CalABLE

ID	Work Stream	Type	Phase	Task	Assigned to	% Comp.	Status	Planned Start	Planned Finish
1	PM	PHASE	Initiation	Initiate (pre-project)		100.0%	Complete	8/20/2018	9/12/2018
2	PM	Summary	Initiation	Project Management		100%	Complete	8/20/2018	9/12/2018
3	PM	Section	Initiation	Project Team Organization Structure	D. Bell	100%	Complete	8/20/2018	9/12/2018
12	PM	Section	Initiation	Project documentation	D. Bell	100%	Complete	8/20/2018	9/12/2018
17	PM	Section	Initiation	Develop Project Communication Plan	D. Bell	100%	Complete	8/20/2018	9/12/2018
21	PM	Section	Initiation	Launch	D. Bell	100%	Complete	8/20/2018	9/12/2018
24	Finance	Summary	Initiation	Finance		100%	Complete	8/20/2018	10/1/2018
25	Finance	Section	Initiation	Fundamental Organization	Scott H.	100%	Complete	8/20/2018	10/1/2018
27	RM	Summary	Initiation	Relationship Management		100%	Complete	8/6/2018	8/24/2018
28	RM	Section	Initiation	Fundamental Organization	Linda	100%	Complete	8/6/2018	8/24/2018
33	PM	PHASE	Building	Planning Phase		96%	Good	7/1/2018	12/31/2018
34	RM	Summary	Planning	Intuition Configuration Planning		100%	Complete	8/20/2018	10/22/2018
35	Operations	Section	Initiation	Configuration Review (Rules for Disclosure)	Linda, State, Intuition	100%	Complete	8/20/2018	10/22/2018
36	Operations	Sub-section	Planning	A. Program Structure and Rules	Linda, State, Intuition	100%	Complete	8/20/2018	10/15/2018
42	Operations	Sub-section	Planning	B. Plan Details and Options	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
48	Operations	Sub-section	Planning	C. CapABLE Portal Details and Options	Heather, Kathy, Linda	100%	Complete	8/20/2018	10/15/2018
52	Operations	Sub-section	Planning	D. Online Enrollment Process	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
56	Operations	Sub-section	Planning	E. Enrollment Options	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
59	Operations	Sub-section	Planning	F. Contributions	Linda, Paul, Bernie State, Intuition	100%	Complete	8/20/2018	9/21/2018
67	Operations	Sub-section	Planning	G. Withdrawals	Linda, Paul, Bernie State, Intuition	100%	Complete	8/20/2018	10/1/2018
75	Operations	Sub-section	Planning	H. Eligibility	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
79	Operations	Sub-section	Planning	I. Fee Matrix	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
81	Operations	Sub-section	Planning	J. Portal Functionality	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
82	Operations	Sub-section	Planning	K. Reporting	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
97	Operations	Sub-section	Planning	L. Communications	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
119	Operations	Sub-section	Planning	M. Investments	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
123	Operations	Sub-section	Planning	N. Banking Structure	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
127	Operations	Sub-section	Planning	O. Customer Service	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/22/2018
144	Operations	Sub-section	Planning	P. Program Gifting	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
146	Operations	Sub-section	Planning	Q. Fraud Prevention	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
149	Operations	Sub-section	Planning	R. Investment Options Information	Linda, Paul, State, Intuition	100%	Complete	8/20/2018	10/1/2018
153	Operations	Sub-section	Planning	Signoff on Configuration/System Requirements	Linda	100.0%	Complete	9/5/2018	9/22/2018
