19, 2016

(Dollars in Billions)

	09/30/16	08/31/16	Change From Prior Month	09/30/15
<b>Total Portfolio</b>				
Amount	\$68.283	\$69.743	(\$1.460)	\$66.494
Effective Yield	0.648%	0.623%	0.025%	0.345%
Quarter-to-date Yield	0.611%	0.600%	0.011%	0.329%
Year-to-date Yield	0.611%	0.600%	0.011%	0.329%
Average Life (in days)	165	162	3	210
<b>AB 55 Loans (Government Code §16312 and §16313)</b>				
Approved	\$0.556	\$0.556	\$0.000	\$0.459
Disbursed	\$0.243	\$0.213	\$0.030	\$0.120
<b>Local Agency Investment Fund</b>				
Deposits	\$21.159	\$21.326	(\$0.167)	\$19.972
Number of Participants	2,463	2,461	2	2,476

**POOLED MONEY INVESTMENT ACCOUNT**  
**Forecast of Changes in Portfolio/Bank Balances**  
**for the Period October 17, 2016 through December 16, 2016**  
(In Thousands of Dollars)

	10/17/16 to 10/21/16	10/24/16 to 10/28/16	10/31/16 to 11/04/16	11/07/16 to 11/11/16	11/14/16 to 11/18/16	11/21/16 to 11/25/16	11/28/16 to 12/02/16	12/05/16 to 12/09/16	12/12/16 to 12/16/16	Total
Purchase of Investments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,929,700	\$ 0	\$ 0	\$ 1,071,300	\$ 3,001,000
Liquidation of Investments	507,100	413,300	995,000	2,391,600	673,000	0	2,314,200	495,900	0	7,790,100
Net Changes in Portfolio	\$ (507,100)	\$ (413,300)	\$ (995,000)	\$ (2,391,600)	\$ (673,000)	\$ 1,929,700	\$ (2,314,200)	\$ (495,900)	\$ 1,071,300	\$ (4,789,100)
Analysis of Changes:										
Estimated Pooled Receipts (Page 2)	\$ 5,814,400	\$ 6,643,800	\$ 8,679,400	\$ 2,777,300	\$ 4,434,300	\$ 5,025,700	\$ 7,394,100	\$ 3,710,000	\$ 6,828,900	\$ 51,307,900
Estimated Pooled Disbursements (Page 3)	5,919,200	10,061,100	9,303,400	2,016,900	4,190,300	2,969,000	13,094,300	2,838,900	4,410,600	54,803,700
Available for Investment	\$ (104,800)	\$ (3,417,300)	\$ (624,000)	\$ 760,400	\$ 244,000	\$ 2,056,700	\$ (5,700,200)	\$ 871,100	\$ 2,418,300	\$ (3,495,800)
Outstanding Warrants, Beginning	\$ 4,058,300	\$ 3,656,000	\$ 6,660,000	\$ 6,289,000	\$ 3,137,000	\$ 2,220,000	\$ 2,093,000	\$ 5,479,000	\$ 4,112,000	\$ 4,058,300
Outstanding Warrants, Ending	3,656,000	6,660,000	6,289,000	3,137,000	2,220,000	2,093,000	5,479,000	4,112,000	2,765,000	2,765,000
Available for Investment	\$ (402,300)	\$ 3,004,000	\$ (371,000)	\$ (3,152,000)	\$ (917,000)	\$ (127,000)	\$ 3,386,000	\$ (1,367,000)	\$ (1,347,000)	\$ (1,293,300)
Treasurer's Compensation Bank Balance, Beginning	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200	\$ 1,593,200
Treasurer's Compensation Bank Balance, Ending	1,593,200	1,593,200	1,593,200	1,593,200	1,593,200	1,593,200	1,593,200	1,593,200	1,593,200	1,593,200
Available for Investment	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Net Available for Investment	\$ (507,100)	\$ (413,300)	\$ (995,000)	\$ (2,391,600)	\$ (673,000)	\$ 1,929,700	\$ (2,314,200)	\$ (495,900)	\$ 1,071,300	\$ (4,789,100)

Compiled under the direction of the  
POOLED MONEY INVESTMENT BOARD

**Estimated Pooled Money Receipts**  
for the Period October 17, 2016 through December 16, 2016  
(In Thousands of Dollars)

	10/17/16 to 10/21/16	10/24/16 to 10/28/16	10/31/16 to 11/04/16	11/07/16 to 11/11/16	11/14/16 to 11/18/16	11/21/16 to 11/25/16	11/28/16 to 12/02/16	12/05/16 to 12/09/16	12/12/16 to 12/16/16	Total
Sales, Liquor, and Utility Surcharge	\$ 710,200	1,290,800	2,913,900	132,000	622,400	2,699,700	752,600	121,000	360,700	\$ 9,603,300
Motor Vehicle Fuel Tax	260,300	6,700	12,100	5,000	330,000	5,800	25,900	7,800	341,600	995,200
Personal Income, Bank & Corporation Taxes	1,853,600	1,085,100	1,481,400	871,600	1,335,300	744,500	1,949,600	1,317,400	3,223,000	13,861,500
Motor Vehicle License and Registration Fees	135,800	145,500	164,700	134,800	157,400	120,000	175,500	164,900	162,400	1,361,000
Highway Property Rentals, Tolls and Other Collections	7,300	26,100	9,500	5,800	15,900	22,000	12,000	22,300	29,200	150,100
Cigarette Tax Collection	1,800	58,600	4,900	700	1,300	43,400	17,500	1,700	900	130,800
Insurance Gross Premium Taxes	2,600	12,800	9,000	45,600	46,400	88,600	372,300	2,900	6,100	586,300
Earnings on Pooled Money Investments	6,300	6,300	6,300	6,300	6,300	6,300	6,300	6,300	6,300	56,700
Water Resources Collections	28,700	34,100	62,700	29,400	35,100	25,500	61,500	19,900	28,100	325,000
State Lottery	52,900	51,900	50,900	58,500	58,400	47,200	47,200	53,100	54,700	474,800
<b>Non-Revenue Receipts</b>										
Highway Reimbursements	43,100	119,100	223,400	106,400	60,300	97,100	46,800	44,800	74,700	815,700
Local Assistance Programs	1,537,400	2,666,800	1,166,000	704,400	956,100	495,200	2,399,000	1,110,700	986,200	12,021,800
Local Agency Investment Collections	258,800	474,500	196,700	273,400	333,700	303,700	361,600	371,800	884,100	3,458,300
Disability Insurance Collections	93,000	96,600	136,400	87,100	117,300	51,300	136,100	91,300	104,900	914,000
Escrow Fund Maturities/Proceeds from the Sale of State Bonds	470,000	170,000	1,881,700	0	21,200	100	616,400	0	0	3,159,400
Unsegregated	352,600	398,900	359,800	316,300	337,200	275,300	413,800	374,100	566,000	3,394,000
Total	\$ <u>5,814,400</u>	\$ <u>6,643,800</u>	\$ <u>8,679,400</u>	\$ <u>2,777,300</u>	\$ <u>4,434,300</u>	\$ <u>5,025,700</u>	\$ <u>7,394,100</u>	\$ <u>3,710,000</u>	\$ <u>6,828,900</u>	\$ <u>51,307,900</u>

**Estimated Pooled Money Disbursements**  
**for the Period October 17, 2016 through December 16, 2016**  
(In Thousands of Dollars)

