

**Bill Lockyer**

**Treasurer  
State of California**



**POOLED MONEY  
INVESTMENT  
BOARD REPORT**

**January 2014**

STATE OF CALIFORNIA  
STATE TREASURER'S OFFICE

POOLED MONEY INVESTMENT BOARD REPORT

January 2014

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# POOLED MONEY INVESTMENT ACCOUNT

## Summary of Investment Data A Comparison of January 2014 with January 2013 (DOLLARS IN THOUSANDS)

|   | January 2014 | January 2013 | Change     |
|---|--------------|--------------|------------|
| Average Daily Portfolio (\$)                            | 54,485,102   | 58,322,531   | -3,837,429 |
| Accrued Earnings (\$)                                   | 11,304       | 14,858       | -3,554     |
| Effective Yield (%)                                     | 0.244        | 0.300        | -0.056     |
| Average Life-Month End (In Days)                        | 221          | 226          | -5         |
| Total Security Transactions                             |              |              |            |
| Amount (\$)   | 19,922,033   | 19,895,419   | +26,614    |
| Number  | 399          | 398          | +1         |
| Total Time Deposit Transactions                         |              |              |            |
| Amount (\$)   | 3,651,800    | 4,226,800    | -575,000   |
| Number  | 127          | 141          | -14        |
| Average Workday Investment Activity (\$)                | 1,122,563    | 1,148,677    | -26,114    |
| Prescribed Demand Account Balances<br>For Services (\$) | 2,159,368    | 1,474,327    | +685,041   |

**BILL LOCKYER  
TREASURER  
STATE OF CALIFORNIA**

**Investment Division Selected Investment Data  
Analysis of the Pooled Money Investment Account Portfolio  
(DOLLARS IN THOUSANDS)**

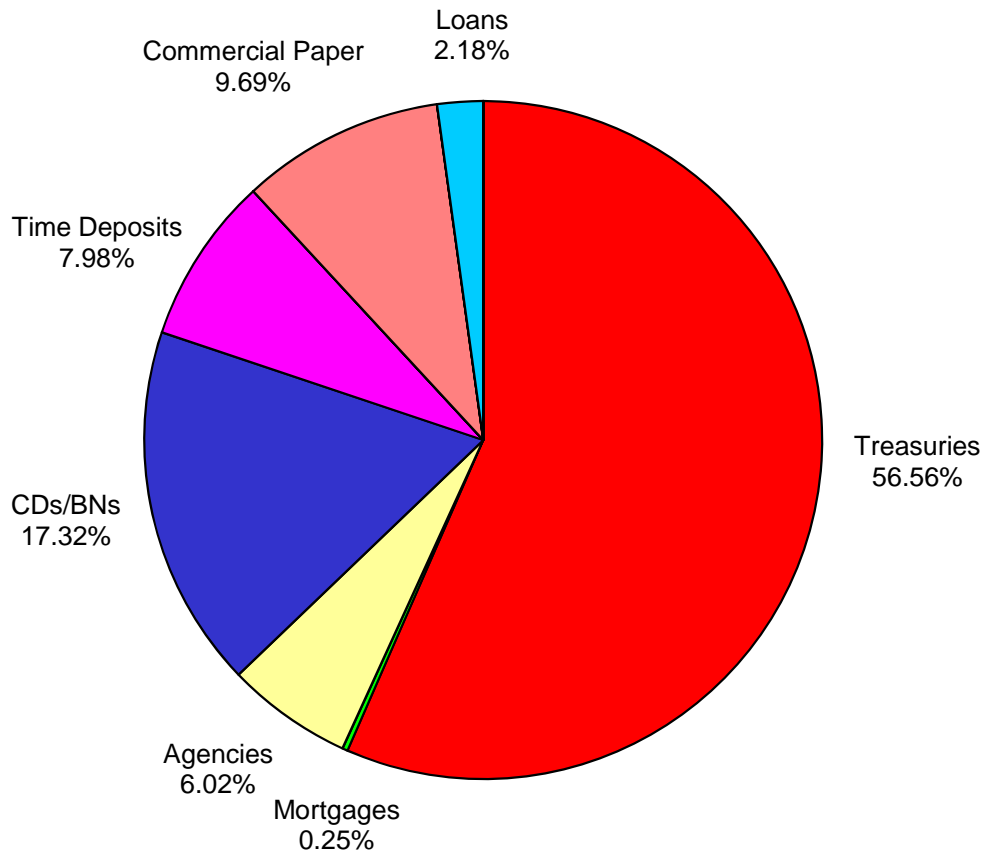
January 31, 2014

| <u>TYPE OF SECURITY</u>  | <u>AMOUNT (\$)</u> | <u>PERCENT<br/>OF<br/>PORTFOLIO</u> | <u>DIFFERENCE IN<br/>PERCENT OF<br/>PORTFOLIO FROM<br/>PRIOR MONTH</u> |
|--------------------------|--------------------|-------------------------------------|--|
| <b>Government</b>        |                    |                                     |  |
| Bills                    | 14,582,930         | 25.58                               | +1.25  |
| Bonds                    | 0                  | 0.00                                | 0  |
| Notes                    | 17,663,911         | 30.98                               | +1.04  |
| Strips                   | 0                  | 0.00                                | 0  |
| <b>Total Government</b>  | <b>32,246,841</b>  | <b>56.56</b>                        | <b>+2.29</b>   |
| Agency Debentures        | 1,984,774          | 3.48                                | +0.63  |
| Certificates of Deposit  | 9,775,138          | 17.15                               | +1.67  |
| Bank Notes               | 100,000            | 0.17                                | +0.17  |
| Bankers' Acceptances     | 0                  | 0.00                                | 0  |
| Repurchases              | 0                  | 0.00                                | 0  |
| Agency Discount Notes    | 1,298,933          | 2.28                                | +0.03  |
| Time Deposits            | 4,547,640          | 7.98                                | +0.23  |
| GNMAs                    | 0                  | 0.00                                | 0  |
| Commercial Paper         | 5,523,280          | 9.69                                | +4.23  |
| FHLMC/Remics             | 140,682            | 0.25                                | 0  |
| Corporate Bonds          | 0                  | 0.00                                | 0  |
| AB 55 Loans              | 358,340            | 0.63                                | +0.02  |
| GF Loans                 | 885,900            | 1.55                                | -9.27  |
| NOW Accounts             | 0                  | 0.00                                | 0  |
| Other                    | 149,896            | 0.26                                | 0  |
| Reversed Repurchases     | 0                  | 0.00                                | 0  |
| <b>Total (All Types)</b> | <b>57,011,424</b>  | <b>100.00</b>                       |  |

**INVESTMENT ACTIVITY**

|  | January 2014  |                    | December 2013 |                    |
|--|---------------|--------------------|---------------|--------------------|
|  | <u>NUMBER</u> | <u>AMOUNT (\$)</u> | <u>NUMBER</u> | <u>AMOUNT (\$)</u> |
| Pooled Money                             | 399           | 19,922,033         | 374           | 18,639,958         |
| Other                                    | 1             | 3,800              | 16            | 335,487            |
| Time Deposits                            | 127           | 3,651,800          | 84            | 1,888,500          |
| <b>Total</b>                             | <b>527</b>    | <b>23,577,633</b>  | <b>474</b>    | <b>20,863,945</b>  |
| <br>                                     |               |                    |               |                    |
| PMIA Monthly Average Effective Yield (%) | 0.244         |                    | 0.264         |                    |
| Year to Date Yield Last Day of Month (%) | 0.262         |                    | 0.265         |                    |

**Pooled Money Investment Account  
Portfolio Composition  
\$57.0 Billion  
01/31/14**



| a/                          |             |                    |                |                      |                        |                       |                  |                           |                            |
|-----------------------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
| <u>DATE</u>                 | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
| <b>01/02/14 REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 261              | 79,750.00                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 261              | 79,750.00                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 261              | 79,750.00                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 261              | 79,750.00                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 262              | 80,055.56                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 262              | 80,055.56                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 262              | 80,055.56                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 262              | 80,055.56                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 262              | 80,055.56                 | 0.223                      |
| CD                          |             | WELLS FGO          | 0.220          | 01/02/14             | 0.220                  | 50,000                | 262              | 80,055.56                 | 0.223                      |
| CP                          |             | BNP FIN            |                | 01/02/14             | 0.100                  | 50,000                | 17               | 2,361.11                  | 0.101                      |
| CP                          |             | BNP FIN            |                | 01/02/14             | 0.100                  | 50,000                | 17               | 2,361.11                  | 0.101                      |
| CP                          |             | BNP FIN            |                | 01/02/14             | 0.100                  | 50,000                | 17               | 2,361.11                  | 0.101                      |
| CP                          |             | BNP FIN            |                | 01/02/14             | 0.100                  | 50,000                | 17               | 2,361.11                  | 0.101                      |
| CP                          |             | BNP FIN            |                | 01/02/14             | 0.110                  | 50,000                | 21               | 3,208.33                  | 0.112                      |
| CP                          |             | BNP FIN            |                | 01/02/14             | 0.110                  | 50,000                | 21               | 3,208.33                  | 0.112                      |
| CP                          |             | TD USA             |                | 01/02/14             | 0.120                  | 50,000                | 23               | 3,833.33                  | 0.122                      |
| CP                          |             | TD USA             |                | 01/02/14             | 0.120                  | 50,000                | 23               | 3,833.33                  | 0.122                      |
| DN                          |             | FHLB               |                | 01/02/14             | 0.100                  | 50,000                | 258              | 35,833.33                 | 0.101                      |
| DN                          |             | FHLB               |                | 01/02/14             | 0.100                  | 50,000                | 258              | 35,833.33                 | 0.101                      |
| YCD                         |             | TOKYO-MIT          | 0.130          | 01/02/14             | 0.130                  | 50,000                | 15               | 2,708.33                  | 0.132                      |
| YCD                         |             | TOKYO-MIT          | 0.130          | 01/02/14             | 0.130                  | 50,000                | 15               | 2,708.33                  | 0.132                      |
| YCD                         |             | MONTREAL           | 0.070          | 01/02/14             | 0.070                  | 50,000                | 17               | 1,652.78                  | 0.071                      |
| YCD                         |             | MONTREAL           | 0.070          | 01/02/14             | 0.070                  | 50,000                | 17               | 1,652.78                  | 0.071                      |
| YCD                         |             | SUMITOMO           | 0.120          | 01/02/14             | 0.120                  | 50,000                | 17               | 2,833.33                  | 0.122                      |
| YCD                         |             | SUMITOMO           | 0.120          | 01/02/14             | 0.120                  | 50,000                | 17               | 2,833.33                  | 0.122                      |
| YCD                         |             | SUMITOMO           | 0.120          | 01/02/14             | 0.120                  | 50,000                | 17               | 2,833.33                  | 0.122                      |
| YCD                         |             | SUMITOMO           | 0.120          | 01/02/14             | 0.120                  | 50,000                | 17               | 2,833.33                  | 0.122                      |
| YCD                         |             | SUMITOMO           | 0.140          | 01/02/14             | 0.140                  | 50,000                | 20               | 3,888.89                  | 0.142                      |
| YCD                         |             | SUMITOMO           | 0.140          | 01/02/14             | 0.140                  | 50,000                | 20               | 3,888.89                  | 0.142                      |
| YCD                         |             | SUMITOMO           | 0.140          | 01/02/14             | 0.140                  | 50,000                | 21               | 4,083.33                  | 0.142                      |
| YCD                         |             | SUMITOMO           | 0.140          | 01/02/14             | 0.140                  | 50,000                | 21               | 4,083.33                  | 0.142                      |
| YCD                         |             | TOKYO-MIT          | 0.140          | 01/02/14             | 0.140                  | 50,000                | 21               | 4,083.33                  | 0.142                      |
| YCD                         |             | TOKYO-MIT          | 0.140          | 01/02/14             | 0.140                  | 50,000                | 21               | 4,083.33                  | 0.142                      |
| YCD                         |             | SUMITOMO           | 0.140          | 01/02/14             | 0.140                  | 50,000                | 22               | 4,277.78                  | 0.142                      |
| YCD                         |             | SUMITOMO           | 0.140          | 01/02/14             | 0.140                  | 50,000                | 22               | 4,277.78                  | 0.142                      |
| YCD                         |             | TOKYO-MIT          | 0.160          | 01/02/14             | 0.160                  | 50,000                | 22               | 4,888.89                  | 0.162                      |
| YCD                         |             | TOKYO-MIT          | 0.160          | 01/02/14             | 0.160                  | 50,000                | 22               | 4,888.89                  | 0.162                      |
| YCD                         |             | TOKYO-MIT          | 0.160          | 01/02/14             | 0.160                  | 50,000                | 22               | 4,888.89                  | 0.162                      |
| YCD                         |             | TOKYO-MIT          | 0.160          | 01/02/14             | 0.160                  | 50,000                | 22               | 4,888.89                  | 0.162                      |
| YCD                         |             | MONTREAL           | 0.110          | 01/02/14             | 0.110                  | 50,000                | 38               | 5,805.56                  | 0.112                      |
| YCD                         |             | MONTREAL           | 0.110          | 01/02/14             | 0.110                  | 50,000                | 38               | 5,805.56                  | 0.112                      |

