

If this document is being completed along with the financing agreement, prior to project installation, the customer should complete Part 1 only; Part 2 will be completed post-installation.

If this document is being completed post-installation, please complete both Parts 1 and 2.

Eligible Commercial Financing Customer Form (Part 1 of 2) Privacy Rights Disclosure

The Eligible Commercial Financing Customer authorizes the Participating Contractor(s), Participating Project Developer, the Investor Owned Utilities (IOUs), and Finance Provider Entity(ies) to share information with the Authority, as required by the regulations within this Article, including contact information, data on work performed and improvements installed on the Project, any and all IOU rebate data, information regarding the Eligible Financing Agreement, and other information relating to or arising from participation in the Program.

An authorized representative of the Eligible Commercial Financing Customer will be required to affirm that it has read and acknowledges the following privacy rights disclosure in a format to be specified by the Authority:

As a result of your participation in an energy efficiency financing program, as approved by the California Public Utilities Commission (CPUC) and administered by the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA), CAEATFA may come into possession of some or all of the following information:

1. Information disclosing the fact that you are a customer of the finance company.
2. Your financing agreement or the account number associated with your financing agreement.
3. Your name, address, social security number, and contact information.
4. Your financial status and underwriting criteria including, but not limited to, credit scores.
5. The amount of and terms for repayment of your financing agreement.
6. Information regarding your financing agreement payment history.
7. The equipment or improvements funded with the proceeds of your financing agreement.
8. Utility rebate and/or incentive data including, but not limited to, rebate or incentive amount, program project name and identifier, and approval date.
9. The service agreement number on your utility bill, monthly energy use, and utility account payment history.
10. Energy savings data from your project.

The information may be provided to CAEATFA by the CPUC or your utility(ies) or your finance company or a contractor or project developer acting on their behalf. The information may be combined with energy usage information provided by your utility(ies) to the CPUC, who may then provide such information to CAEATFA.

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The information provided to CAEATFA may be released to the IOUs, other state agencies, and the federal government pursuant to contracts, interagency agreements, or if required by law. The information provided to CAEATFA will be released in an anonymized form, aggregated with information from other financing recipients throughout the state to make both financing and energy efficiency project performance available to the public. The information released to the public will be anonymized and aggregated to reduce (but may not eliminate) the risk of anyone viewing the data making an association between specific information and the provider of that information. Information that cannot be anonymized and aggregated will not be released to the public.

In addition to the anonymized and aggregated release of information contemplated above, CAEATFA may contact financing customers or may release individual financing customer names, addresses, and phone numbers that will enable the IOUs or the CPUC or individuals acting on their behalf to contact financing customers. The purpose of the release and contact will be limited to inviting financing customers to participate in surveys or to arrange visits to financing customers' homes to evaluate various aspects of the program.

The officials responsible for maintaining the information provided regarding your financing agreement are program personnel at the agency or its contractors. You have the right of access to records established from the information provided to the agency as it pertains to you.

By your affirmation to this Privacy Rights Disclosure, you represent and warrant that you are a duly authorized representative of the financing customer and that you have the authority to agree to the terms of this Privacy Rights Disclosure on behalf of the financing customer.

I, _____, have read and acknowledged the privacy rights disclosure provided above.

Financing Customer's Signature: _____ **Date:** _____

Please fill this form out after project installation is complete.

Eligible Commercial Financing Customer Form (Part 2 of 2) Project Certifications Of The Eligible Commercial Financing Customer

Section references below refer to Commercial Financing Program Regulations, Article 6 of Division 13 of Title 4, of the California Code of Regulations.

Pursuant to Section 10092.8 of the Program regulations, I certify that:

1. All Scopes of Work have been installed and completed to my satisfaction;
2. I understand that the California Alternative Energy and Advanced Transportation Financing Authority (“Authority”) and its directors, officers, and agents, and the IOUs and its directors, officers and agents, do not guarantee the performance, quality, nor workmanship of any installation in the Project;
3. The Eligible Financing Agreement funds were used to pay for the eligible Project;
4. All permits and approvals required to complete the Project have been approved or are in the process of being approved;
5. The Participating Contractor, Participating Project Developer, or the Finance Provider Entity has provided me with a Bill Impact Estimate; and
6. I acknowledge and agree to be subject to random post-project verifications, as described in Section 10092.7(d).

I hereby certify that all of the information provided in this certification is true and accurate to the best of my knowledge.

Financing Customer’s Signature: _____ **Date:** _____