	10/17/16 to 10/21/16	10/24/16 to 10/28/16	10/31/16 to 11/04/16	11/07/16 to 11/11/16	11/14/16 to 11/18/16	11/21/16 to 11/25/16	11/28/16 to 12/02/16	12/05/16 to 12/09/16	12/12/16 to 12/16/16	Total
Local Assistance:										
Public Schools and Community Colleges	\$ 100,000	3,958,600	100,000	100,000	100,000	100,000	3,837,000	100,000	100,000	\$ 8,495,600
Social Services	110,000	110,000	821,600	110,000	592,200	110,000	540,200	110,000	357,100	2,861,100
Health Care Services	2,220,000	3,631,400	2,769,200	769,900	780,700	762,400	2,757,500	1,161,700	1,343,300	16,196,100
Healthy Families	63,300	63,300	52,500	52,500	52,500	52,500	52,500	65,100	65,100	519,300
Other Local Assistance (DDS)	10,000	10,000	350,000	10,000	10,000	10,000	350,000	10,000	10,000	770,000
Local Sales Tax Apportionment (BOE)	975,800	0	0	0	1,301,000	0	0	0	0	2,276,800
Highway Users Tax Apportionment	0	0	115,000	0	0	0	132,000	0	0	247,000
Motor Vehicle License Fees	0	0	0	0	0	0	0	0	0	0
Sales Tax - 1/2% for Public Safety	0	258,000	0	0	0	300,000	0	0	0	558,000
Lottery Apportionment	0	0	0	0	0	0	0	0	0	0
Trial Courts	0	0	0	0	22,800	0	0	0	23,400	46,200
Homeowners Property Tax	0	0	0	0	0	0	57,000	0	0	57,000
State Transit Assistance	146,700	0	0	76,000	0	0	0	0	0	222,700
1991 Realignment Apportionment	0	396,900	0	0	0	417,500	0	0	0	814,400
2011 Realignment Apportionment	302,900	550,700	0	0	132,700	151,000	435,600	0	75,000	1,647,900
Other	0	0	500	0	0	15,000	700	0	0	16,200
Architecture Revolving Fund	3,400	5,200	3,500	1,900	6,600	4,100	3,100	4,400	2,600	34,800
Highways	196,000	106,000	356,000	86,000	106,000	60,000	112,000	356,000	106,000	1,484,000
University of California	1,000	1,000	257,600	1,000	1,000	1,000	257,600	1,000	1,000	522,200
Payroll Revolving Fund	112,500	56,500	1,534,100	56,500	112,500	56,500	1,534,100	56,500	112,500	3,631,700
Local Agency Investment Fund	313,300	436,100	331,400	352,000	388,200	409,200	417,200	388,200	410,900	3,446,500
Income Tax Refunds	361,900	83,600	81,300	53,100	203,400	163,600	203,800	235,500	89,600	1,475,800
Debt Service	160,000	50,000	1,270,000	0	0	0	1,220,600	0	0	2,700,600
Commercial Paper	159,300	0	0	0	0	0	0	0	0	159,300
Veterans' Farm & Home Building Fund	4,100	300	3,100	3,000	5,500	7,000	62,400	1,400	100	86,900
Disability Insurance Benefits	113,600	113,600	113,600	113,600	113,600	113,600	113,600	113,600	113,600	1,022,400
Lottery Prizes	100,600	94,900	96,400	96,400	94,900	100,600	94,900	96,400	94,900	870,000
State and Local Bond Construction	30,000	30,000	942,600	30,000	54,300	30,000	807,500	34,100	46,700	2,005,200
Water Resources - Electric Power	5,400	0	0	0	7,400	0	0	0	5,400	18,200
Public Employees' Retirement System	0	0	0	0	0	0	0	0	892,300	892,300
State Teachers' Retirement System	324,400	0	0	0	0	0	0	0	456,100	780,500
Revenue Anticipation Notes & Interest	0	0	0	0	0	0	0	0	0	0
Unsegregated	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	945,000
<b>Total</b>	<b>\$ 5,919,200</b>	<b>\$ 10,061,100</b>	<b>\$ 9,303,400</b>	<b>\$ 2,016,900</b>	<b>\$ 4,190,300</b>	<b>\$ 2,969,000</b>	<b>\$ 13,094,300</b>	<b>\$ 2,838,900</b>	<b>\$ 4,410,600</b>	<b>\$ 54,803,700</b>

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on October 19, 2016, has determined and designated the amount of money available for deposit and investment as of October 12, 2016, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as  
Compensating Balance for Services: \$ 1,593,200,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
( 1 )	10/17/2016	10/21/2016	\$ (507,100,000)	\$ (5,694,500,000)	\$ 5,187,400,000	\$ (507,100,000)
( 2 )	10/24/2016	10/28/2016	\$ (413,300,000)	\$ (6,107,800,000)	\$ 5,187,400,000	\$ (920,400,000)
( 3 )	10/31/2016	11/4/2016	\$ (995,000,000)	\$ (7,102,800,000)	\$ 5,187,400,000	\$ (1,915,400,000)
( 4 )	11/7/2016	11/11/2016	\$ (2,391,600,000)	\$ (9,494,400,000)	\$ 5,187,400,000	\$ (4,307,000,000)
( 5 )	11/14/2016	11/18/2016	\$ (673,000,000)	\$ (10,167,400,000)	\$ 5,187,400,000	\$ (4,980,000,000)
( 6 )	11/21/2016	11/25/2016	\$ 1,929,700,000	\$ (8,237,700,000)	\$ 5,187,400,000	\$ (3,050,300,000)
( 7 )	11/28/2016	12/2/2016	\$ (2,314,200,000)	\$ (10,551,900,000)	\$ 5,187,400,000	\$ (5,364,500,000)
( 8 )	12/5/2016	12/9/2016	\$ (495,900,000)	\$ (11,047,800,000)	\$ 5,187,400,000	\$ (5,860,400,000)
( 9 )	12/12/2016	12/16/2016	\$ 1,071,300,000	\$ (9,976,500,000)	\$ 5,187,400,000	\$ (4,789,100,000)

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of \$ 1,593,200,000.

POOLED MONEY INVESTMENT BOARD:

\_\_\_\_\_  
Chairperson

\_\_\_\_\_  
Member

Dated: October 19, 2016

\* Government Code

\_\_\_\_\_  
Member

PMIB Meeting  
October 19, 2016

SMD

**POOLED MONEY INVESTMENT BOARD**  
 (Surplus Money Investment Fund)  
 Period September 1 through September 30, 2016

**DECLARATION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
<hr/>	
TOTAL \$	11,706,507,000.00*

**REDUCTION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL \$	13,871,529,000.00*

**POOLED MONEY INVESTMENT BOARD**

\_\_\_\_\_  
Member

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Member

Dated: October 19, 2016

\*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20160901 TO 20160930

FUND	FUNDNAME	DECLARATION	REDUCTION
0005000	SAFENEIGH PKS, CLNWTR,CLNAIR/	0	3,198,000
0006000	DISABILITY ACCESS ACCOUNT	28,608,000	43,000,000
0007000	BREAST CANCER RESEARCH ACCOUNT	0	133,000
0009000	BREAST CANCER CONTROL ACCOUNT	18,000	263,000
0012000	ATTY GEN ANTITRUST ACCOUNT	1,200,000	1,842,000
0014000	HAZARDOUS WASTE CONTROL ACCT	27,139,000	37,539,000
0017000	FINGERPRINT FEES ACCOUNT	110,302,000	161,932,000
0018000	SITE REMEDIATION ACCOUNT	26,957,000	41,125,000
0023000	FARMWORKER REMEDIAL ACCOUNT	429,000	600,000
0024000	GUIDE DOGS FOR BLIND FUND	1,000	3,000
0028000	UNIFIED PROGRAM ACCOUNT	11,442,000	17,261,000
0032000	FIREARM SAFETY ACCOUNT	8,293,000	12,406,000
0033000	STATE ENERGY CONSER ASST ACCT	4,400,000	7,267,000
0035000	SURFACE MINING & RECLAMATION	7,453,000	11,200,000
0041000	AERONAUTICS ACCOUNT	11,000	1,698,000
0042000	STATE HIGHWAY ACCOUNT	352,765,000	410,884,000
0044000	MOTOR VEHICLE ACCOUNT	191,146,000	468,375,000
0046000	PUBLIC TRANSPORTATION ACCT	0	30,884,000
0048000	TRANSPORTATION REV ACCOUNT	598,707,000	855,408,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	28,000	1,100,000
0055000	MASS TRANSIT REVOLVING ACCOUNT	0	985,000
0061000	MOTOR VEHICLE FUEL ACCOUNT	438,101,000	441,852,000
0064000	MOTOR VEHICLE LIC FEE ACCT	47,221,000	83,946,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	5,800,000	8,716,000
0066000	SALE OF TOBACCO TO MINORS CTRL	10,000	373,000
0067000	STATE CORPORATIONS FUND	5,170,000	6,183,000
0069000	BARBERING/COSMETOLOGY CONT FD	2,202,000	2,853,000
0074000	MEDICAL WASTE MANAGEMENT FUND	91,000	432,000
0075000	RADIATION CONTROL FUND	1,753,000	3,480,000
0076000	TISSUE BANK LICENSE FUND	100,000	84,000
0078000	GRAPHIC DESIGN LICENSE PLATE F	101,000	321,000
0080000	CHILDHOOD LEAD POISONG PVTN FD	0	4,004,000
0082000	EXPORT DOCUMENT PROGRAM FUND	3,640,000	5,496,000
0083000	VETERANS SERVICE OFFICE FUND	95,000	0
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	9,000	0
0094000	RETAIL SALES TAX	8,059,000	0
0098000	CLINICAL LAB IMPROVEMENT FUND	1,104,000	1,798,000
0099000	HEALTH STATISTICS SPEC FUND	2,002,000	3,845,000
0100000	CALIF USED OIL RECYCLING FUND	63,000	4,332,000
0106000	PESTICIDE REGULATION FUND	1,371,000	11,919,000
0108000	ACUPUNCTURE FUND	181,000	117,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	13,379,000	3,243,000
0115000	AIR POLLUTION CONTROL FUND	6,008,000	19,280,000
0121000	HOSPITAL BUILDING FUND	6,664,000	6,625,000
0124000	CA.AGRI.EXPORT PROMOTION ACCT-	4,000	0
0129000	WATER DEVICE CERT SPEC ACC	11,000	16,000
0132000	WORKERS COMP MNGDCARE FUND	0	4,000
0133000	CALIF BEVERAGE CONTAINER RECYL	82,508,000	198,811,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20160901 TO 20160930

FUND	FUNDNAME	DECLARATION	REDUCTION
0140000	ENVIRONMENTL LICENSE PLATE FD	3,153,000	5,256,000
0141000	SOIL CONSERVATION FUND	2,133,000	317,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	5,145,000	7,590,000
0143000	CA HEALTH DATA & PLANNING FD	1,630,000	5,897,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	269,000	62,000
0158000	TRAVEL SELLER	58,000	23,000
0159000	ST TRIAL COURT IMPROV & MODERN	12,932,000	12,734,000
0163000	CONTINUING CARE PROVIDER FEE	9,000	150,000
0166000	CERTIFICATION ACCT-CONS AFF FD	0	30,000
0168000	STRUCTURAL PEST CONTROL	8,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	216,000	186,000
0171000	CALIF DEBT & INVEST ADV COMM	381,000	212,000
0172000	DEV DISABILITIES PROGRAM DEV	0	68,000
0175000	DISPENSING OPTICIANS FUND	29,000	7,000
0177000	FOOD SAFETY FUND	474,000	1,485,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	424,000	203,000
0181000	REGISTERED NURSE EDUCATION	184,000	118,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	0	1,995,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	478,000	5,896,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	53,243,000	76,239,000
0191000	FAIR AND EXPOSITION FUND	0	78,000
0193000	WASTE DISCHARGE PERMIT FUND	1,998,000	22,296,000
0194000	EMERG MED SRVS TRNG PGM APROV	18,000	24,000
0198000	CA FIRE & ARSON TRAINING FD	645,000	117,000
0203000	GENETIC DISEASE TESTING FUND	5,475,000	11,552,000
0207000	FISH & WILDLIFE POLLUTION ACCT	15,000	7,000
0209000	CA HAZRD LIQUID PIPLNE SAFTY	30,000	545,000
0210000	OUTPATIENT SETTING FUND	0	1,000
0211000	CA WTRFOWL HAB PRESERVATION	0	4,000
0213000	NATIVE SPECIES CONSV & ENHAN	3,000	0
0217000	INSURANCE FUND	4,798,000	33,981,000
0223000	WORKERS' COMP ADMIN REVOLV FD	20,576,000	58,119,000
0226000	CA TIRE RECYCLING MGMT FUND	80,000	7,476,000
0228000	SEC OF STATE'S BUSINESS FEE FD	2,689,000	5,641,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	41,362,000	24,502,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	3,613,000	950,000
0232000	CIGARET.& TOB./HOSPITAL SERV.	36,168,000	0
0233000	CIGARET.& TOB./PHYSICIAN SERV.	15,075,000	5,000,000
0234000	CIGARET.& TOB./RESEARCH ACCT.	1,066,000	218,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	1,186,000	56,000
0236000	CIGARET. &TOB./UNALLOCATED	12,410,000	2,369,000
0238000	VETS CEMETERY PERPETUAL MAINT	0	6,000
0239000	PRIVATE SECURITY SERVS FUND	1,069,000	1,117,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	1,000	8,000
0241000	LOC PUB PROSECUTR/DEFNDR TNG	44,000	9,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	410,000	865,000
0247000	DRINKING WATER OPERATOR CERT	226,000	176,000
0256000	SEXUAL PREDATOR PUBLIC INFO	6,000	3,000