| <u>DATE</u>                    | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|--------------------------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
| <b>01/02/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 03/21/14             | 0.120                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.160          | 02/03/14             | 0.160                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.160          | 02/03/14             | 0.160                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.160          | 02/03/14             | 0.160                  | 50,000                |                  |                           |                            |
|                                | YCD         | SCOTIA             | 0.190          | 04/01/14             | 0.160                  | 50,000                |                  |                           |                            |
| <b>01/03/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/03/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | GE CO              |                | 03/26/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | GE CO              |                | 03/26/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 03/26/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 03/26/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.140          | 01/27/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.140          | 01/27/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.150          | 01/29/14             | 0.150                  | 50,000                |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.150          | 01/29/14             | 0.150                  | 50,000                |                  |                           |                            |
| <b>01/06/14 REDEMPTIONS</b>    |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 01/06/14             | 0.100                  | 50,000                | 14               | 1,944.44                  | 0.101                      |
|                                | CP          | BNP FIN            |                | 01/06/14             | 0.100                  | 50,000                | 14               | 1,944.44                  | 0.101                      |
|                                | CP          | BNP FIN            |                | 01/06/14             | 0.100                  | 50,000                | 14               | 1,944.44                  | 0.101                      |
|                                | YCD         | NORINCHUK          | 0.120          | 01/06/14             | 0.120                  | 50,000                | 18               | 3,000.00                  | 0.122                      |
|                                | YCD         | NORINCHUK          | 0.120          | 01/06/14             | 0.120                  | 50,000                | 18               | 3,000.00                  | 0.122                      |
|                                | YCD         | NORINCHUK          | 0.140          | 01/06/14             | 0.140                  | 50,000                | 24               | 4,666.67                  | 0.142                      |
|                                | YCD         | NORINCHUK          | 0.140          | 01/06/14             | 0.140                  | 50,000                | 24               | 4,666.67                  | 0.142                      |
| <b>01/06/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 01/29/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 01/29/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 01/29/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | GE CO              |                | 03/26/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | GE CO              |                | 03/26/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | GE CO              |                | 03/26/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | YCD         | NORINCHUK          | 0.130          | 01/27/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | YCD         | NORINCHUK          | 0.130          | 01/27/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.140          | 01/27/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.140          | 01/27/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | YCD         | MONTREAL           | 0.140          | 03/10/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | YCD         | MONTREAL           | 0.140          | 03/10/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | YCD         | RABOBANK           | 0.220          | 06/30/14             | 0.220                  | 50,000                |                  |                           |                            |
|                                | YCD         | RABOBANK           | 0.220          | 06/30/14             | 0.220                  | 50,000                |                  |                           |                            |

| <u>DATE</u>                    | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$) (000)</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|--------------------------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
| <b>01/07/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/07/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 03/21/14             | 0.120                  | 25,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 03/21/14             | 0.120                  | 50,000                |                  |                           |                            |
| <b>01/08/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/08/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 10/31/15             | 0.335                  | 50,000                |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 10/31/15             | 0.335                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.160          | 02/10/14             | 0.160                  | 50,000                |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.160          | 02/10/14             | 0.160                  | 50,000                |                  |                           |                            |
|                                | YCD         | TORONTO            | 0.100          | 02/28/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | YCD         | TORONTO            | 0.100          | 02/28/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | YCD         | TORONTO            | 0.100          | 02/28/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | YCD         | MONTREAL           | 0.130          | 03/03/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | YCD         | MONTREAL           | 0.130          | 03/03/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | YCD         | MONTREAL           | 0.130          | 03/03/14             | 0.130                  | 50,000                |                  |                           |                            |
| <b>01/09/14 REDEMPTIONS</b>    |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 356              | 66,750.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 356              | 66,750.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 356              | 66,750.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 356              | 66,750.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.138                  | 50,000                | 357              | 68,177.08                 | 0.140                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.138                  | 50,000                | 357              | 68,177.08                 | 0.140                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.138                  | 50,000                | 357              | 68,177.08                 | 0.140                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.138                  | 50,000                | 357              | 68,177.08                 | 0.140                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.135                  | 50,000                | 358              | 67,125.00                 | 0.137                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.136                  | 50,000                | 358              | 67,746.53                 | 0.138                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.136                  | 50,000                | 358              | 67,746.53                 | 0.138                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.136                  | 50,000                | 358              | 67,746.53                 | 0.138                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.136                  | 50,000                | 358              | 67,746.53                 | 0.138                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.130                  | 50,000                | 363              | 65,541.67                 | 0.132                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.130                  | 50,000                | 363              | 65,541.67                 | 0.132                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.125                  | 50,000                | 364              | 63,194.44                 | 0.127                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.125                  | 50,000                | 364              | 63,194.44                 | 0.127                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.125                  | 50,000                | 364              | 63,194.44                 | 0.127                      |
|                                | TR          | BILL               |                | 01/09/14             | 0.125                  | 50,000                | 364              | 63,194.44                 | 0.127                      |



| <u>DATE</u> | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|

**01/09/14 REDEMPTIONS (Continued)**

|    |      |  |  |          |       |        |     |           |       |
|----|------|--|--|----------|-------|--------|-----|-----------|-------|
| TR | BILL |  |  | 01/09/14 | 0.125 | 50,000 | 364 | 63,194.44 | 0.127 |
| TR | BILL |  |  | 01/09/14 | 0.125 | 50,000 | 364 | 63,194.44 | 0.127 |
| TR | BILL |  |  | 01/09/14 | 0.125 | 50,000 | 364 | 63,194.44 | 0.127 |
| TR | BILL |  |  | 01/09/14 | 0.125 | 50,000 | 364 | 63,194.44 | 0.127 |

**01/09/14 PURCHASES**

|     |           |       |  |          |       |        |  |  |  |
|-----|-----------|-------|--|----------|-------|--------|--|--|--|
| CD  | UNIONBANK | 0.080 |  | 02/28/14 | 0.080 | 50,000 |  |  |  |
| CD  | UNIONBANK | 0.080 |  | 02/28/14 | 0.080 | 50,000 |  |  |  |
| CP  | TD USA    |       |  | 02/28/14 | 0.100 | 50,000 |  |  |  |
| CP  | TD USA    |       |  | 02/28/14 | 0.100 | 50,000 |  |  |  |
| CP  | GE CO     |       |  | 03/26/14 | 0.100 | 50,000 |  |  |  |
| CP  | TOYOTA    |       |  | 04/02/14 | 0.130 | 50,000 |  |  |  |
| CP  | TOYOTA    |       |  | 04/02/14 | 0.130 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.123 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.123 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.125 | 50,000 |  |  |  |
| TR  | NOTE      | 0.250 |  | 01/15/15 | 0.158 | 50,000 |  |  |  |
| TR  | NOTE      | 0.250 |  | 01/15/15 | 0.158 | 50,000 |  |  |  |
| TR  | NOTE      | 0.250 |  | 10/31/15 | 0.337 | 50,000 |  |  |  |
| TR  | NOTE      | 0.250 |  | 10/31/15 | 0.337 | 50,000 |  |  |  |
| TR  | NOTE      | 0.250 |  | 11/30/15 | 0.362 | 50,000 |  |  |  |
| TR  | NOTE      | 0.250 |  | 11/30/15 | 0.362 | 50,000 |  |  |  |
| YCD | SUMITOMO  | 0.140 |  | 01/29/14 | 0.140 | 50,000 |  |  |  |
| YCD | SUMITOMO  | 0.140 |  | 01/29/14 | 0.140 | 50,000 |  |  |  |
| YCD | NORINCHUK | 0.130 |  | 01/31/14 | 0.130 | 50,000 |  |  |  |
| YCD | NORINCHUK | 0.130 |  | 01/31/14 | 0.130 | 50,000 |  |  |  |
| YCD | SVENSKA   | 0.155 |  | 04/01/14 | 0.150 | 50,000 |  |  |  |
| YCD | SVENSKA   | 0.155 |  | 04/01/14 | 0.150 | 50,000 |  |  |  |