162	Operations	Sub-section	Planning	Web	Bernie, Paul	90%	Good	8/20/2018	11/1/2018
164	Legal	Summary	Planning	Legal - Planning		100%	Complete	7/25/2018	11/1/2018
165	Legal	Sub-section	Planning	Plan Management Agreement (Standard Agreement)	Lisa, Linda	100%	Complete	7/25/2018	9/5/2018
167	Legal	Sub-section	Planning	Plan Disclosure Booklet	Lisa, Linda	100%	Complete	8/20/2018	11/1/2018
169	Legal	Sub-section	Planning	Disclosure Language/Footer	Lisa, Linda	100%	Complete	9/1/2018	10/15/2018

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173	Legal	Sub-section	Planning	Data Sharing Agreement (Intuition/State)	Lisa, Linda	100%	Complete	9/1/2018	10/7/2018
176	Inv. Str.	Summary	Planning	Bank Integration		91%	Good	8/20/2018	11/1/2018
177	Inv. Str.	Section	Planning	FDIC Savings Account	Paul	91%	Good	8/20/2018	11/1/2018
178	Operations	Sub-section	Planning	Determine all TIAA Bank pre-requisites activities	Paul, Paul, Steve, Miguel, Mark	91%	Good	8/20/2018	11/1/2018
209	Inv. Str.	Section	Planning	Pre-paid Card	Paul	86%	Good	9/1/2018	11/8/2018
210	Inv. Str.	Sub-section	Planning	Requirements and process	Paul, Paul, Steve, Miguel, Mark	93%	Good	9/1/2018	11/30/2018
221	Inv. Str.	Summary	Planning	Investment Strategy - Planning		100%	Complete	8/20/2018	10/1/2018
222	Inv. Str.	Section	Planning	Investment Planning: Client Kickoff	Jeremy Thiessen	100%	Complete	8/20/2018	10/1/2018
223	Inv. Str.	Sub-section	Planning	CalAble Meeting	Jeremy Thiessen	100%	Complete	8/20/2018	10/1/2018

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232	Inv. Str.	Sub-section	Planning	Investment Strategy	Jeremy, Glenn, Pamela	100%	Complete	8/20/2018	10/1/2018
235	Marketing	Summary	Planning	Marketing - Planning	Kathy, Doug	100%	Complete	8/20/2018	10/1/2018
236	Marketing	Section	Planning	Preliminary Planning - Marketing	Kathy, Doug	100%	Complete	8/20/2018	10/1/2018
237	Marketing	Sub-section	Planning	Preliminary Fact Finding - Defining Roles and	Kathy, Doug	100%	Complete	8/20/2018	10/1/2018
250	Marketing	Sub-section	Planning	Engage with NDI	Kathy, Doug	100%	Complete	8/20/2018	9/17/2018
252	Marketing	Sub-section	Planning	Planning Enrollment Book & Take One Brochure	Kathy, Doug	100%	Complete	8/20/2018	10/1/2018
261	Web	Summary	Planning	Web - Planning	Heather	100%	Complete	9/5/2018	9/19/2018
262	Web	Section	Planning	Preliminary Planning - Web	Heather	100%	Complete	9/5/2018	9/19/2018
263	Web	Sub-section	Planning	Preliminary Fact Finding - Defining Roles and	Heather	100%	Complete	9/5/2018	9/19/2018
265	Web	Sub-section	Planning	Site architecture and content plan	Heather	100%	Complete	9/4/2018	10/12/2018
266	Web	Section	Planning	Copywriting and content development	Heather	100%	Complete	9/14/2018	10/12/2018
272	Web	Section	Planning	Visual design development	Heather	100%	Complete	9/27/2018	10/11/2018
274	Operations	Summary	Planning	Reports - Planning	Debbie M-S	83%	Good	10/1/2018	11/1/2018
275	Reporting	Section	Planning	Tax Reporting	IAS	48%	Good	10/10/2018	11/30/2018
284	Reporting	Section	Planning	Preliminary Planning - Reports	Debbie M-S	50%	Good	10/10/2018	11/30/2018
293	Reporting	Sub-section	Planning	Identify and document inputs and information needed to	Debbie M-S	63%	Good	10/10/2018	11/30/2018
319	Operations	Summary	Planning	System Setup - Planning		93%	Good	8/20/2018	11/1/2018
320	Operations	Section	Planning	Determine all Intuition pre-requisites activities	Paul	100%	Complete	8/20/2018	10/1/2018