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FROM: 20160901 TO 20160930

FUND	FUNDNAME	DECLARATION	REDUCTION
0259000	SUPPLEMENTAL CONTRIB PROG FUND	14,000	14,000
0261000	OFF HIGHWAY LICENSE FEE	62,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	1,067,000	11,999,000
0264000	OSTEOPATHIC MED BD CONTINGENT	195,000	31,000
0268000	PEACE OFFICERS TRAINING	1,907,000	4,246,000
0269000	GLASS PROCESSING FEE ACCOUNT	248,000	10,945,000
0270000	TECHNICAL ASSISTANCE FUND	1,938,000	6,610,000
0271000	CERTIFICATION FUND	85,000	310,000
0272000	INFANT BOTULISM TRTMT & PREV	455,000	988,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	37,000	18,000
0277000	BIMETAL PROCESSING FEE ACCT	73,000	15,000
0278000	PET PROCESSING FEE ACCT	253,000	4,990,000
0279000	CHILD HEALTH & SAFETY FUND	317,000	792,000
0280000	PHYSICIAN ASSISTANT FD	178,000	41,000
0281000	RECYCLING MARKET DEV REV LOAN	244,000	630,000
0289000	HLTH INS COUNSELING/ADVOCACY	0	201,000
0290000	PILOT COMMISSIONERS' SPEC FD	93,000	89,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	113,000	437,000
0294000	REMOVAL/REMEDIAL ACTION ACCT	0	161,000
0295000	PODIATRIC MEDICINE FUND	89,000	48,000
0296000	COACHELLA VALLEY MTN CONSRV	0	1,000
0298000	FINANCIAL INSTITUTIONS FUND	25,744,000	2,925,000
0299000	CREDIT UNION FUND	8,775,000	855,000
0300000	PROFESSIONAL FORESTER REGIS	108,000	17,000
0305000	PRIVATE POSTSECONDARY ED	970,000	1,154,000
0306000	SAFE DRINKING WATER ACCOUNT	112,000	2,164,000
0310000	PSYCHOLOGY FUND	409,000	121,000
0312000	EMERG MED SVCS PERSONNEL FUND	235,000	539,000
0317000	REAL ESTATE FUND	6,310,000	10,882,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	31,045,000	44,649,000
0319000	RESPIRATORY CARE FUND	234,000	60,000
0320000	OIL SPILL PREVENTION & ADMIN	4,394,000	5,720,000
0321000	OIL SPILL RESPONSE TRUST FUND	19,000	0
0322000	ENVIRONMENTAL ENHANCEMENT FUND	0	21,000
0325000	ELECTRONIC & APPL REPAIR FUND	524,000	77,000
0326000	ATHLETIC COMMISSION FUND	171,000	26,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	0	3,891,000
0329000	VEHICLE LICENSE COLLECTN ACCT	3,646,000	11,699,000
0331000	SALES TAX ACCOUNT	142,274,000	373,954,000
0332000	VEHICLE LICENSE FEE ACCOUNT	153,822,000	283,181,000
0335000	REGISTERED ENV HLTH SPECLST FD	50,000	66,000
0336000	MINE RECLAMATION ACCOUNT	8,400,000	12,712,000
0338000	STRONG-MOTION INSTR/SEIMC MAPG	441,000	266,000
0347000	SCHOOL LAND BANK FUND	0	110,000
0351000	MENTAL HEALTH SUBACCOUNT-	93,379,000	93,379,000
0365000	HISTORIC PROPERTY MAINT FUND	112,000	0
0367000	INDIAN GAMING SPEC DISTRIB FD	0	4,964,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	128,000	35,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0378000	ATTORNEY GEN FALSE CLAIMS ACT	0	218,000
0381000	PUB INTEREST R D & D	8,000	145,000
0382000	RENEWABLE RESOURCE TRUST FUND	0	8,660,000
0386000	SOLID WASTE DISP CLEANUP TRUST	1,000	315,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	783,000	7,573,000
0392000	ST PARKS AND RECREATION ACCT	8,172,000	37,185,000
0396000	SELF-INSURANCE PLANS FUND	12,000	229,000
0399000	STRUCTL PEST CONTRL EDU&ENFORC	31,000	6,000
0400000	REAL ESTATE APPRAISERS REG FD	449,000	143,000
0402000	SAFE CLEAN WATER SUPPLY	0	493,000
0407000	TEACHER CREDENTIALS	2,471,000	2,569,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	0	153,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	0	5,000
0412000	TRANSPORTATION RATE	114,000	69,000
0419000	WATER RECYCLING SUBACCOUNT	0	38,000
0421000	VEHICLE INSPECTION & REPAIR FD	9,116,000	40,683,000
0425000	VICTIM-WITNESS ASSISTANCE FD	541,000	2,685,000
0429000	LOCAL JURISDICTION ENGY.ASSIST	400,000	600,000
0436000	UNDERGRD STOR TANK TESTER ACCT	3,000	2,000
0439000	UNDERGRD STOR TANK CLEANUP FD	49,094,000	62,018,000
0447000	WILDLIFE RESTORATION FUND	1,299,000	3,506,000
0448000	OCCUPANCY COMPLIANCE MONITOR	589,000	639,000
0449000	WINTER RECREATION FUND	0	17,000
0452000	ELEVATOR SAFETY ACCOUNT	908,000	4,783,000
0453000	PRESSURE VESSEL ACCOUNT	756,000	940,000
0456000	EXPEDITED SITE REMEDIATION	1,800,000	2,700,000
0457000	TAX CREDIT ALLOC FEE ACCOUNT	1,936,000	811,000
0458000	SITE OPERATN & MAINTENANCE ACC	6,400,000	9,629,000
0459000	TELEPHONE MED ADV SERV. FD	50,000	5,000
0460000	DEALERS RECORD OF SALES SP ACT	10,402,000	14,455,000
0461000	PUC TRANSPORTATION REIMB ACCT	15,686,000	31,322,000
0462000	PUC UTILITIES REIMBMENT ACCT	56,200,000	91,040,000
0464000	HIGH COST FUND A ADM COMM FD	3,115,000	5,643,000
0465000	ENERGY RESOURCES PROGRAMS A/C	20,332,000	42,950,000
0470000	HIGH-COST FUND-B ADM COMM FD	29,000	7,410,000
0471000	UNIV LIFELINE TELEP SVC TRST	53,716,000	55,163,000
0475000	UNDERGROUND STORAGE TANK FD	200,000	300,000
0478000	VECTORBORNE DISEASE ACCOUNT	431,000	629,000
0479000	ENRGY TECH RESCH DEV&DEMO ACCT	5,800,000	8,700,000
0481000	GARMNT MANUFACTURERS SPECL A/C	23,000	47,000
0483000	DEAF & DESABLED TELECOMM PGM	4,738,000	9,095,000
0492000	STATE ATHLETIC COMM NEURO EXAM	1,201,000	1,802,000
0493000	TELECONNECT FD - ADM COMM FD	10,455,000	17,847,000
0496000	DEVELOP.DISAB.SERVICE ACCT.	200,000	300,000
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	9,512,000	19,002,000
0501000	CALIFORNIA HOUSING FINANCE	80,061,000	52,329,000
0502000	CALIF WATER RESOURCES DEV BOND	61,836,000	73,596,000
0506000	CENTRAL VALLEY WATER PROJ CONS	25,957,000	14,103,000