**01/10/14 NO REDEMPTIONS**

**01/10/14 PURCHASES**

|    |         |  |  |          |       |        |  |  |  |
|----|---------|--|--|----------|-------|--------|--|--|--|
| CP | BNP FIN |  |  | 02/03/14 | 0.110 | 50,000 |  |  |  |
| CP | BNP FIN |  |  | 02/03/14 | 0.110 | 50,000 |  |  |  |
| CP | BNP FIN |  |  | 02/03/14 | 0.110 | 50,000 |  |  |  |
| CP | BNP FIN |  |  | 02/03/14 | 0.110 | 50,000 |  |  |  |
| CP | BNP FIN |  |  | 02/03/14 | 0.110 | 50,000 |  |  |  |

| <u>DATE</u>                    | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$) (000)</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|--------------------------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
| <b>01/13/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/13/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | YCD         | ANZ                | 0.185          | 07/14/14             | 0.185                  | 50,000                |                  |                           |                            |
|                                | YCD         | ANZ                | 0.185          | 07/14/14             | 0.185                  | 50,000                |                  |                           |                            |
| <b>01/14/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/14/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | BARCLY US          |                | 01/29/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | CP          | BARCLY US          |                | 01/29/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | CP          | BARCLY US          |                | 01/29/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | CP          | BARCLY US          |                | 01/29/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | CP          | TD USA             |                | 02/19/14             | 0.105                  | 50,000                |                  |                           |                            |
|                                | CP          | TD USA             |                | 02/19/14             | 0.105                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.105                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.105                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.105                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.106                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.106                  | 50,000                |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.170                  | 50,000                |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.170                  | 50,000                |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.170                  | 50,000                |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.170                  | 50,000                |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.120          | 01/29/14             | 0.120                  | 50,000                |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.120          | 01/29/14             | 0.120                  | 50,000                |                  |                           |                            |
|                                | YCD         | NORINCHUK          | 0.130          | 02/03/14             | 0.130                  | 50,000                |                  |                           |                            |
|                                | YCD         | NORINCHUK          | 0.130          | 02/03/14             | 0.130                  | 50,000                |                  |                           |                            |
| <b>01/15/14 REDEMPTIONS</b>    |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.316                  | 50,000                | 1,070            | 1,917,213.40              | 1.316                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.316                  | 50,000                | 1,070            | 1,917,213.40              | 1.316                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.316                  | 50,000                | 1,070            | 1,917,213.40              | 1.316                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.316                  | 50,000                | 1,070            | 1,917,213.40              | 1.316                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.333                  | 50,000                | 1,070            | 1,940,650.90              | 1.333                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.333                  | 50,000                | 1,070            | 1,940,650.90              | 1.333                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.333                  | 50,000                | 1,070            | 1,940,650.90              | 1.333                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.333                  | 50,000                | 1,070            | 1,940,650.90              | 1.333                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.355                  | 50,000                | 1,070            | 1,971,900.00              | 1.355                      |
|                                | TR          | NOTE               | 1.000          | 01/15/14             | 1.355                  | 50,000                | 1,070            | 1,971,900.00              | 1.355                      |
| <b>01/15/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CD          | BOWEST             | 0.200          | 07/17/14             | 0.200                  | 50,000                |                  |                           |                            |
|                                | CD          | BOWEST             | 0.200          | 07/17/14             | 0.200                  | 50,000                |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 02/10/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 02/10/14             | 0.100                  | 50,000                |                  |                           |                            |

| <u>DATE</u> | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|

**01/15/14 PURCHASES (Continued)**

|     |         |       |  |          |       |        |  |  |  |
|-----|---------|-------|--|----------|-------|--------|--|--|--|
| CP  | BNP FIN |       |  | 02/10/14 | 0.100 | 50,000 |  |  |  |
| CP  | BNP FIN |       |  | 02/10/14 | 0.100 | 50,000 |  |  |  |
| CP  | GECC    |       |  | 03/26/14 | 0.070 | 50,000 |  |  |  |
| CP  | GECC    |       |  | 03/26/14 | 0.070 | 50,000 |  |  |  |
| CP  | GECC    |       |  | 03/26/14 | 0.070 | 50,000 |  |  |  |
| CP  | TOYOTA  |       |  | 03/26/14 | 0.110 | 50,000 |  |  |  |
| CP  | TOYOTA  |       |  | 03/26/14 | 0.110 | 50,000 |  |  |  |
| CP  | TD USA  |       |  | 07/01/14 | 0.150 | 50,000 |  |  |  |
| CP  | TD USA  |       |  | 07/01/14 | 0.150 | 50,000 |  |  |  |
| DEB | FHLB    | 0.170 |  | 01/15/15 | 0.170 | 50,000 |  |  |  |
| DEB | FHLB    | 0.170 |  | 01/15/15 | 0.170 | 50,000 |  |  |  |
| DN  | IBRD    |       |  | 07/01/14 | 0.100 | 50,000 |  |  |  |
| DN  | IBRD    |       |  | 07/01/14 | 0.100 | 50,000 |  |  |  |
| DN  | IBRD    |       |  | 07/01/14 | 0.100 | 50,000 |  |  |  |
| DN  | IBRD    |       |  | 07/01/14 | 0.100 | 50,000 |  |  |  |
| TR  | NOTE    | 0.250 |  | 12/15/15 | 0.359 | 50,000 |  |  |  |
| TR  | NOTE    | 0.250 |  | 12/15/15 | 0.359 | 50,000 |  |  |  |

**01/16/14 NO REDEMPTIONS**

**01/16/14 PURCHASES**

|     |           |       |  |          |       |        |  |  |  |
|-----|-----------|-------|--|----------|-------|--------|--|--|--|
| CP  | BARCLY US |       |  | 01/29/14 | 0.090 | 50,000 |  |  |  |
| CP  | BARCLY US |       |  | 01/29/14 | 0.090 | 50,000 |  |  |  |
| CP  | BARCLY US |       |  | 02/10/14 | 0.090 | 50,000 |  |  |  |
| CP  | BARCLY US |       |  | 02/10/14 | 0.090 | 50,000 |  |  |  |
| CP  | TD USA    |       |  | 02/28/14 | 0.110 | 50,000 |  |  |  |
| CP  | TD USA    |       |  | 02/28/14 | 0.110 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| TR  | BILL      |       |  | 01/08/15 | 0.103 | 50,000 |  |  |  |
| YCD | NORINCHUK | 0.150 |  | 02/28/14 | 0.150 | 50,000 |  |  |  |
| YCD | NORINCHUK | 0.150 |  | 02/28/14 | 0.150 | 50,000 |  |  |  |
| YCD | NORINCHUK | 0.150 |  | 02/28/14 | 0.150 | 50,000 |  |  |  |
| YCD | NORINCHUK | 0.150 |  | 02/28/14 | 0.150 | 50,000 |  |  |  |
| YCD | SUMITOMO  | 0.160 |  | 02/28/14 | 0.160 | 50,000 |  |  |  |
| YCD | SUMITOMO  | 0.160 |  | 02/28/14 | 0.160 | 50,000 |  |  |  |
| YCD | TOKYO-MIT | 0.160 |  | 02/28/14 | 0.160 | 50,000 |  |  |  |
| YCD | TOKYO-MIT | 0.160 |  | 02/28/14 | 0.160 | 50,000 |  |  |  |

**01/17/14 NO REDEMPTIONS**

| <u>DATE</u>                    | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$)</u><br><u>(000)</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|--------------------------------|-------------|--------------------|----------------|----------------------|------------------------|---------------------------------|------------------|---------------------------|----------------------------|
| <b>01/17/14 PURCHASES</b>      |             |                    |                |                      |                        |                                 |                  |                           |                            |
|                                | CP          | GECC               |                | 03/26/14             | 0.070                  | 50,000                          |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 03/26/14             | 0.110                  | 50,000                          |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.103                  | 50,000                          |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.103                  | 50,000                          |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.166                  | 50,000                          |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.166                  | 50,000                          |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.166                  | 50,000                          |                  |                           |                            |
|                                | TR          | NOTE               | 0.250          | 02/28/15             | 0.166                  | 50,000                          |                  |                           |                            |
|                                | YCD         | TORONTO            | 0.100          | 02/28/14             | 0.100                  | 50,000                          |                  |                           |                            |
|                                | YCD         | TORONTO            | 0.100          | 02/28/14             | 0.100                  | 50,000                          |                  |                           |                            |
|                                | YCD         | CIBC               | 0.080          | 04/01/14             | 0.080                  | 50,000                          |                  |                           |                            |
|                                | YCD         | CIBC               | 0.080          | 04/01/14             | 0.080                  | 50,000                          |                  |                           |                            |
|                                | YCD         | NAT AUB            | 0.150          | 05/30/14             | 0.150                  | 50,000                          |                  |                           |                            |
|                                | YCD         | NAT AUB            | 0.150          | 05/30/14             | 0.150                  | 50,000                          |                  |                           |                            |
| <b>01/21/14 REDEMPTIONS</b>    |             |                    |                |                      |                        |                                 |                  |                           |                            |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 25               | 3,125.00                  | 0.091                      |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 25               | 3,125.00                  | 0.091                      |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 26               | 3,250.00                  | 0.091                      |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 26               | 3,250.00                  | 0.091                      |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 26               | 3,250.00                  | 0.091                      |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 26               | 3,250.00                  | 0.091                      |
|                                | CP          | BNP FIN            |                | 01/21/14             | 0.090                  | 50,000                          | 26               | 3,250.00                  | 0.091                      |
|                                | CP          | GECC               |                | 01/21/14             | 0.050                  | 50,000                          | 36               | 2,500.00                  | 0.051                      |
|                                | CP          | GECC               |                | 01/21/14             | 0.050                  | 50,000                          | 36               | 2,500.00                  | 0.051                      |
|                                | CP          | GECC               |                | 01/21/14             | 0.050                  | 50,000                          | 36               | 2,500.00                  | 0.051                      |
|                                | CP          | GECC               |                | 01/21/14             | 0.050                  | 50,000                          | 36               | 2,500.00                  | 0.051                      |
| <b>01/21/14 NO PURCHASES</b>   |             |                    |                |                      |                        |                                 |                  |                           |                            |
| <b>01/22/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                                 |                  |                           |                            |
| <b>01/22/14 PURCHASES</b>      |             |                    |                |                      |                        |                                 |                  |                           |                            |
|                                | CP          | TD USA             |                | 02/28/14             | 0.110                  | 50,000                          |                  |                           |                            |
|                                | CP          | GECC               |                | 03/26/14             | 0.070                  | 50,000                          |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 05/02/14             | 0.140                  | 50,000                          |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 05/02/14             | 0.140                  | 50,000                          |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                          |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                          |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                          |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.150          | 03/03/14             | 0.150                  | 50,000                          |                  |                           |                            |
|                                | YCD         | TOKYO-MIT          | 0.150          | 03/03/14             | 0.150                  | 50,000                          |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.260          | 03/03/14             | 0.170                  | 50,000                          |                  |                           |                            |
|                                | YCD         | SUMITOMO           | 0.260          | 03/03/14             | 0.170                  | 50,000                          |                  |                           |                            |
|                                | YCD         | NORDEA             | 0.150          | 05/02/14             | 0.150                  | 50,000                          |                  |                           |                            |
|                                | YCD         | NORDEA             | 0.150          | 05/02/14             | 0.150                  | 50,000                          |                  |                           |                            |