323	Inv. Str.	Sub-section	Planning	Define State Street Set Up	Paul, Paul	87%	Good	8/20/2018	11/1/2018
327	PM	PHASE	Building	Building Phase		42%	Good	7/1/2018	12/31/2018
328	Operations	Sub-section	Building	Forms	Jean	71%	Good	7/1/2018	11/30/2018
333	Legal	Summary	Building	Legal -Building	Lisa	70%	Good	7/1/2018	11/30/2018
334	Legal	Section	Building	Contracts and Agreements	Lisa	71%	Good	7/1/2018	11/30/2018
335	Legal	Sub-section	Building	MANAGEMENT AGREEMENT	Lisa	100%	Complete	7/1/2018	9/17/2018
343	Legal	Sub-section	Building	DISCLOSURE BOOKLET		42%	Good	9/25/2018	11/30/2018
364	Inv. Str.	Summary	Building	Investment Strategy - Building		100%	Complete	8/20/2018	10/1/2018
365	Inv. Str.	Sub-section	Building	Investment Strategy - Building		100%	Complete	8/20/2018	10/1/2018
367	Asst. Mgmt. Ops.	Summary	Building	Investment Transition - Building		72%	Good	8/20/2018	12/31/2018
368	Asst. Mgmt. Ops.	Section	Building	General		100%	Complete	8/20/2018	12/31/2018
370	Asst. Mgmt. Ops.	Section	Building	Create or initiate systems or tools tolaunch the plan		50%	Good	8/20/2018	12/1/2018
375	Marketing	Summary	Building	Marketing - Building	Kathy, Doug	38%	Starting	8/20/2018	12/31/2018
376	Marketing	Sub-section	Building	General	Kathy, Doug	0%	Good	11/1/2018	11/1/2018
379	Marketing	Sub-section	Building	Set up Budget (internal/transition and one for Marketing	Kathy, Doug	98%	Good	9/10/2018	11/1/2018
384	Marketing	Section	Building	Develop Enrollment Book (Brochure)	Kathy, Doug	38%	Good	9/19/2018	12/31/2018
417	Marketing	Section	Building	Develop Take One Brochure (3-panel)	Kathy, Doug	40%	Good	9/18/2018	12/31/2018
449	Marketing	Sub-section	Building	Develop Fulfillment Set Up	Kathy, Doug	100%	Complete	8/20/2018	12/10/2018
452	Marketing	Sub-section	Building	Develop TIAA-CREF Announcements (Internal)	Kathy, Doug	0%	Good	12/1/2018	12/10/2018

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455	Marketing	Sub-section	Building	Develop Style Guide	Kathy, Doug	0%	Starting	9/30/2018	12/10/2018
457	Marketing	Sub-section	Building	NDI Review - Web and Marketing Collateral	Kathy, Doug	20%	Good	8/20/2018	12/14/2018
460	Web	Summary	Building	Web - Building	Heather	57%	Good	8/31/2018	11/30/2018
461	Web	Section	Building	Development of Website	Heather, Kevin	57%	Good	8/31/2018	11/30/2018
479	Operations	Summary	Building	Operations - Building	Paul	21%	Good	8/20/2018	11/8/2018
480	Operations	Sub-section	Building	Create Operational Procedures	Jean	25%	Good	8/20/2018	11/1/2018
485	Operations	Sub-section	Building	Set up on Intuition platform	Paul	63%	Good	9/5/2018	11/8/2018
488	Operations	Sub-section	Building	Set up on State Street platform	Paul	15%	Starting	8/20/2018	12/1/2018
499	Operations	Summary	Building	Reporting - Building	Debbie M-S	0%	Starting	9/1/2018	11/15/2018
500	Reporting	Sub-section	Building	1. Average Account Balances	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
502	Reporting	Sub-section	Building	2. Enrollment Load Report	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
504	Reporting	Sub-section	Building	3. Fees Assessed Report	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
506	Reporting	Sub-section	Building	4. Monthly Transaction Report	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
508	Reporting	Sub-section	Building	5. Participant Valuation Summary	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
510	Reporting	Sub-section	Building	6. Call Center Reports	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
