

*For pre-approvals, borrower should complete Part 1.  
For loan enrollment, please complete both Parts 1 and 2.*

## **Borrower Form (Part 1 of 2) Privacy Rights Disclosure**

The Borrower will be required to provide a contact number and affirm that he or she has read and acknowledges the following privacy rights disclosure in a form to be specified by the Authority:

State and federal laws protect the Borrower's right to privacy regarding information pertaining to you. As a result of your participation in an energy efficiency program, as approved by the California Public Utilities Commission (CPUC) and administered by the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA), CAEATFA may come into possession of some or all of the following information:

- (1) Information disclosing the fact that you are a customer of the lender.
- (2) The loan or account number associated with the loan.
- (3) Name, address, social security number, and contact information.
- (4) Financial status and underwriting criteria, including but not limited to credit scores.
- (5) The amount of and terms for repayment of the loan.
- (6) Information regarding your loan payment history.
- (7) The equipment or improvements funded with the proceeds of the loan.
- (8) Service agreement number on your utility bill, monthly energy use and utility account payment history.
- (9) Energy savings data from your project.

The information may be provided by your lender to CAEATFA or a contractor acting on its behalf. The information may be combined with energy usage information provided by your utility.

**The information provided to CAEATFA may be released to the Investor Owned Utilities (IOUs), other state agencies and the federal government pursuant to contracts, interagency agreements or if required by law. The information provided to CAEATFA will be released in an anonymized form aggregated with information from other loan recipients throughout the state to make both loan and energy efficiency project performance available to the public. The information released to the public will be anonymized and aggregated to reduce (but may not eliminate) the risk of anyone viewing the data making an association between specific information and the provider of that information. Information that cannot be anonymized and aggregated will not be released to the public.**

In addition to the anonymized and aggregated release of information contemplated above, the REEL program may contact Borrowers or may release individual borrower names, addresses and phone numbers that will enable the IOUs or CPUC or individuals acting on their behalf to contact borrowers. The purpose of the release and contact will be limited to inviting borrowers to participate in surveys or to arrange visits to borrowers' homes to evaluate various aspects of the program.

The officials responsible for maintaining the information provided regarding your loan are program personnel at the agency or its contractors. You have the right of access to records established from the information provided to the agency as it pertains to you.

I, the undersigned, have read and acknowledge the privacy rights disclosure provided above.

**Borrower's Name:** \_\_\_\_\_ **Phone Number:** \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

*RESIDENTIAL ENERGY EFFICIENCY LOAN ASSISTANCE PROGRAM*  
California Alternative Energy and Advanced Transportation Financing Authority

915 Capitol Mall Sacramento, CA 95814      Telephone (916) 651-8157      Fax (916) 589-2855      CHEEF@treasurer.ca.gov

**Borrower Form (Part 2 of 2)**  
**PROJECT CERTIFICATIONS OF THE BORROWER**  
*Please fill this form out after project installation is complete*

Pursuant to Section 10091.8 of the Program regulations, I certify that:

1. The Eligible Improvements have been completed to my satisfaction.
2. I understand that the California Alternative Energy and Advanced Transportation Financing Authority (“Authority”) and its directors, officers, and agents and the IOUs’ and their directors, officers and agents, do not guarantee the performance, quality, or workmanship of the Eligible Improvements.
3. I authorize the Participating Contractor and Participating Financial Institution or Participating Finance Lender to share information with the Authority, except as required by these regulations, including contact information, data on work performed and Eligible Improvements installed, information regarding the Eligible Loan, and other information relating to or arising from participation in the Program.
4. The Eligible Loan proceeds will be used to pay for the Eligible Improvements.
5. All permits required to install the Eligible Improvements have been secured or are in the process of being secured.
6. The Participating Contractor provided me with a Bill Impact Estimate.
7. The information submitted by the Participating Contractor on the Certificate of Completion regarding rebates and/or incentives provided is accurate to the best of my knowledge.
8. I acknowledge and agree to allow CAEATFA, or its representatives, access to verify the installed measures. I understand that this inspection is for the purpose of determining that the installed measures meet all Program requirements.

I, the undersigned, hereby certify that all of the information provided in this application is true and accurate to the best of my knowledge.

Borrower’s Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Help us improve the program**

These questions are optional, but your feedback is appreciated and valued.

I would be willing to participate in an online survey. Email: \_\_\_\_\_

I have resided in this home:    Less than 1 year    1-2 years    3-5 years    6-10 years    more than 10 years

I first heard of the financing for this project from:

I selected REEL for financing because:

- |                           |                         |
|---------------------------|-------------------------|
| Contractor                | Online search           |
| Lender                    | Family/Friend/Neighbor  |
| Utility                   | Print/Radio Advertising |
| Local Organization: _____ |                         |
| Event: _____              |                         |
| Other: _____              |                         |

- |                                    |
|------------------------------------|
| Attractive loan terms              |
| Able to choose non-energy measures |
| No lien on property                |
| Contractor suggested               |
| Could not get other financing      |
| Other: _____                       |