| <u>DATE</u>                    | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|--------------------------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
| <b>01/23/14 REDEMPTIONS</b>    |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 01/23/14             | 0.080                  | 50,000                | 79               | 8,777.78                  | 0.081                      |
|                                | CP          | TOYOTA             |                | 01/23/14             | 0.080                  | 50,000                | 79               | 8,777.78                  | 0.081                      |
| <b>01/23/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | GE CO              |                | 02/19/14             | 0.040                  | 50,000                |                  |                           |                            |
|                                | CP          | GE CO              |                | 02/19/14             | 0.040                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 05/02/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 05/02/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 05/02/14             | 0.140                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 07/01/14             | 0.190                  | 50,000                |                  |                           |                            |
|                                | CP          | TOYOTA             |                | 07/01/14             | 0.190                  | 50,000                |                  |                           |                            |
|                                | DN          | IBRD               |                | 07/01/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | DN          | IBRD               |                | 07/01/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | DN          | IBRD               |                | 07/01/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | DN          | IBRD               |                | 07/01/14             | 0.090                  | 50,000                |                  |                           |                            |
|                                | DN          | IBRD               |                | 07/24/14             | 0.095                  | 50,000                |                  |                           |                            |
|                                | DN          | IBRD               |                | 07/24/14             | 0.095                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|                                | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|                                | YCD         | RABO BANK          | 0.190          | 07/24/14             | 0.190                  | 50,000                |                  |                           |                            |
|                                | YCD         | RABO BANK          | 0.190          | 07/24/14             | 0.190                  | 50,000                |                  |                           |                            |
| <b>01/24/14 NO REDEMPTIONS</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/24/14 PURCHASES</b>      |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | DEB         | FHLB               | 0.100          | 07/17/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | DEB         | FHLB               | 0.100          | 07/17/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | DEB         | FHLB               | 0.100          | 07/17/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | DEB         | FHLB               | 0.100          | 07/17/14             | 0.100                  | 50,000                |                  |                           |                            |
|                                | DEB         | FHLB               | 0.100          | 07/17/14             | 0.100                  | 50,000                |                  |                           |                            |
| <b>01/27/14 REDEMPTIONS</b>    |             |                    |                |                      |                        |                       |                  |                           |                            |
|                                | CP          | CIBC HLD           |                | 01/27/14             | 0.050                  | 50,000                | 28               | 1,944.44                  | 0.051                      |
|                                | CP          | CIBC HLD           |                | 01/27/14             | 0.050                  | 50,000                | 28               | 1,944.44                  | 0.051                      |
|                                | YCD         | NORINCHUK          | 0.130          | 01/27/14             | 0.130                  | 50,000                | 21               | 3,791.67                  | 0.132                      |
|                                | YCD         | NORINCHUK          | 0.130          | 01/27/14             | 0.130                  | 50,000                | 21               | 3,791.67                  | 0.132                      |
|                                | YCD         | SUMITOMO           | 0.140          | 01/27/14             | 0.140                  | 50,000                | 21               | 4,083.33                  | 0.142                      |
|                                | YCD         | SUMITOMO           | 0.140          | 01/27/14             | 0.140                  | 50,000                | 21               | 4,083.33                  | 0.142                      |
|                                | YCD         | TOKYO-MIT          | 0.140          | 01/27/14             | 0.140                  | 50,000                | 24               | 4,666.67                  | 0.142                      |
|                                | YCD         | TOKYO-MIT          | 0.140          | 01/27/14             | 0.140                  | 50,000                | 24               | 4,666.67                  | 0.142                      |
|                                | YCD         | TOKYO-MIT          | 0.160          | 01/27/14             | 0.160                  | 50,000                | 31               | 6,888.89                  | 0.162                      |

| <u>DATE</u>                             | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|---|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
| <b>01/27/14 REDEMPTIONS (Continued)</b> |             |                    |                |                      |                        |                       |                  |                           |                            |
|   | YCD         | TOKYO-MIT          | 0.160          | 01/27/14             | 0.160                  | 50,000                | 31               | 6,888.89                  | 0.162                      |
| <b>01/27/14 PURCHASES</b>               |             |                    |                |                      |                        |                       |                  |                           |                            |
|   | CP          | BNP FIN            |                | 02/14/14             | 0.080                  | 50,000                |                  |                           |                            |
|   | CP          | BNP FIN            |                | 02/14/14             | 0.080                  | 50,000                |                  |                           |                            |
|   | CP          | BNP FIN            |                | 02/28/14             | 0.120                  | 50,000                |                  |                           |                            |
|   | CP          | BNP FIN            |                | 02/28/14             | 0.120                  | 50,000                |                  |                           |                            |
|   | CP          | GECC               |                | 06/30/14             | 0.170                  | 50,000                |                  |                           |                            |
|   | CP          | GECC               |                | 06/30/14             | 0.170                  | 50,000                |                  |                           |                            |
|   | YCD         | TOKYO-MIT          | 0.140          | 02/19/14             | 0.140                  | 50,000                |                  |                           |                            |
|   | YCD         | TOKYO-MIT          | 0.140          | 02/19/14             | 0.140                  | 50,000                |                  |                           |                            |
|   | YCD         | TOKYO-MIT          | 0.140          | 02/19/14             | 0.140                  | 50,000                |                  |                           |                            |
|   | YCD         | SUMITOMO           | 0.160          | 02/27/14             | 0.160                  | 50,000                |                  |                           |                            |
|   | YCD         | SUMITOMO           | 0.160          | 02/27/14             | 0.160                  | 50,000                |                  |                           |                            |
|   | YCD         | NORINCHUK          | 0.150          | 02/28/14             | 0.150                  | 50,000                |                  |                           |                            |
|   | YCD         | NORINCHUK          | 0.150          | 02/28/14             | 0.150                  | 50,000                |                  |                           |                            |
| <b>01/28/14 NO REDEMPTIONS</b>          |             |                    |                |                      |                        |                       |                  |                           |                            |
| <b>01/28/14 PURCHASES</b>               |             |                    |                |                      |                        |                       |                  |                           |                            |
|   | BN          | BOFA               | 0.210          | 05/30/14             | 0.210                  | 50,000                |                  |                           |                            |
|   | BN          | BOFA               | 0.210          | 05/30/14             | 0.210                  | 50,000                |                  |                           |                            |
|   | CP          | BARCLY US          |                | 02/19/14             | 0.090                  | 50,000                |                  |                           |                            |
|   | CP          | BARCLY US          |                | 02/19/14             | 0.090                  | 50,000                |                  |                           |                            |
|   | CP          | BARCLY US          |                | 02/27/14             | 0.090                  | 50,000                |                  |                           |                            |
|   | CP          | BARCLY US          |                | 02/27/14             | 0.090                  | 50,000                |                  |                           |                            |
|   | CP          | GE CO              |                | 02/28/14             | 0.040                  | 50,000                |                  |                           |                            |
|   | CP          | GE CO              |                | 02/28/14             | 0.040                  | 50,000                |                  |                           |                            |
|   | CP          | GECC               |                | 07/01/14             | 0.170                  | 50,000                |                  |                           |                            |
|   | CP          | GECC               |                | 07/01/14             | 0.170                  | 50,000                |                  |                           |                            |
|   | CP          | JPM LLC            |                | 07/24/14             | 0.250                  | 50,000                |                  |                           |                            |
|   | CP          | JPM LLC            |                | 07/24/14             | 0.250                  | 50,000                |                  |                           |                            |
|   | CP          | JPM LLC            |                | 07/24/14             | 0.250                  | 50,000                |                  |                           |                            |
|   | CP          | JPM LLC            |                | 07/24/14             | 0.250                  | 50,000                |                  |                           |                            |
|   | CP          | JPM LLC            |                | 07/24/14             | 0.250                  | 50,000                |                  |                           |                            |
|   | DN          | IBRD               |                | 07/24/14             | 0.110                  | 50,000                |                  |                           |                            |
|   | DN          | IBRD               |                | 07/24/14             | 0.110                  | 50,000                |                  |                           |                            |
|   | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|   | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|   | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|   | TR          | BILL               |                | 01/08/15             | 0.098                  | 50,000                |                  |                           |                            |
|   | YCD         | NORINCHUK          | 0.150          | 02/27/14             | 0.150                  | 50,000                |                  |                           |                            |
|   | YCD         | NORINCHUK          | 0.150          | 02/27/14             | 0.150                  | 50,000                |                  |                           |                            |

| <u>DATE</u> | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$ (000))</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|