512	Reporting	Sub-section	Building	7. Other breakdown such as:	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
519	Reporting	Sub-section	Building	Number of unique beneficiaries	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
521	Reporting	Sub-section	Building	Number of Beneficiaries by state of residence	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
523	Reporting	Sub-section	Building	Account balances by state of residence	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
525	Reporting	Sub-section	Building	Number of accounts by "how they heard about the plan"	Debbie M-S	0%	Starting	10/10/2018	12/31/2018
527	Reporting	Sub-section	Building	Create Web Metric Reports	Debbie M-S	0%	Starting	10/10/2018	1/17/2019
535	PM	PHASE	Testing	Testing Phase		6%	Starting	8/20/2018	12/14/2018
536	Operations	Section	Testing	Test Plans	Heather, Paul	0%	Starting	10/1/2018	10/15/2018
540	Web	Summary	Testing	Web	Heather	0%	Good	11/23/2018	12/14/2018
541	Web	Section	Testing	User Acceptance Testing	Heather	0%	Good	11/23/2018	12/14/2018
546	Operations	Summary	Testing	Test Operational Procedures	All	0%	Starting	8/20/2018	12/6/2018
547	Operations	Section	Testing	Receive confirmation of Plan Set up from Intuition	Paul	0%	Good	11/30/2018	12/6/2018
548	Operations	Section	Testing	Validate Program Structure and Rules	Paul	0%	Good	11/30/2018	12/6/2018
554	Operations	Section	Testing	Validate Plan Details and Options	Paul	0%	Good	11/30/2018	12/6/2018
560	Operations	Section	Testing	Validate CapABLE Portal Details and Options	Paul	0%	Good	11/30/2018	12/6/2018
564	Operations	Section	Testing	Validate Online Enrollment Process	Paul	0%	Good	11/30/2018	12/6/2018
568	Operations	Section	Testing	Validate Enrollment Options	Paul	0%	Good	11/30/2018	12/6/2018
571	Operations	Section	Testing	Validate Contributions	Paul	0%	Good	11/30/2018	12/6/2018
577	Operations	Section	Testing	Validate Withdrawals	Paul	0%	Good	11/30/2018	12/6/2018
584	Operations	Section	Testing	Validate Eligibility	Paul	0%	Good	11/30/2018	12/6/2018
588	Operations	Section	Testing	Validate Fee Matrix	Paul	0%	Good	11/30/2018	12/6/2018
590	Operations	Section	Testing	Validate Portal Functionality	Paul	0%	Starting	8/20/2018	12/6/2018

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591	Operations	Section	Testing	**Validate Reporting**	Paul	0%	Good	11/30/2018	12/6/2018
606	Operations	Section	Testing	**Validate Communications**	Paul	0%	Good	11/30/2018	12/6/2018
628	Operations	Section	Testing	**Validate Investments**	Paul	0%	Good	11/30/2018	12/6/2018
632	Operations	Section	Testing	**Validate Banking Structure**	Paul	0%	Good	11/30/2018	12/6/2018
636	Operations	Section	Testing	**Validate Customer Service**	Paul	0%	Good	11/30/2018	12/6/2018
654	Operations	Section	Testing	**Validate Program Gifting**	Paul	0%	Good	11/30/2018	12/6/2018
661	Operations	Section	Testing	**Data Exchange**	Paul Battaglia	0%	Good	11/30/2018	12/6/2018
663	PM	Gate Review	Gate Review	**Gate Review - GO Live**		0%	Good	12/6/2018	12/6/2018
664	PM	PHASE	Deployment	**Go Live**		0%	Good	12/7/2018	12/10/2018
665	Asst. Mgmt. Ops.	Section	Deployment	**Fund Administration**		0%	Good	12/1/2018	12/1/2018
667	Web	Summary	Deployment	**Web**	Heather	0%	Good	12/10/2018	12/10/2018
670	Web	Sub-section	Deployment	**Site release**	Heather	0%	Good	12/10/2018	12/10/2018
672	PM	PHASE	End	**End Project**		0%	Good	12/10/2018	12/10/2018