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FROM: 20160901 TO 20160930

FUND	FUNDNAME	DECLARATION	REDUCTION
0507000	CENTRAL VALLEY WATER PROJ REV	20,509,000	200,000
0512000	ST COMPENSATION INSURANCE FD	299,458,000	251,767,000
0514000	EMPLOYMENT TRAINING FUND	195,000	5,053,000
0516000	HARBORS & WATERCRAFT REVOL FD	3,613,000	7,429,000
0518000	HLTH FAC CONST LOAN INSURANCE	331,000	207,000
0524000	L.A. ST. BLDG. AUTHORITY FUND	4,354,000	0
0526000	CA SCHOOL FINANCE AUTHORITY FD	66,000	29,000
0528000	ALTERNATIVE ENERGY AUTH FD	527,000	216,000
0530000	MOBILEHM PK REHAB & PURCHASE F	383,000	20,000
0538000	SAN FRANCISCO STATE BUILDING	46,000	45,000
0539000	OAKLAND STATE BLDG AUTHORITY	1,000	0
0557000	TOXIC SUBSTANCES CONTROL ACCT	43,102,000	83,815,000
0558000	FARM & RANCH SOLID WASTE CLEAN	3,400,000	5,122,000
0561000	RIVERSIDE CO PUB FIN AUTH FUND	830,000	0
0562000	STATE LOTTERY FUND	202,725,000	453,233,000
0564000	SCHOLARSHARE ADM FUND	215,000	131,000
0566000	DOJ CHILD ABUSE FUND	3,209,000	4,807,000
0567000	GAMBLING CONTROL FUND	53,500,000	101,846,000
0569000	GAMBLING CONTROL FINES & PENLT	6,407,000	12,800,000
0574000	HIGHER EDUC CAP OUTLAY BD FD	0	20,000
0576000	ST UNIV DORMITORY CONSTRUCTN	35,472,000	103,262,000
0578000	ST UNIV DORM INTEREST/REDEMP	18,447,000	18,445,000
0582000	HIGH POLLUTER REPAIR/REMOVAL	3,612,000	3,209,000
0587000	FAMILY LAW TRUST FUND	217,000	0
0588000	UNEMPLOYMENT COMP DISABL FUND	132,864,000	120,007,000
0592000	VETS FARM/HOME BUILDING-1943	21,602,000	52,149,000
0600000	VENDING STAND FUND	222,000	192,000
0601000	AGRICULTURE BUILDING FUND	0	50,000
0604000	ARMORY FUND	25,000	0
0617000	STATE WTR. POLLUTION CONTROL	33,959,000	62,216,000
0623000	CA CHILDREN/FAMILIES TRUST FD	60,345,000	274,000
0629000	SAFE DRINKING WTR ST REVLY FD	73,000	23,870,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	0	2,351,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	0	10,000
0636000	CHILD CARE ACCT, CHILD/FAM FRS	0	923,000
0638000	ADMINISTRATION ACCT	0	248,000
0639000	UNALLOCATED ACCOUNT	0	1,750,000
0641000	DOMESTIC VIOLENCE RESTRAINING	54,000	0
0648000	MOBILEHOME/MFG HOME REV FUND	2,671,000	8,518,000
0649000	CA INFRASTRRT & ECON DEVL BANK	8,926,000	1,591,000
0653000	SEISMIC RETROFIT BOND 1996	10,000	28,000
0658000	UC MERCED	0	4,000
0660000	PUBLIC BUILDINGS CONSTRUCTN	181,184,000	177,843,000
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	0	65,408,000
0678000	PRISON INDUSTRIES REVOLV FD	15,268,000	12,892,000
0679000	STATE WATER QUALITY CONTROL	1,774,000	3,824,000
0687000	DONATED FOOD REVOLVING FUND	190,000	501,000
0691000	WATER RESOURCES REVOLVING	80,276,000	52,675,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0698000	HOME PURCHASE ASSISTANCE FUND	4,112,000	10,000,000
0701000	VETERANS' HOME FUND	0	19,000
0702000	P&V- CONSUMER AFFAIRS FUND	23,727,000	16,481,000
0703000	CLEAN AIR & TRANSP IMPROV FUND	17,000	139,000
0704000	P&V- ACCOUNTANCY FUND	441,000	1,161,000
0705000	1992 HGER EDUC CAP OUTLAY BD	0	1,000
0706000	ARCHITECTS BOARD FUND, CA	47,000	147,000
0707000	CALIF SAFE DRINKING WATER FUND	0	1,000
0714000	ROBERTI AFFORDABLE HOUSING FD	1,500,000	46,000
0717000	CEMETERY AND FUNERAL FUND	225,000	110,000
0735000	P&V- CONTRACTORS' LICENSE FD	4,915,000	7,527,000
0741000	P&V- DENTISTRY FUND	1,063,000	1,147,000
0747000	PRISON CONSTRUCTION FUND 1988	0	21,000
0749000	REFUNDING ESCROW FUND	1,215,295,000	603,107,000
0750000	ST FUNERAL DIRECTORS & EMBALM	86,000	43,000
0751000	1990 PRISON CONSTRUCTION FUND	0	1,000
0752000	HOME FURN & THERMAL INSULATION	556,000	257,000
0755000	LICENSED MIDWIFERY FUND	2,000	0
0757000	LANDSCAPE ARCHITECTS FUND	43,000	37,000
0758000	MEDICAL BD OF CALIF	5,082,000	6,626,000
0759000	P&V- PHYSICAL THERAPY FUND	515,000	87,000
0761000	P&V- REGISTERED NURSING FUND	3,808,000	4,217,000
0763000	P&V- OPTOMETRY FUND	138,000	58,000
0767000	P&V- PHARMACY BD CONTINGENT	2,302,000	1,806,000
0769000	PRIVATE INVESTIGATOR FUND	117,000	21,000
0770000	PRO ENGINEERS & LAND SURVEYORS	737,000	1,138,000
0771000	COURT REPORTERS FUND	92,000	19,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	998,000	954,000
0775000	P&V- STRUCTURAL PEST CONTROL	341,000	79,000
0777000	VETERINARY MEDICAL BD CONTG FD	382,000	108,000
0779000	VOCATNL NURSING/PSYCH TECH FD	1,068,000	1,265,000
0785000	HIGH EDUC CAP OUTLAY BD FD 88	0	1,000
0788000	CA EARTHQK SAFETY/HOUS REHAB	0	3,000
0793000	CA SAFE DRINKING WATER FD 1988	0	64,000
0803000	STATE CHILDREN'S TRUST FUND	0	24,000
0813000	SELF-HELP HOUSING FUND	0	8,000
0814000	CA STATE LOTTERY EDUCATION	63,000	146,000
0815000	JUDGES RETIREMENT FUND	17,448,000	17,353,000
0820000	LEGISLATORS RETIREMENT FUND	1,036,000	1,118,000
0821000	FLEXELECT BENEFIT FUND	1,736,000	1,624,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	305,810,000	285,331,000
0829000	HEALTH PROFESSIONS EDUC FUND	4,000	690,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	2,156,246,000	3,762,457,000
0833000	ANNUITANTS' HEALTH CARE COV FD	13,746,000	13,310,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	579,102,000	31,694,000
0835000	TEACHERS RETIREMENT	295,837,000	199,049,000
0840000	CA MOTORCYCLIST SAFETY FD	142,000	769,000
0849000	REPLACEMENT BENEFIT CUST. FD	159,000	1,718,000