**01/29/14 REDEMPTIONS**

|     |  |           |       |          |       |        |     |           |       |
|-----|--|-----------|-------|----------|-------|--------|-----|-----------|-------|
| CP  |  | BARCLY US |       | 01/29/14 | 0.090 | 50,000 | 13  | 1,625.00  | 0.091 |
| CP  |  | BARCLY US |       | 01/29/14 | 0.090 | 50,000 | 13  | 1,625.00  | 0.091 |
| CP  |  | BARCLY US |       | 01/29/14 | 0.090 | 50,000 | 15  | 1,875.00  | 0.091 |
| CP  |  | BARCLY US |       | 01/29/14 | 0.090 | 50,000 | 15  | 1,875.00  | 0.091 |
| CP  |  | BARCLY US |       | 01/29/14 | 0.090 | 50,000 | 15  | 1,875.00  | 0.091 |
| CP  |  | BARCLY US |       | 01/29/14 | 0.090 | 50,000 | 15  | 1,875.00  | 0.091 |
| CP  |  | BNP FIN   |       | 01/29/14 | 0.100 | 50,000 | 23  | 3,194.44  | 0.101 |
| CP  |  | BNP FIN   |       | 01/29/14 | 0.100 | 50,000 | 23  | 3,194.44  | 0.101 |
| CP  |  | BNP FIN   |       | 01/29/14 | 0.100 | 50,000 | 23  | 3,194.44  | 0.101 |
| CP  |  | GECC      |       | 01/29/14 | 0.070 | 50,000 | 47  | 4,569.44  | 0.071 |
| CP  |  | GECC      |       | 01/29/14 | 0.070 | 50,000 | 47  | 4,569.44  | 0.071 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 285 | 47,500.00 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 285 | 47,500.00 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 285 | 47,500.00 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 285 | 47,500.00 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 287 | 47,833.33 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 287 | 47,833.33 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 287 | 47,833.33 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 287 | 47,833.33 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 287 | 47,833.33 | 0.122 |
| DN  |  | FHLMC     |       | 01/29/14 | 0.120 | 50,000 | 287 | 47,833.33 | 0.122 |
| YCD |  | SUMITOMO  | 0.120 | 01/29/14 | 0.120 | 50,000 | 15  | 2,500.00  | 0.122 |
| YCD |  | SUMITOMO  | 0.120 | 01/29/14 | 0.120 | 50,000 | 15  | 2,500.00  | 0.122 |
| YCD |  | SUMITOMO  | 0.140 | 01/29/14 | 0.140 | 50,000 | 20  | 3,888.89  | 0.142 |
| YCD |  | SUMITOMO  | 0.140 | 01/29/14 | 0.140 | 50,000 | 20  | 3,888.89  | 0.142 |
| YCD |  | SUMITOMO  | 0.150 | 01/29/14 | 0.150 | 50,000 | 26  | 5,416.67  | 0.152 |
| YCD |  | SUMITOMO  | 0.150 | 01/29/14 | 0.150 | 50,000 | 26  | 5,416.67  | 0.152 |
| YCD |  | MONTREAL  | 0.070 | 01/29/14 | 0.070 | 50,000 | 34  | 3,305.56  | 0.071 |
| YCD |  | MONTREAL  | 0.070 | 01/29/14 | 0.070 | 50,000 | 34  | 3,305.56  | 0.071 |
| YCD |  | MONTREAL  | 0.070 | 01/29/14 | 0.070 | 50,000 | 34  | 3,305.56  | 0.071 |
| YCD |  | MONTREAL  | 0.070 | 01/29/14 | 0.070 | 50,000 | 34  | 3,305.56  | 0.071 |
| YCD |  | TORONTO   | 0.070 | 01/29/14 | 0.070 | 50,000 | 37  | 3,597.22  | 0.071 |
| YCD |  | TORONTO   | 0.070 | 01/29/14 | 0.070 | 50,000 | 37  | 3,597.22  | 0.071 |

**01/29/14 NO PURCHASES**

**01/30/14 NO REDEMPTIONS**

**01/30/14 PURCHASES**

|    |  |           |  |          |       |        |  |  |  |
|----|--|-----------|--|----------|-------|--------|--|--|--|
| CP |  | BARCLY US |  | 01/31/14 | 0.080 | 50,000 |  |  |  |
| CP |  | BARCLY US |  | 01/31/14 | 0.080 | 50,000 |  |  |  |
| CP |  | BARCLY US |  | 02/03/14 | 0.050 | 50,000 |  |  |  |
| CP |  | BARCLY US |  | 02/03/14 | 0.050 | 50,000 |  |  |  |
| CP |  | BARCLY US |  | 02/03/14 | 0.050 | 50,000 |  |  |  |
| CP |  | BARCLY US |  | 02/03/14 | 0.050 | 50,000 |  |  |  |
| CP |  | BARCLY US |  | 02/03/14 | 0.050 | 50,000 |  |  |  |
| CP |  | BNP FIN   |  | 02/03/14 | 0.060 | 50,000 |  |  |  |

| <u>DATE</u> | <u>TYPE</u> | <u>DESCRIPTION</u> | <u>CPN (%)</u> | <u>MATURITY DATE</u> | <u>TRANS YIELD (%)</u> | <u>PAR (\$) (000)</u> | <u>DAYS HELD</u> | <u>AMOUNT EARNED (\$)</u> | <u>EFFECTIVE YIELD (%)</u> |
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|
|-------------|-------------|--------------------|----------------|----------------------|------------------------|-----------------------|------------------|---------------------------|----------------------------|

**01/30/14 PURCHASES (Continued)**

|     |  |           |       |          |       |        |  |  |  |
|-----|--|-----------|-------|----------|-------|--------|--|--|--|
| CP  |  | BNP FIN   |       | 02/03/14 | 0.060 | 50,000 |  |  |  |
| CP  |  | BNP FIN   |       | 02/03/14 | 0.060 | 50,000 |  |  |  |
| CP  |  | BNP FIN   |       | 02/03/14 | 0.060 | 50,000 |  |  |  |
| CP  |  | BNP FIN   |       | 02/03/14 | 0.060 | 50,000 |  |  |  |
| CP  |  | BNP FIN   |       | 02/03/14 | 0.060 | 50,000 |  |  |  |
| TR  |  | BILL      |       | 01/08/15 | 0.095 | 50,000 |  |  |  |
| TR  |  | BILL      |       | 01/08/15 | 0.095 | 50,000 |  |  |  |
| TR  |  | BILL      |       | 01/08/15 | 0.095 | 50,000 |  |  |  |
| TR  |  | BILL      |       | 01/08/15 | 0.095 | 50,000 |  |  |  |
| YCD |  | SUMITOMO  | 0.100 | 02/07/14 | 0.100 | 50,000 |  |  |  |
| YCD |  | SUMITOMO  | 0.100 | 02/07/14 | 0.100 | 50,000 |  |  |  |
| YCD |  | NORINCHUK | 0.100 | 02/10/14 | 0.100 | 50,000 |  |  |  |
| YCD |  | NORINCHUK | 0.100 | 02/10/14 | 0.100 | 50,000 |  |  |  |

**01/31/14 REDEMPTIONS**

|     |  |           |       |          |       |        |    |           |       |
|-----|--|-----------|-------|----------|-------|--------|----|-----------|-------|
| CP  |  | BARCLY US |       | 01/31/14 | 0.080 | 50,000 | 1  | 111.11    | 0.081 |
| CP  |  | BARCLY US |       | 01/31/14 | 0.080 | 50,000 | 1  | 111.11    | 0.081 |
| CP  |  | BNP FIN   |       | 01/31/14 | 0.170 | 50,000 | 32 | 7,555.56  | 0.172 |
| CP  |  | BNP FIN   |       | 01/31/14 | 0.170 | 50,000 | 32 | 7,555.56  | 0.172 |
| CP  |  | TOYOTA    |       | 01/31/14 | 0.120 | 50,000 | 71 | 11,833.33 | 0.122 |
| CP  |  | TOYOTA    |       | 01/31/14 | 0.120 | 50,000 | 71 | 11,833.33 | 0.122 |
| CP  |  | TOYOTA    |       | 01/31/14 | 0.120 | 50,000 | 71 | 11,833.33 | 0.122 |
| YCD |  | NORINCHUK | 0.130 | 01/31/14 | 0.130 | 50,000 | 22 | 3,972.22  | 0.132 |
| YCD |  | NORINCHUK | 0.130 | 01/31/14 | 0.130 | 50,000 | 22 | 3,972.22  | 0.132 |

**01/31/14 NO PURCHASES**



## Footnotes

|                  |  |
|------------------|--|
| <b><u>a/</u></b> | The abbreviations indicate the type of security purchased, sold, or redeemed:  |
| BA               | Bankers Acceptances  |
| BN               | Bank Notes   |
| CB               | Corporate Bonds  |
| CB FR            | Floating Rate Corporate Bonds  |
| CD               | Negotiable Certificates of Deposit   |
| CD FR            | Floating Rate Negotiable Certificates of Deposit   |
| CP               | Commercial Paper   |
| DEB              | Federal/Supranational Agency Debentures – Federal Home Loan Bank (FHLB), Federal Home Loan Mortgage Corporation (FHLMC), Federal National Mortgage Association (FNMA), Federal Farm Credit Bank (FFCB), Federal Land Banks (FLB), Federal Intermediate Credit Banks (FICB), Central Bank for Cooperatives (CBC), Tennessee Valley Authority (TVA), Commodity Credit Corporation (CCC), International Bank for Reconstruction and Development (IBRD). |
| DEB FR           | Federal/Supranational Agency Floating Rate Debentures – FHLB, FHLMC, FNMA, FFCB, FLB, FICB, CBC, TVA, CCC, IBRD.   |
| DN               | Federal/Supranational Agency Discount Notes- FHLB, FHLMC, FNMA, FFCB, FLB, FICB, CBC, TVA, CCC, IBRD.  |
| PC               | Federal Agency Mortgage-backed Pass-through Certificates – FHLMC, FNMA, Government National Mortgage Investment Conduit (GNMA)   |
| POOL FR          | Floating Rate Small Business Administration (SBA) Loan Pools   |
| REMIC            | Federal Agency Real Estate Mortgage Investment Conduits – FHLMC, FNMA  |
| TR               | U.S. Treasury Bills, Notes   |
| YCD              | Negotiable Yankee Certificates of Deposit  |
| YCD FR           | Floating Rate Negotiable Yankee Certificates of Deposit  |
| <b><u>b/</u></b> | Industry standard purchase yield calculation   |
| <b><u>c/</u></b> | Repurchase Agreement   |
| <b><u>d/</u></b> | Par amount of securities purchased, sold or redeemed   |
| <b><u>e/</u></b> | Securities were purchased and sold as of the same date   |
| <b><u>f/</u></b> | Repurchase Agreement against Reverse Repurchase Agreement  |
| <b><u>g/</u></b> | Outright purchase against Reverse Repurchase Agreement   |
| <b><u>h/</u></b> | Security “SWAP” transactions   |
| <b><u>i/</u></b> | Buy back agreement   |
| RRP              | Termination of Reverse Repurchase Agreement  |
| RRS              | Reverse Repurchase Agreement   |

## TIME DEPOSITS

|                                  | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|----------------------------------|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>ALHAMBRA</u></b>           |                         |                  |                            |                          |
| New Omni Bank, NA                | 08/29/13                | 0.090            | 5,000,000.00               | 02/27/14                 |
| New Omni Bank, NA                | 09/06/13                | 0.080            | 1,000,000.00               | 03/07/14                 |
| New Omni Bank, NA                | 09/13/13                | 0.070            | 2,000,000.00               | 03/14/14                 |
| New Omni Bank, NA                | 10/10/13                | 0.090            | 3,000,000.00               | 04/10/14                 |
| New Omni Bank, NA                | 10/11/13                | 0.090            | 2,000,000.00               | 04/10/14                 |
| New Omni Bank, NA                | 10/17/13                | 0.150            | 2,000,000.00               | 04/17/14                 |
| New Omni Bank, NA                | 10/24/13                | 0.100            | 2,000,000.00               | 04/24/14                 |
| New Omni Bank, NA                | 10/31/13                | 0.110            | 2,000,000.00               | 05/01/14                 |
| New Omni Bank, NA                | 11/07/13                | 0.110            | 2,000,000.00               | 05/08/14                 |
| New Omni Bank, NA                | 11/15/13                | 0.120            | 2,000,000.00               | 05/16/14                 |
| New Omni Bank, NA                | 01/23/14                | 0.080            | 2,000,000.00               | 07/24/14                 |
| <b><u>ARCADIA</u></b>            |                         |                  |                            |                          |
| American Plus Bank, NA           | 08/15/13                | 0.100            | 240,000.00                 | 02/13/14                 |
| American Plus Bank, NA           | 12/16/13                | 0.100            | 2,000,000.00               | 03/19/14                 |
| <b><u>BEVERLY HILLS</u></b>      |                         |                  |                            |                          |
| Bank Leumi USA                   | 10/23/13                | 0.120            | 30,000,000.00              | 04/23/14                 |
| <b><u>BREA</u></b>               |                         |                  |                            |                          |
| CapitalSource Bank               | 09/18/13                | 0.050            | 40,000,000.00              | 03/19/14                 |
| Pacific Western Bank             | 01/10/14                | 0.120            | 10,000,000.00              | 07/11/14                 |
| Pacific Western Bank             | 01/28/14                | 0.110            | 40,000,000.00              | 07/30/14                 |
| <b><u>CHICO</u></b>              |                         |                  |                            |                          |
| Tri Counties Bank                | 12/11/13                | 0.100            | 5,000,000.00               | 03/12/14                 |
| <b><u>CITY OF INDUSTRY</u></b>   |                         |                  |                            |                          |
| EverTrust Bank                   | 11/22/13                | 0.120            | 20,000,000.00              | 05/23/14                 |
| EverTrust Bank                   | 12/11/13                | 0.120            | 20,000,000.00              | 06/11/14                 |
| <b><u>DIAMOND BAR</u></b>        |                         |                  |                            |                          |
| Prospectors Federal Credit Union | 09/12/13                | 0.080            | 4,000,000.00               | 03/13/14                 |
| <b><u>ENCINO</u></b>             |                         |                  |                            |                          |
| California United Bank           | 10/03/13                | 0.090            | 10,000,000.00              | 04/03/14                 |

## TIME DEPOSITS

|                               | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|-------------------------------|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>FAIRFIELD</u></b>       |                         |                  |                            |                          |
| Westamerica Bank              | 11/06/13                | 0.090            | 30,000,000.00              | 02/05/14                 |
| Westamerica Bank              | 01/10/14                | 0.100            | 40,000,000.00              | 07/11/14                 |
| Westamerica Bank              | 01/16/14                | 0.090            | 25,000,000.00              | 07/17/14                 |
| <b><u>FOLSOM</u></b>          |                         |                  |                            |                          |
| Folsom Lake Bank              | 12/04/13                | 0.110            | 3,000,000.00               | 03/05/14                 |
| Folsom Lake Bank              | 01/15/14                | 0.090            | 1,500,000.00               | 04/16/14                 |
| Folsom Lake Bank              | 01/31/14                | 0.120            | 3,500,000.00               | 08/01/14                 |
| <b><u>FRESNO</u></b>          |                         |                  |                            |                          |
| Central Valley Community Bank | 08/28/13                | 0.100            | 5,000,000.00               | 02/26/14                 |
| Central Valley Community Bank | 09/12/13                | 0.080            | 5,000,000.00               | 03/13/14                 |
| <b><u>IRVINE</u></b>          |                         |                  |                            |                          |
| CommerceWest Bank, NA         | 11/07/13                | 0.080            | 2,500,000.00               | 02/06/14                 |
| CommerceWest Bank, NA         | 12/13/13                | 0.100            | 5,000,000.00               | 03/14/14                 |
| CommerceWest Bank, NA         | 01/16/14                | 0.060            | 5,000,000.00               | 04/17/14                 |
| CommerceWest Bank, NA         | 01/31/14                | 0.080            | 2,500,000.00               | 05/02/14                 |
| First Foundation Bank         | 08/14/13                | 0.100            | 12,000,000.00              | 02/12/14                 |
| First Foundation Bank         | 09/05/13                | 0.070            | 12,000,000.00              | 03/06/14                 |
| First Foundation Bank         | 10/11/13                | 0.110            | 12,000,000.00              | 04/11/14                 |
| <b><u>LAFAYETTE</u></b>       |                         |                  |                            |                          |
| California Bank of Commerce   | 09/13/13                | 0.070            | 10,000,000.00              | 03/14/14                 |
| California Bank of Commerce   | 11/14/13                | 0.120            | 5,000,000.00               | 05/15/14                 |
| California Bank of Commerce   | 01/16/14                | 0.090            | 5,000,000.00               | 07/17/14                 |
| <b><u>LODI</u></b>            |                         |                  |                            |                          |
| Farmers & Merchants Bk Cen CA | 12/04/13                | 0.080            | 35,000,000.00              | 03/05/14                 |
| Farmers & Merchants Bk Cen CA | 12/11/13                | 0.080            | 20,000,000.00              | 03/12/14                 |
| Farmers & Merchants Bk Cen CA | 10/18/13                | 0.150            | 35,000,000.00              | 04/18/14                 |
| Farmers & Merchants Bk Cen CA | 01/23/14                | 0.060            | 35,000,000.00              | 04/24/14                 |
| <b><u>LOS ANGELES</u></b>     |                         |                  |                            |                          |
| 1st Century Bank, NA          | 08/16/13                | 0.100            | 4,000,000.00               | 02/14/14                 |
| 1st Century Bank, NA          | 11/01/13                | 0.070            | 16,000,000.00              | 02/14/14                 |
| 1st Century Bank, NA          | 10/16/13                | 0.100            | 6,000,000.00               | 04/16/14                 |
| 1st Century Bank, NA          | 10/25/13                | 0.100            | 12,000,000.00              | 04/16/14                 |
| BBCN Bank                     | 12/04/13                | 0.080            | 90,000,000.00              | 03/05/14                 |
| BBCN Bank                     | 09/13/13                | 0.070            | 75,000,000.00              | 03/14/14                 |
| BBCN Bank                     | 01/09/14                | 0.080            | 90,000,000.00              | 04/10/14                 |
| BBCN Bank                     | 10/16/13                | 0.100            | 45,000,000.00              | 04/16/14                 |

## TIME DEPOSITS

|                                       | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|---------------------------------------|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>LOS ANGELES (Continued)</u></b> |                         |                  |                            |                          |
| Commonwealth Business Bank            | 12/04/13                | 0.060            | 5,000,000.00               | 02/28/14                 |
| Commonwealth Business Bank            | 09/20/13                | 0.050            | 5,000,000.00               | 03/21/14                 |
| Commonwealth Business Bank            | 09/26/13                | 0.060            | 10,000,000.00              | 03/28/14                 |
| Commonwealth Business Bank            | 10/11/13                | 0.090            | 5,000,000.00               | 04/11/14                 |
| Commonwealth Business Bank            | 11/22/13                | 0.100            | 5,000,000.00               | 05/23/14                 |
| Commonwealth Business Bank            | 12/04/13                | 0.110            | 5,000,000.00               | 06/06/14                 |
| Commonwealth Business Bank            | 01/24/14                | 0.090            | 5,000,000.00               | 07/25/14                 |
| Commonwealth Business Bank            | 01/31/14                | 0.090            | 5,000,000.00               | 08/01/14                 |
| CTBC Bank                             | 01/16/14                | 0.090            | 50,000,000.00              | 07/17/14                 |
| CTBC Bank                             | 01/30/14                | 0.090            | 10,000,000.00              | 07/31/14                 |
| Eastern International Bank            | 01/22/14                | 0.060            | 1,900,000.00               | 04/23/14                 |
| Hanmi Bank                            | 08/08/13                | 0.100            | 30,000,000.00              | 02/06/14                 |
| HSBC Bank USA, NA                     | 11/13/13                | 0.110            | 25,000,000.00              | 02/12/14                 |
| Manufacturers Bank                    | 12/12/13                | 0.120            | 50,000,000.00              | 03/13/14                 |
| Manufacturers Bank                    | 01/10/14                | 0.070            | 100,000,000.00             | 04/11/14                 |
| Manufacturers Bank                    | 01/17/14                | 0.070            | 50,000,000.00              | 04/18/14                 |
| Mission Valley Bank                   | 10/16/13                | 0.120            | 7,000,000.00               | 04/16/14                 |
| Open Bank                             | 08/14/13                | 0.100            | 10,000,000.00              | 02/12/14                 |
| Open Bank                             | 09/13/13                | 0.070            | 5,000,000.00               | 03/14/14                 |
| Open Bank                             | 09/20/13                | 0.070            | 2,000,000.00               | 03/21/14                 |
| Open Bank                             | 12/12/13                | 0.120            | 5,000,000.00               | 06/12/14                 |
| Open Bank                             | 01/08/14                | 0.110            | 2,000,000.00               | 07/09/14                 |
| Opus Bank                             | 01/31/14                | 0.090            | 10,000,000.00              | 08/01/14                 |
| Pacific City Bank                     | 12/12/13                | 0.100            | 5,500,000.00               | 03/13/14                 |
| Pacific City Bank                     | 12/13/13                | 0.100            | 4,500,000.00               | 03/13/14                 |
| Pacific City Bank                     | 12/20/13                | 0.090            | 20,000,000.00              | 03/21/14                 |
| Pacific City Bank                     | 01/08/14                | 0.080            | 10,000,000.00              | 04/11/14                 |
| Pacific City Bank                     | 01/10/14                | 0.080            | 20,000,000.00              | 04/11/14                 |
| State Bank of India (California)      | 11/07/13                | 0.100            | 2,000,000.00               | 02/06/14                 |
| State Bank of India (California)      | 08/14/13                | 0.120            | 5,000,000.00               | 02/12/14                 |
| State Bank of India (California)      | 09/11/13                | 0.080            | 5,000,000.00               | 03/12/14                 |
| State Bank of India (California)      | 01/09/14                | 0.100            | 12,000,000.00              | 04/10/14                 |
| State Bank of India (California)      | 10/16/13                | 0.120            | 23,000,000.00              | 04/16/14                 |
| State Bank of India (California)      | 01/10/14                | 0.100            | 10,000,000.00              | 04/24/14                 |
| State Bank of India (California)      | 01/23/14                | 0.080            | 3,000,000.00               | 04/24/14                 |
| Wilshire Bank                         | 11/15/13                | 0.100            | 30,000,000.00              | 02/14/14                 |
| Wilshire Bank                         | 12/11/13                | 0.080            | 39,000,000.00              | 03/12/14                 |
| Wilshire Bank                         | 01/10/14                | 0.070            | 78,000,000.00              | 04/11/14                 |
| Wilshire Bank                         | 01/16/14                | 0.060            | 74,000,000.00              | 04/17/14                 |
| <b><u>MONTEREY</u></b>                |                         |                  |                            |                          |
| 1st Capital Bank                      | 12/12/13                | 0.100            | 5,000,000.00               | 03/13/14                 |
| 1st Capital Bank                      | 01/23/14                | 0.080            | 1,000,000.00               | 04/24/14                 |
| <b><u>NORTH HIGHLANDS</u></b>         |                         |                  |                            |                          |
| SAFE Credit Union                     | 09/06/13                | 0.100            | 5,000,000.00               | 03/07/14                 |