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MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
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FUND	FUNDNAME	DECLARATION	REDUCTION
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0853000	PETROLEUM VIOLATION ESCROW ACC	0	10,000
0865000	MENTAL HEALTH MANAGED CARE DP	6,314,000	15,930,000
0872000	STATE HOSPITAL ACCOUNT,	12,070,000	24,226,000
0884000	JUDGE RETIREMENT SYSTEM II FD	7,523,000	6,725,000
0885000	PERS DEFERRED COMPENSATION FD	1,959,000	1,771,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	55,000	24,000
0904000	CA HEALTH FACILITIES FIN AUTH	1,614,000	150,000
0908000	SCHOOL EMPLOYEES FUND	246,000	131,000
0910000	CONDEMNATION DEPOSITS FUND	18,240,000	8,386,000
0911000	EDUC FACILITIES AUTHORITY	2,000	43,000
0914000	BAY FILL CLEAN-UP ABATMENT	0	8,000
0915000	DEFERRED COMPENSATION PLAN FD	1,071,000	1,537,000
0917000	INMATES' WELFARE FUND	4,677,000	5,013,000
0918000	SMALL BUSINESS EXPANSION	0	23,000
0920000	LITIGATION DEPOSIT FUND	87,142,000	86,975,000
0925000	CA COMM COLL BUSINESS RESOURCE	0	1,000
0928000	FOREST RESOURCES IMPROV FUND	785,000	1,698,000
0929000	HOUSING REHABILITATION LOAN	2,656,000	20,000
0930000	POLLUTN CONTL FINANCING AUTH	130,000	5,369,000
0932000	TRIAL COURT TRUST FUND	404,328,000	377,034,000
0933000	MANAGED CARE FUND	2,805,000	12,086,000
0938000	RENTAL HOUSING CONSTRUCTN FD	2,000	179,000
0942000	SPECIAL DEPOSIT FUND	20,374,000	23,929,000
0943000	LAND BANK FUND	0	12,000
0948000	CAL ST UNIV & COLL TRUST FUND	385,407,000	351,754,000
0950000	PUB EMPLOY CONTINGENCY RESRV	284,196,000	295,459,000
0952000	STATE PARK CONTINGENT	227,000	478,000
0960000	STUDENT TUITION RECOVERY FUND	2,000	717,000
0965000	TIMBER TAX	26,000	172,000
0972000	MANUFACTURED HOME RECOVERY FD	25,000	10,000
0980000	PREDEVELOPMENT LOAN FUND	1,091,000	13,000
1008000	FIREARMS SAFETY/ENFRCMNT SPCL	958,000	859,000
3002000	ELECTRICIAN CERTIF FUND	130,000	92,000
3004000	GARMENT INDUSTRY REGULATIONS	220,000	236,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	0	2,605,000
3015000	GAS CONSUMPTION SURCHARGE FUND	0	64,731,000
3016000	MISSING PERSONS DNA DATA BASE	152,000	47,000
3017000	OCCUPATIONAL THERAPY FUND	125,000	21,000
3018000	DRUG AND DEVICE SAFETY FUND	1,146,000	540,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	1,081,000	1,690,000
3023000	WIC MANUFACTURER REBATE FUND	18,168,000	18,000,000
3025000	ABANDONED MINE RECLAMATION	0	10,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	0	7,000
3033000	CALIF.MEMORIAL SCHOLARSHIP FD	15,000	0
3037000	STATE COURT FACILITIES CONST F	5,464,000	22,412,000
3039000	DENTALLY UNDERSERVED ACCOUNT	0	2,000
3042000	VICTIMS OF CORP FRAUD COMP FD	194,000	10,000
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	2,829,000	10,633,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
3053000	PUBLIC RGHTS LAW ENFRMNT SPEC	8,254,000	102,000
3056000	SAFE DRKNG MATR & TOXIC ENFORC	682,000	231,000
3058000	WATER RIGHTS FUND	65,000	3,935,000
3060000	APPELLATE COURT TRUST FUND	350,000	619,000
3062000	ENGY FAC LICENSE AND COMPL FD	0	13,000
3064000	MENTAL HLTH PRACTITIONER ED FD	36,000	20,000
3065000	ELEC WSTE RCVRY RCYLG ACCT	3,211,000	18,196,000
3066000	COURT FACILITIES TRUST FUND	4,707,000	16,741,000
3067000	CIGARETTE TOBACCO PRODS COMPL	142,000	91,000
3068000	VOCATIONAL NURSE EDUCATION FD	0	37,000
3069000	NATUROPATHIC DOCTOR'S FUND	36,000	8,000
3071000	CAR WASH WORKER RESTITUTION FD	473,000	93,000
3072000	CAR WASH WORKER FUND	49,000	7,000
3074000	MEDICAL MARIJUANA PROGRAM FUND	0	29,000
3079000	CHILDRENS MED SERVICES REBATE	1,995,000	1,300,000
3080000	AIDS DRUG ASSIST PGM REBATE	47,442,000	60,166,000
3081000	CANNERY INSPECTION FUND	321,000	411,000
3084000	ST CERTIFIED UNIFIED PGM AGY	81,000	36,000
3085000	MENTAL HEALTH SERVICES FUND	124,729,000	88,996,000
3086000	DNA IDENTIFICATION FUND	4,159,000	1,230,000
3087000	UNFAIR COMPETITION LAW FUND	0	5,785,000
3088000	REGISTRY OF CHARITABLE TRUSTS	264,000	62,000
3089000	PUC UTIL COMM RATEPAYER ADV	229,000	2,903,000
3096000	NONDESIGNATED PUBLIC HOSP SUPL	1,900,000	0
3097000	PRIVATE HOSPITAL SUPPLEMENTAL	118,588,000	0
3098000	DPH LICENSING & CERT PGM FUND	24,186,000	16,491,000
3100000	DWR ELECTRIC POWER FUND	167,523,000	120,751,000
3101000	ANALYTICAL LABORATORY ACCOUNT	0	8,000
3103000	HATCHERY AND INLAND FISHERIES	969,000	329,000
3108000	PROFESSIONAL FIDUCIARY FUND	103,000	18,000
3109000	NATURAL GAS SUBACCT, PIRD&D	0	5,010,000
3114000	BIRTH DEFECTS MONITORING PGM	77,000	193,000
3117000	ALTERNATIVE & RENEWABLE FUEL	5,685,000	13,533,000
3119000	AIR QUALITY IMPROVEMENT FUND	3,042,000	2,661,000
3121000	OCCUPATIONAL SAFETY & HEALTH	324,000	10,558,000
3122000	ENHANCED FLEET MODERNIZATION	2,435,000	13,251,000
3133000	MANAGED CARE ADMIN FINES FUND	746,000	7,029,000
3134000	SCHOOL DISTRICT ACCOUNT	0	77,000
3137000	EMERGENCY MEDICAL TECH CERT	342,000	194,000
3138000	IMMEDIATE & CRITICAL NEEDS	16,761,000	5,200,000
3140000	STATE DENTAL HYGIENE FUND	160,000	31,000
3141000	CA ADVANCED SERVICES FUND	4,470,000	4,521,000
3142000	STATE DENTAL ASSISTANT FUND	146,000	32,000
3145000	UST ORPHAN SITE CLEANUP FUND	0	45,000
3147000	SMALL COMMUNITY GRANT FUND	349,000	901,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	260,000	238,000
3152000	LABOR ENFORCEMENT & COMPLIANCE	195,000	9,513,000
3153000	HORSE RACING FUND	1,865,000	1,936,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20160901 TO 20160930

FUND	FUNDNAME	DECLARATION	REDUCTION
3156000	CHILDREN'S HEALTH & HUMAN SVCS	35,032,000	3,300,000
3158000	HOSPITAL QUALITY ASSURANCE REV	8,657,000	346,300,000
3160000	WASTEWATER OPERATOR CERT FUND	197,000	103,000
3165000	ENTERPRISE ZONE FUND	0	54,000
3167000	SKILLED NURSING FAC QUALITY &	0	268,000
3168000	EMERGENCY MED AIR TRANSPORT	405,000	987,000
3175000	CALIFORNIA HEALTH TRUST FUND	38,446,000	26,599,000
3209000	OFFICE PATIENT ADVOCATE TRUST	928,000	242,000
3211000	ELECTRIC PROG INVEST CHRGE FD	16,678,000	3,819,000
3228000	GREENHOUSE GAS REDUCTION FD	7,747,000	92,358,000
3237000	COST OF IMPLEMENTATION ACCT	13,286,000	4,469,000
3240000	SECONDHAND DEALER & PANNBR FD	46,000	16,000
3244000	PDATA FUND	41,000	9,000
3254000	BUSINESS PROGRAMS MODERN	194,000	69,000
3255000	HOME CARE FUND	103,000	1,079,000
3263000	COLLEGE ACCESS TAX CREDIT FUND	177,000	38,000
3268000	PROPERTY TAX POSTPONEMENT FUND	751,000	14,000
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	790,000	227,000
6028000	HI ED CAP OUTLAY BOND FD 2002	0	133,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	8,000	1,780,000
6031000	WTR SEC,CLN DRNKG WR, CST BCH	121,000	7,650,000
6032000	VOTING MODERNIZATION FUND	0	1,000
6036000	ST SCHOOL FACILITIES FD 2002	1,860,000	0
6037000	HOUSING/EMER SHELTER TRUST FD	0	1,616,000
6043000	HIGH-SPEED PASSENGER TRAIN	12,275,000	5,630,000
6044000	SCHOOL FACILITIES FD, 2004 ST	31,618,000	1,343,000
6046000	CHILDREN'S HOSPITAL FUND	0	19,000
6047000	STEM CELL RESEARCH AND CURES	6,000,000	18,601,000
6048000	2006 UNIV CAP OUTLAY BD FD	0	1,000
6049000	2006 CA COMM COLLEGE BD FD	0	27,000
6051000	SAFE DRINKING WATER OF 2006	40,199,000	37,996,000
6052000	DISASTR & FLOOD BND FD OF 2006	3,512,000	19,066,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	319,268,000	69,521,000
6057000	2006 STATE SCHOOL FAC FUND	16,990,000	140,000
6066000	HOUSING/EMERGENCY SHELTER FD	28,967,000	12,992,000
6079000	CHILDREN'S HOSPITAL BOND ACT	0	9,000
6082000	HOUSING FOR VETERANS FUND	160,000	0
6083000	WTR QLTY, SUPY & INFRSTR IMPV	31,959,000	8,134,000
6801000	TRANS FINANCNG SUBACCT,SHA,STF	0	66,000
7505000	REVOLVING LOANS FUND	15,000	0
8001000	TEACHERS' HEALTH BENEFITS FD	2,482,000	2,439,000
8004000	CHILD SUPPORT COLLECT RCVRY FD	13,087,000	14,805,000
8014000	CA PHARM SCHOLRSP/LOAN PGM FD	1,000	0
8026000	PETRO UNDERGROUND STOR TK FIN	566,000	688,000
8029000	COASTAL TRUST FUND	0	421,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	40,288,000	46,759,000
8034000	MEDICALLY UNDERSERVED PHYSICNS	1,173,000	98,000
8038000	DONATE LIFE CA TRUST, MVA, STF	0	117,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20160901 TO 20160930