## TIME DEPOSITS

|                                    | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|------------------------------------|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>OAKDALE</u></b>              |                         |                  |                            |                          |
| Oak Valley Community Bank          | 12/05/13                | 0.080            | 3,500,000.00               | 03/06/14                 |
| <b><u>OAKLAND</u></b>              |                         |                  |                            |                          |
| Community Bank of the Bay          | 12/06/13                | 0.140            | 5,000,000.00               | 06/06/14                 |
| Metropolitan Bank                  | 08/29/13                | 0.120            | 1,000,000.00               | 02/27/14                 |
| Metropolitan Bank                  | 12/12/13                | 0.140            | 4,500,000.00               | 06/12/14                 |
| Metropolitan Bank                  | 01/10/14                | 0.120            | 1,500,000.00               | 07/11/14                 |
| Metropolitan Bank                  | 01/16/14                | 0.110            | 1,000,000.00               | 07/17/14                 |
| Metropolitan Bank                  | 01/31/14                | 0.110            | 2,000,000.00               | 08/01/14                 |
| <b><u>ONTARIO</u></b>              |                         |                  |                            |                          |
| Citizens Business Bank             | 11/06/13                | 0.070            | 50,000,000.00              | 02/05/14                 |
| Citizens Business Bank             | 08/15/13                | 0.120            | 30,000,000.00              | 02/13/14                 |
| Citizens Business Bank             | 12/06/13                | 0.090            | 25,000,000.00              | 03/07/14                 |
| Citizens Business Bank             | 12/11/13                | 0.080            | 25,000,000.00              | 03/12/14                 |
| Citizens Business Bank             | 01/10/14                | 0.070            | 50,000,000.00              | 04/11/14                 |
| Citizens Business Bank             | 01/24/14                | 0.060            | 30,000,000.00              | 04/25/14                 |
| Citizens Business Bank             | 01/30/14                | 0.080            | 30,000,000.00              | 05/01/14                 |
| <b><u>PALOS VERDES ESTATES</u></b> |                         |                  |                            |                          |
| Malaga Bank, FSB                   | 11/07/13                | 0.060            | 10,000,000.00              | 02/06/14                 |
| Malaga Bank, FSB                   | 12/04/13                | 0.060            | 12,000,000.00              | 03/05/14                 |
| Malaga Bank, FSB                   | 12/06/13                | 0.070            | 4,000,000.00               | 03/07/14                 |
| Malaga Bank, FSB                   | 01/09/14                | 0.080            | 5,000,000.00               | 04/10/14                 |
| Malaga Bank, FSB                   | 01/24/14                | 0.060            | 8,000,000.00               | 04/25/14                 |
| Malaga Bank, FSB                   | 01/31/14                | 0.080            | 9,000,000.00               | 05/02/14                 |
| <b><u>PASADENA</u></b>             |                         |                  |                            |                          |
| Community Bank                     | 08/16/13                | 0.120            | 25,000,000.00              | 02/14/14                 |
| Community Bank                     | 09/18/13                | 0.070            | 35,000,000.00              | 03/19/14                 |
| Community Bank                     | 10/04/13                | 0.090            | 25,000,000.00              | 04/04/14                 |
| Community Bank                     | 11/06/13                | 0.130            | 30,000,000.00              | 05/07/14                 |
| Community Bank                     | 12/11/13                | 0.140            | 30,000,000.00              | 06/11/14                 |
| Community Bank                     | 01/15/14                | 0.100            | 25,000,000.00              | 07/16/14                 |
| East West Bank                     | 08/09/13                | 0.120            | 75,000,000.00              | 02/07/14                 |
| East West Bank                     | 10/25/13                | 0.120            | 50,000,000.00              | 04/25/14                 |
| East West Bank                     | 01/16/14                | 0.110            | 50,000,000.00              | 07/17/14                 |
| East West Bank                     | 01/22/14                | 0.110            | 125,000,000.00             | 07/23/14                 |
| Wescom Central Credit Union        | 09/26/13                | 0.110            | 50,000,000.00              | 03/27/14                 |
| Wescom Central Credit Union        | 01/28/14                | 0.120            | 50,000,000.00              | 07/30/14                 |

## TIME DEPOSITS

|                              | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|------------------------------|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>PASO ROBLES</u></b>    |                         |                  |                            |                          |
| Heritage Oaks Bank           | 12/11/13                | 0.100            | 25,000,000.00              | 03/12/14                 |
| <b><u>PORTERVILLE</u></b>    |                         |                  |                            |                          |
| Bank of the Sierra           | 11/07/13                | 0.110            | 15,000,000.00              | 02/06/14                 |
| Bank of the Sierra           | 11/14/13                | 0.120            | 10,000,000.00              | 02/13/14                 |
| Bank of the Sierra           | 12/05/13                | 0.110            | 25,000,000.00              | 03/06/14                 |
| Bank of the Sierra           | 01/09/14                | 0.110            | 5,000,000.00               | 04/10/14                 |
| Bank of the Sierra           | 01/10/14                | 0.110            | 5,000,000.00               | 04/10/14                 |
| Bank of the Sierra           | 01/10/14                | 0.110            | 20,000,000.00              | 04/25/14                 |
| <b><u>RANCHO CORDOVA</u></b> |                         |                  |                            |                          |
| American River Bank          | 08/08/13                | 0.130            | 7,500,000.00               | 02/06/14                 |
| American River Bank          | 08/15/13                | 0.130            | 6,500,000.00               | 02/13/14                 |
| American River Bank          | 11/21/13                | 0.140            | 1,000,000.00               | 02/20/14                 |
| American River Bank          | 01/08/14                | 0.100            | 2,500,000.00               | 04/09/14                 |
| American River Bank          | 01/10/14                | 0.090            | 2,000,000.00               | 04/18/14                 |
| American River Bank          | 10/23/13                | 0.120            | 3,000,000.00               | 04/23/14                 |
| American River Bank          | 11/07/13                | 0.140            | 2,500,000.00               | 05/08/14                 |
| American River Bank          | 12/12/13                | 0.150            | 1,500,000.00               | 06/12/14                 |
| American River Bank          | 01/31/14                | 0.110            | 2,500,000.00               | 08/01/14                 |
| <b><u>REDWOOD CITY</u></b>   |                         |                  |                            |                          |
| Provident Credit Union       | 10/17/13                | 0.150            | 20,000,000.00              | 04/17/14                 |
| Provident Credit Union       | 10/28/13                | 0.100            | 40,000,000.00              | 04/30/14                 |
| Provident Credit Union       | 11/21/13                | 0.130            | 20,000,000.00              | 05/22/14                 |
| Provident Credit Union       | 12/13/13                | 0.120            | 20,000,000.00              | 06/13/14                 |
| Provident Credit Union       | 01/23/14                | 0.080            | 40,000,000.00              | 07/24/14                 |
| <b><u>RICHMOND</u></b>       |                         |                  |                            |                          |
| Mechanics Bank, The          | 08/15/13                | 0.120            | 20,000,000.00              | 02/13/14                 |
| Mechanics Bank, The          | 01/15/14                | 0.080            | 20,000,000.00              | 04/16/14                 |
| Mechanics Bank, The          | 01/23/14                | 0.080            | 20,000,000.00              | 04/24/14                 |
| <b><u>ROCKLIN</u></b>        |                         |                  |                            |                          |
| Five Star Bank               | 08/15/13                | 0.100            | 8,000,000.00               | 02/13/14                 |
| Five Star Bank               | 12/12/13                | 0.120            | 10,500,000.00              | 06/12/14                 |
| Five Star Bank               | 01/10/14                | 0.100            | 14,500,000.00              | 07/11/14                 |
| Five Star Bank               | 01/15/14                | 0.080            | 2,000,000.00               | 07/16/14                 |
| Five Star Bank               | 01/31/14                | 0.090            | 3,000,000.00               | 08/01/14                 |

## TIME DEPOSITS

|                                       | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|---------------------------------------|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>ROSEMEAD</u></b>                |                         |                  |                            |                          |
| Pacific Alliance Bank                 | 10/16/13                | 0.130            | 4,000,000.00               | 04/16/14                 |
| Pacific Alliance Bank                 | 12/06/13                | 0.150            | 1,500,000.00               | 06/06/14                 |
| <b><u>ROSEVILLE</u></b>               |                         |                  |                            |                          |
| Community 1st Bank                    | 09/20/13                | 0.090            | 2,000,000.00               | 03/21/14                 |
| Community 1st Bank                    | 10/23/13                | 0.110            | 2,000,000.00               | 04/23/14                 |
| <b><u>SACRAMENTO</u></b>              |                         |                  |                            |                          |
| Bank of Sacramento                    | 08/07/13                | 0.120            | 4,000,000.00               | 02/05/14                 |
| Bank of Sacramento                    | 10/02/13                | 0.070            | 6,000,000.00               | 04/02/14                 |
| Bank of Sacramento                    | 10/24/13                | 0.120            | 10,000,000.00              | 04/24/14                 |
| Comerica Bank                         | 08/07/13                | 0.120            | 50,000,000.00              | 02/05/14                 |
| Comerica Bank                         | 08/14/13                | 0.120            | 25,000,000.00              | 02/12/14                 |
| Comerica Bank                         | 08/29/13                | 0.110            | 75,000,000.00              | 02/27/14                 |
| Comerica Bank                         | 12/11/13                | 0.140            | 25,000,000.00              | 06/11/14                 |
| Comerica Bank                         | 01/08/14                | 0.130            | 50,000,000.00              | 07/09/14                 |
| Comerica Bank                         | 01/15/14                | 0.100            | 75,000,000.00              | 07/16/14                 |
| Merchants National Bank of Sacramento | 10/09/13                | 0.070            | 2,000,000.00               | 04/09/14                 |
| Merchants National Bank of Sacramento | 11/06/13                | 0.110            | 2,000,000.00               | 05/07/14                 |
| Merchants National Bank of Sacramento | 11/20/13                | 0.120            | 2,000,000.00               | 05/21/14                 |
| Merchants National Bank of Sacramento | 12/04/13                | 0.130            | 2,000,000.00               | 06/04/14                 |
| River City Bank                       | 11/15/13                | 0.120            | 20,000,000.00              | 05/16/14                 |
| River City Bank                       | 11/22/13                | 0.120            | 20,000,000.00              | 05/23/14                 |
| River City Bank                       | 12/06/13                | 0.120            | 15,000,000.00              | 06/06/14                 |
| Umpqua Bank                           | 11/14/13                | 0.140            | 50,000,000.00              | 05/15/14                 |
| <b><u>SAN DIEGO</u></b>               |                         |                  |                            |                          |
| Mission Federal Credit Union          | 10/16/13                | 0.080            | 10,000,000.00              | 04/16/14                 |
| San Diego County Credit Union         | 10/17/13                | 0.130            | 50,000,000.00              | 04/17/14                 |
| San Diego County Credit Union         | 10/30/13                | 0.090            | 50,000,000.00              | 04/30/14                 |
| San Diego County Credit Union         | 11/15/13                | 0.100            | 50,000,000.00              | 05/16/14                 |
| San Diego County Credit Union         | 12/18/13                | 0.090            | 50,000,000.00              | 06/18/14                 |
| San Diego County Credit Union         | 01/15/14                | 0.060            | 100,000,000.00             | 07/16/14                 |
| Torrey Pines Bank                     | 11/14/13                | 0.120            | 15,000,000.00              | 05/15/14                 |
| Torrey Pines Bank                     | 11/20/13                | 0.120            | 25,000,000.00              | 05/21/14                 |
| Torrey Pines Bank                     | 12/20/13                | 0.120            | 50,000,000.00              | 06/20/14                 |
| Torrey Pines Bank                     | 01/09/14                | 0.100            | 25,000,000.00              | 07/10/14                 |
| <b><u>SAN FRANCISCO</u></b>           |                         |                  |                            |                          |
| Trans Pacific National Bank           | 10/25/13                | 0.120            | 2,000,000.00               | 04/25/14                 |
| Trans Pacific National Bank           | 12/20/13                | 0.140            | 3,000,000.00               | 06/20/14                 |
| Union Bank, NA                        | 12/05/13                | 0.110            | 150,000,000.00             | 03/06/14                 |
| Union Bank, NA                        | 01/23/14                | 0.080            | 150,000,000.00             | 04/24/14                 |

## TIME DEPOSITS

|  | <u>DEPOSIT<br/>DATE</u> | <u>YIELD (%)</u> | <u>PAR<br/>AMOUNT (\$)</u> | <u>MATURITY<br/>DATE</u> |
|--|-------------------------|------------------|----------------------------|--------------------------|
| <b><u>SAN JOSE</u></b>                     |                         |                  |                            |                          |
| Heritage Bank of Commerce                  | 09/27/13                | 0.090            | 15,000,000.00              | 03/28/14                 |
| Heritage Bank of Commerce                  | 11/15/13                | 0.140            | 13,000,000.00              | 05/16/14                 |
| Heritage Bank of Commerce                  | 12/11/13                | 0.140            | 20,000,000.00              | 06/11/14                 |
| Heritage Bank of Commerce                  | 01/23/14                | 0.100            | 50,000,000.00              | 07/24/14                 |
| <b><u>SANTA ROSA</u></b>                   |                         |                  |                            |                          |
| Summit State Bank                          | 09/06/13                | 0.100            | 12,000,000.00              | 03/07/14                 |
| Summit State Bank                          | 09/20/13                | 0.090            | 7,000,000.00               | 03/21/14                 |
| Summit State Bank                          | 10/17/13                | 0.170            | 9,000,000.00               | 04/17/14                 |
| Summit State Bank                          | 11/06/13                | 0.130            | 8,000,000.00               | 05/07/14                 |
| Summit State Bank                          | 01/15/14                | 0.100            | 5,000,000.00               | 07/16/14                 |
| Summit State Bank                          | 01/24/14                | 0.110            | 3,500,000.00               | 07/25/14                 |
| Summit State Bank                          | 01/29/14                | 0.110            | 4,000,000.00               | 07/30/14                 |
| <b><u>SOUTH SAN FRANCISCO</u></b>          |                         |                  |                            |                          |
| First National Bank of Northern California | 09/11/13                | 0.080            | 15,000,000.00              | 03/12/14                 |
| First National Bank of Northern California | 12/04/13                | 0.150            | 4,000,000.00               | 06/04/14                 |
| <b><u>VACAVILLE</u></b>                    |                         |                  |                            |                          |
| Travis Credit Union                        | 09/25/13                | 0.070            | 10,000,000.00              | 03/26/14                 |
| Travis Credit Union                        | 01/09/14                | 0.100            | 40,000,000.00              | 07/10/14                 |
| <b><u>WALNUT CREEK</u></b>                 |                         |                  |                            |                          |
| Bank of the West                           | 09/04/13                | 0.100            | 66,000,000.00              | 03/05/14                 |
| Bank of the West                           | 12/13/13                | 0.140            | 100,000,000.00             | 06/13/14                 |
| Bank of the West                           | 01/30/14                | 0.110            | 134,000,000.00             | 07/31/14                 |
| <b><u>WEST SACRAMENTO</u></b>              |                         |                  |                            |                          |
| Community Business Bank                    | 01/08/14                | 0.110            | 3,000,000.00               | 07/09/14                 |
| <b>TOTAL TIME DEPOSITS January 2014</b>    |                         |                  | <b>4,547,640,000.00</b>    |                          |



# BANK DEMAND DEPOSITS

January 2014

(\$ in thousands)

## DAILY BALANCES

| <u>DAY OF MONTH</u> |    | <u>BALANCES PER BANKS</u> |    | <u>WARRANTS OUTSTANDING</u> |
|---------------------|----|---------------------------|----|-----------------------------|
| 1                   | \$ | 1,908,005                 | \$ | 3,637,056                   |
| 2                   |    | 2,202,221                 |    | 3,165,469                   |
| 3                   |    | 2,091,186                 |    | 3,206,358                   |
| 4                   |    | 2,091,186                 |    | 3,206,358                   |
| 5                   |    | 2,091,186                 |    | 3,206,358                   |
| 6                   |    | 2,274,213                 |    | 2,779,125                   |
| 7                   |    | 2,346,198                 |    | 2,327,999                   |
| 8                   |    | 2,048,210                 |    | 2,436,553                   |
| 9                   |    | 2,134,469                 |    | 2,357,633                   |
| 10                  |    | 2,099,056                 |    | 2,200,847                   |
| 11                  |    | 2,099,056                 |    | 2,200,847                   |
| 12                  |    | 2,099,056                 |    | 2,200,847                   |
| 13                  |    | 2,149,009                 |    | 2,062,708                   |
| 14                  |    | 2,310,843                 |    | 1,953,250                   |
| 15                  |    | 2,421,463                 |    | 2,165,267                   |
| 16                  |    | 2,361,655                 |    | 1,993,525                   |
| 17                  |    | 1,829,632                 |    | 1,989,565                   |
| 18                  |    | 1,829,632                 |    | 1,989,565                   |
| 19                  |    | 1,829,632                 |    | 1,989,565                   |
| 20                  |    | 1,829,632                 |    | 1,989,565                   |
| 21                  |    | 2,228,210                 |    | 1,705,921                   |
| 22                  |    | 2,593,922                 |    | 1,653,623                   |
| 23                  |    | 2,449,174                 |    | 1,899,077                   |
| 24                  |    | 2,387,917                 |    | 2,098,780                   |
| 25                  |    | 2,387,917                 |    | 2,098,780                   |
| 26                  |    | 2,387,917                 |    | 2,098,780                   |
| 27                  |    | 2,234,231                 |    | 2,070,560                   |
| 28                  |    | 2,116,425                 |    | 1,974,781                   |
| 29                  |    | 2,068,043                 |    | 2,483,705                   |
| 30                  |    | 2,269,422                 |    | 1,902,917                   |
| 31                  |    | 2,317,974                 |    | 4,050,698                   |

AVERAGE DOLLAR DAYS 2,176,990 <sup>al</sup>

<sup>al</sup> The prescribed bank balance for January was \$2,217,682. This consisted of \$2,159,368 in compensating balances for services, balances for uncollected funds of \$77,942 and a deduction of \$19,628 for January delayed deposit credit.

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on January 15, 2014, has determined and designated the amount of money available for deposit and investment as of January 8, 2014, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

- In accordance with Treasurer's Office policy, for deposit in demand bank accounts as  
Compensating Balance for Services: 2,159,368,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

- In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

|       | From      | To        | Transactions       | In Securities<br>(section 16430)* | Time Deposits in<br>Various Financial<br>Institutions<br>(sections 16503a<br>and 16602)* | Estimated<br>Total |
|-------|-----------|-----------|--------------------|-----------------------------------|--|--------------------|
| ( 1 ) | 1/13/2014 | 1/17/2014 | \$ 3,170,100,000   | \$ 3,265,411,000                  | \$ 4,471,640,000   | \$ 7,737,051,000   |
| ( 2 ) | 1/20/2014 | 1/24/2014 | \$ 786,500,000     | \$ 4,051,911,000                  | \$ 4,471,640,000   | \$ 8,523,551,000   |
| ( 3 ) | 1/27/2014 | 1/31/2014 | \$ 920,800,000     | \$ 4,972,711,000                  | \$ 4,471,640,000   | \$ 9,444,351,000   |
| ( 4 ) | 2/3/2014  | 2/7/2014  | \$ (894,000,000)   | \$ 4,078,711,000                  | \$ 4,471,640,000   | \$ 8,550,351,000   |
| ( 5 ) | 2/10/2014 | 2/14/2014 | \$ (1,907,000,000) | \$ 2,171,711,000                  | \$ 4,471,640,000   | \$ 6,643,351,000   |
| ( 6 ) | 2/17/2014 | 2/21/2014 | \$ 824,500,000     | \$ 2,996,211,000                  | \$ 4,471,640,000   | \$ 7,467,851,000   |

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of 2,159,368,000.

POOLED MONEY INVESTMENT BOARD:

Signatures on File at STO

Chairperson

Member

Member

Dated: January 15, 2014

\* Government Code