FUND	FUNDNAME	DECLARATION	REDUCTION
8041000	TEACHERS' DEFERRED COMP FUND	0	58,000
8049000	VISION CARE PGM,STATE ANNU FD	0	73,000
8062000	POOLED SELF-INSURANCE FUND	461,000	9,000
8071000	NATIONAL MORTGAGE SP DEP FUND	0	4,300,000
8075000	SCHOOL SUPPLIES FOR HOMELESS	0	10,000
9250000	BOXERS' PENSION FUND	69,000	21,000
9330000	CLEAN/RENEW ENERGY LOAN FUND	0	15,000
9728000	JUDICIAL BRANCH WORKERS' COMP	0	1,358,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	21,415,000	18,902,000
9731000	LEGAL SERVICES REVOLVING FUND	29,983,000	3,832,000
9733000	COURT FACILITIES ARCHITECT REV	0	1,884,000
9739000	WATER POLL CONTROL RVLVG FUND	530,000	821,000
9740000	CENTRAL SERVICE COST RECOVERY	121,429,000	6,966,000
9741000	ENERGY EFFICIENT STATE PROPRTY	1,021,000	0
<b>TOTAL</b>		<b>11,706,507,000</b>	<b>13,871,529,000</b>

PMIB Meeting  
October 19, 2016

SMIF

SMIF REQUESTS  
October 19, 2016

There are no SMIF requests for this month.

PMIB Meeting  
October 19, 2016

AB55 LOANS

**POOLED MONEY INVESTMENT BOARD**  
**October 19, 2016**  
**Staff Report – Agenda Items 6 & 7**

**POOLED MONEY INVESTMENT ACCOUNT LOAN POLICY**

**Item 6.** Pursuant to section 2.0 of the Pooled Money Investment Account Loan Policy adopted on October 20, 2010, as amended on October 15, 2014 and November 18, 2015 (Loan Policy), the Board shall review the Loan Policy annually each October. At this time, staff recommends that no changes be made to the current Loan Policy.

**AB 55 LOAN RECOMMENDATIONS**

1. **Loan Renewal Requests.** Staff recommends approval of the two loan renewal requests (Items 7.a & 7.b) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Items 7.a & 7.b reflect increases necessary to continue design-build. The increases for each item will also pay accrued interest and administrative costs.
2. **New Loan Requests.** Staff recommends approval of the following new loan request:

**Item 7.c      Department of Corrections and Rehabilitation - \$17,569,000**  
SPWB Lease Revenue Bonds  
Kings County Adult Local Criminal Justice Facility  
Loan proceeds will be used to complete design work and to begin construction.

**Impact on the Pool.** The above loan requests are in compliance with the current AB55 Loan Policy and, if approved, will increase the outstanding loans balance by \$64,421,000.

**Exhibit A**

POOLED MONEY INVESTMENT BOARD  
 LOAN REQUESTS (AB 55 LOANS)  
 For the October 19, 2016 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)	Interest Paid by
<b>LOAN RENEWAL REQUESTS</b>													
a	Renewal	1560011	1670006	0660559	<b>Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds</b> Los Angeles County Camp Kilpatrick Juvenile Center	\$ 28,758,000.00	\$ 27,016,000.00	\$ 1,742,000.00	N/A	N/A	\$ 28,758,000.00	\$ 1,742,000.00	BF
b	Renewal	1560012	1670007	0660574	<b>Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds</b> Kern County Jail Project	\$ 95,089,000.00	\$ 49,979,000.00	\$ 45,110,000.00	N/A	N/A	\$ 95,089,000.00	\$ 45,110,000.00	BF
<b>NEW LOAN REQUEST</b>													
c	New	N/A	1670008	N/A	<b>Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds</b> Kings County Adult Local Criminal Justice Facility	\$ 17,569,000.00	\$ -	\$ 17,569,000.00	N/A	N/A	\$ 17,569,000.00	\$ 17,569,000.00	BF
						<b>\$ 141,416,000.00</b>	<b>\$ 76,995,000.00</b>	<b>\$ 64,421,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 141,416,000.00</b>	<b>\$ 64,421,000.00</b>	

Total amount of outstanding PMIA loans as of September 30, 2016  
 Impact on the Pool from this meeting's actions  
 Outstanding PMIA loans after this meeting's actions

	<u>Requested</u>	<u>Recommended</u>
	\$ 556,410,000.00	\$ 556,410,000.00
	\$ 64,421,000.00	\$ 64,421,000.00
	\$ 620,831,000.00	\$ 620,831,000.00

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1670006

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$300,000,000.00</b>	Amount Requested: <b>\$28,758,000.00</b>
Appropriation(s) to be Funded: <b>SB 81, Ch. 175/07 (as amended), 5225-801-0660, 61.01.132 Local Youthful Offender Rehabilitative Facilities</b>		Welfare and Institutions Code, Chapter 1.5, Article 3, Sections 1970-1978	Date Funds Needed: <b>10/21/2016</b>
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>	
Department Director’s Name (please print): <b>Dean L. Borg</b>	Signature: 	Date: <b>8/26/16</b>	
Name of SPWB Project: <b>Los Angeles County Camp Kilpatrick Juvenile Center Project</b>	Project Contact: <b>Valerie McColloch</b>	Phone: <b>916-255-0595</b>	
SCO Fund # (for renewals only): <b>0660-559</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input checked="" type="checkbox"/> D-B	Estimated Project Completion Date: <b>1/3/2017</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
  - To pay vendors directly?
  - To make advances to other state funds\*?
    - \*If Yes, specify :
  - To reimburse local government for vendor payments?
  - To make advances to State Architect Revolving Fund\*?
    - \*If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 
  - \*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>10/2/2014</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$ 27,016,000.00 to be used to pay/reduce existing PMIB loan # 1560011
- Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO. 1670006

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$300,000,000.00 Proposed Funding Date: 10/21/2016  
Loan Amount: \$28,758,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)       State Building Construction Act       Other, specify: \_\_\_\_\_

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 10/2/2014, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
Corrections and Rehabilitation  
Signature: Department Director \_\_\_\_\_ Date 8/26/16

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director \_\_\_\_\_ Date 9.14.16

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board _____		Date _____

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation

Project Name: Los Angeles County Juvenile Project

Date: 8/22/2016

**Loan Amount <sup>(1)</sup>: \$ 28,758,000**

Estimated Project

Completion Date: 1/3/2017

Estimated Phase

Completion Dates:	<u>N/A</u>	Acquisition (A)	
	<u>N/A</u>	Prelim Plans (PP)	<u>4/11/2014</u> Performance Criteria & Concept Drawings (PCCD)
	<u>N/A</u>	Work Draw (WD)	<u>1/3/2017</u> Design-Build (D-B) <sup>(2)</sup>
	<u>N/A</u>	Construction (C) <sup>(2)</sup>	
	<u>N/A</u>	Equipment (E)	

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	D-B
Current Loan	-		-	D-B
Prior Interest	2,679.09		2,679.09	
Current Interest	8,346.55		11,026.64	
Administrative <sup>(3)</sup>	11,715.00	7,500.00	30,241.63	
1 Nov-16		14,056,914.00	14,087,155.27	D-B
2 Dec-16		6,617,343.00	20,704,498.27	D-B
3 Jan-17		6,617,343.00	27,321,841.27	D-B
4 Feb-17		-	27,321,841.27	D-B
5 Mar-17		-	27,321,841.27	D-B
6 Apr-17		-	27,321,841.27	D-B
7 May-17		1,436,400.00	28,758,241.27	D-B
8 Jun-17		-	28,758,241.27	D-B
9 Jul-17		-	28,758,241.27	D-B
10 Aug-17		-	28,758,241.27	D-B
11 Sep-17		-	28,758,241.27	D-B
12 Oct-17		-	28,758,000.00	D-B

<sup>(1)</sup> Rounded down to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1670007

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$870,074,000.00</b>	Amount Requested: <b>\$95,089,000.00</b>
Appropriation(s) to be Funded: <b>AB 900, Ch. 7/07 5225-801-0660, 61.01.854 Jail Facilities, Phase II</b>		Government Code Sections <b>15820.91-15820.917</b>	Date Funds Needed: <b>10/21/2016</b>
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>		Phone: <b>916-255-2239</b>
Department Director’s Name (please print): <b>Dean L. Borg</b>	Signature: _____		Date: <b>8/31/16</b>
Name of SPWB Project: <b>Kern County Jail Project</b>	Project Contact: <b>Valerie McColloch</b>	Phone: <b>916-255-0595</b>	
SCO Fund # (for renewals only): <b>0660-574</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input checked="" type="checkbox"/> D-B	Estimated Project Completion Date: <b>9/17/2017</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

<input type="checkbox"/> To pay vendors directly?	<input checked="" type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*? *If Yes, specify :	<input type="checkbox"/> To make advances to State Architect Revolving Fund*? *If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>11/14/2014</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:  
 First \$ 499,790,000.00 to be used to pay/reduce existing PMIB loan # 1560012  
 Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**

**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO. 1670007

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$870,074,000.00 Proposed Funding Date: 10/21/2016  
Loan Amount: \$95,089,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)
 State Building Construction Act
 Other, specify: \_\_\_\_\_

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 11/14/2014, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name: Corrections and Rehabilitation
Signature: Department Director
Date: 8/31/16

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director
Date: 9.15.16

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

Table with 3 columns: MEMBER, AYE, NO. Rows include Treasurer of the State of California, Controller of the State of California, and Director of Finance of the State of California.

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board
Date:

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation  
 Project Name: Kern County Jail Project  
 Date: 8/22/2016

**Loan Amount <sup>(1)</sup>: \$ 95,089,000**

Estimated Project  
 Completion Date: 9/17/2017

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
	<u>N/A</u>	Prelim Plans (PP)	<u>4/11/2014</u>	
	<u>N/A</u>	Work Draw (WD)	<u>9/17/2017</u>	Design-Build (D-B) <sup>(2)</sup>
	<u>N/A</u>	Construction (C) <sup>(2)</sup>		
	<u>N/A</u>	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	7,336,654.00		7,336,654	D-B
Current Loan	9,031,198.00		16,367,852	D-B
Prior Interest	18,058.10		16,385,910	
Current Interest	51,856.20		16,437,766	
Administrative <sup>(3)</sup>	11,715.00	7,500.00	16,456,981	
1 Nov-16		16,835,342.00	33,292,323	D-B
2 Dec-16		8,040,739.00	41,333,062	D-B
3 Jan-17		8,140,740.00	49,473,802	D-B
4 Feb-17		8,220,740.00	57,694,542	D-B
5 Mar-17		7,740,740.00	65,435,282	D-B
6 Apr-17		7,140,740.00	72,576,022	D-B
7 May-17		6,040,740.00	78,616,762	D-B
8 Jun-17		5,140,739.00	83,757,501	D-B
9 Jul-17		4,530,740.00	88,288,241	D-B
10 Aug-17		4,103,999.00	92,392,240	D-B
11 Sep-17		2,696,889.00	95,089,129	D-B
12 Oct-17		-	95,089,000	D-B

<sup>(1)</sup> Rounded down to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 167008

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**  
(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$509,060,000.00</b>	Amount Requested: <b>\$17,569,000.00</b>
Appropriation(s) to be Funded: <b>SB 1022, Ch. 42/2012 5225-801-0668, 61.01.001 Adult Local Criminal Justice Facilities</b>		Government Code Sections <b>15820.92-15820.926</b>	Date Funds Needed: <b>10/19/2016</b>
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>	
Department Director’s Name (please print): <b>Dean L. Borg</b>	Signature:	Date: <b>8/31/16</b>	
Name of SPWB Project: <b>Kings County Adult Local Criminal Justice Facility Project</b>	Project Contact: <b>Valerie McColloch</b>	Phone: <b>916-255-0595</b>	
SCO Fund # (for renewals only):	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>2/24/2018</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

<input type="checkbox"/> To pay vendors directly?	<input checked="" type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*? *If Yes, specify :	<input type="checkbox"/> To make advances to State Architect Revolving Fund*? *If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
9/19/2016	<input checked="" type="checkbox"/> Loan <input checked="" type="checkbox"/> Sale <i>MP</i>
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ \_\_\_\_\_ to be used to pay/reduce existing PMIB loan # \_\_\_\_\_

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no-sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STTO USE ONLY  
PMIB NO. 1670008

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$509,060,000.00 Proposed Funding Date: 10/19/2016  
Loan Amount: \$17,569,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)  State Building Construction Act  Other, specify: \_\_\_\_\_

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 9/19/2016, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name  
Corrections and Rehabilitation  
Signature: Department Director \_\_\_\_\_ Date 8/31/16

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director \_\_\_\_\_ Date 9.19.16

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		

Conditions (if applicable): \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date \_\_\_\_\_

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation  
 Project Name: Kings County Adult Local Criminal Justice Facility Project  
 Date: 8/23/2016

**Loan Amount <sup>(1)</sup>: \$ 17,569,000**

Estimated Project  
 Completion Date: 2/24/2018

Estimated Phase			
Completion Dates:	<u>N/A</u>	Acquisition (A)	Performance Criteria & Concept Drawings (PCCD)
	<u>6/12/2015</u>	Prelim Plans (PP)	<u>N/A</u>
	<u>7/22/2016</u>	Work Draw (WD)	<u>N/A</u>
	<u>2/24/2018</u>	Construction (C) <sup>(2)</sup>	Design-Build (D-B) <sup>(2)</sup>
	<u>N/A</u>	Equipment (E)	

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	-		-	
Prior Interest				
Current Interest				
Administrative <sup>(3)</sup>		7,500.00	7,500	
1 Nov-16		1,161,500.00	1,169,000	PP, WD
2 Dec-16		800,000.00	1,969,000	C
3 Jan-17		1,000,000.00	2,969,000	C
4 Feb-17		1,600,000.00	4,569,000	C
5 Mar-17		1,900,000.00	6,469,000	C
6 Apr-17		2,300,000.00	8,769,000	C
7 May-17		2,500,000.00	11,269,000	C
8 Jun-17		3,000,000.00	14,269,000	C
9 Jul-17		1,500,000.00	15,769,000	C
10 Aug-17		800,000.00	16,569,000	C
11 Sep-17		600,000.00	17,169,000	C
12 Oct-17		400,000.00	17,569,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months