

Small Business Energy Efficiency Financing Program CONTRACTOR AND PROJECT DEVELOPER APPLICATION

SECTION 1: COMPANY INFORMATION

For contractors, provide company name (or dba) and address as filed with CSLB. PD's can enter their primary address.

Company Name [1a]: _____

Address [1b]: _____ City [1c]: _____

State [1d]: _____ ZIP [1e]: _____ Website Address [1f]: _____

Provide a company contact name and address for program communication and administration.

Program Contact Name [1g]: _____ Title [1h]: _____

Phone Number [1i]: _____ Email [1j]: _____

Contact Person's Business Address [1k]: _____ Address same as above

City [1l]: _____ State [1m]: _____ ZIP [1n]: _____

SECTION 2: APPLICANT TYPE

Identify the type of role you are applying for within the Program. Applicants may select both, if applicable.

Contractor

Provide the CSLB license information relevant to the work that will be performed under the Program:

CSLB License Number(s) [2a]: _____

CSLB License Type(s) [2b]: _____

Indicate which of the following programs, if any, you participate in as a TradePro or contractor [2c]:

SCE SCG PG&E SDG&E BayREN SoCalREN 3CREN MCE Other

Project Developer

Check if submitting documentation demonstrating project development experience on ten prior projects which resulted in installation. [2d]

– OR –

Indicate program(s) in which you hold a contract as an energy efficiency or demand response implementer and the corresponding IOU/REN/CCA [2e]:

SCE SCG PG&E SDG&E BayREN SoCalREN 3CREN MCE Other

Program Name(s) [2f]: _____

Contract Term [2g]: _____

SECTION 3: AUTHORIZED COMPANY REPRESENTATIVES

If the program contact listed in Section 1g, above, will be fulfilling both these roles, check this box:

Provide the name(s) of additional company representative(s) who is (are) authorized to submit data to the Program on behalf of the company, if any.

Authorized User Name [3a]: _____ Email [3b]: _____

Authorized User Name [3c]: _____ Email [3d]: _____

Authorized User Name [3e]: _____ Email [3f]: _____

Provide the name(s) of additional company representative(s) who is (are) authorized to certify projects for enrollment in the Program, if any.

Authorized Signatory Name [3g]: _____ Email [3h]: _____

Authorized Signatory Name [3i]: _____ Email [3j]: _____

Authorized Signatory Name [3k]: _____ Email [3l]: _____

SECTION 4: COMPANY INFORMATION FOR PUBLIC LISTING

Provide company information that will be made publicly available to small businesses on Program websites.

Contact Name [4a]: _____ Same as Section 1

Phone Number [4b]: _____ Same as Section 1

Email [4c]: _____ Same as Section 1

Geographic Areas(s) Served [4d]: _____

(please list cities or counties)

Any languages, in addition to English, with which the company can communicate with customers [4e]:

Spanish Mandarin Cantonese Vietnamese Korean Russian Hmong Hindi Arabic

Types of services offered by the company as part of the Program [4f]:

A/C Agriculture Appliances Energy Storage Building Exterior Data Centers
Demand Response Energy Assessments Food Service Heating Industrial Insulation
Lighting Pools Refrigeration Solar/PV Ventilation Water Heating Other

In addition to the information above, Project Developers must also indicate their areas of expertise.

Project Developer Areas of Expertise [4g]:

Benchmarking Bid Solicitation Commissioning Construction Drawings Energy Analysis ESAs
Facility Audits Feasibility Studies M&V Material Procurement Process Improvement
Project Management Rebate & Tax Incentives Turnkey Project Services Utility Programs Other

SECTION 5: MANDATORY PROGRAM TRAINING

The self-paced, internet-based training modules can be found at gogreenfinancing.com/smallbusinesscontractors.

Project Developers must complete BOTH modules.

I have completed the **Contractor** on-demand training module. Date of Completion [5a]: _____

I have completed the **Project Developer** on-demand training module. Date of Completion [5b]: _____

SECTION 6: PROOF OF INSURANCE

CAEATFA does not need to be listed as an “Additionally Insured” on this document.

I have submitted proof of liability insurance coverage of at least \$1,000,000 per occurrence with this application. [6a]

SECTION 7: CERTIFICATION

Section references below refer to Program Regulations, Article 6 of Division 13 of Title 4 of the California Code of Regulations.

Reference to the Authority refers to the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA).

Reference to the IOUs refers to the four Investor-Owned Utilities in California: Pacific Gas and Electric Company, San Diego Gas & Electric Company, Southern California Edison Company, and Southern California Gas Company.

Pursuant to Section 10092.4, by signing below, _____
(Company Name)

Acknowledges that:

- a) Its representatives and agents are not employees of the Authority or any of the participating IOUs, and must not represent themselves as such;
- b) The Authority and the State of California will have no liability to the Eligible Contractor or Eligible Project Developer under the Program; and
- c) The IOUs are not responsible for, and will have no liability for:
 - i. The energy efficiency improvements funded through the Enrolled Financing Agreements supported through the Loss Reserve Accounts;
 - ii. The assessment of potential benefits and costs associated with those improvements;
 - iii. The selection of Eligible Contractors and Eligible Project Developers;
 - iv. The Eligible Contractor’s or Eligible Project Developer’s marketing policies and practices; and
 - v. CAEATFA’s educational and outreach activities.

Continued on next page.

SECTION 7: CERTIFICATION CONTINUED

Certifies that, as a Participating Contractor or Participating Project Developer, the entity:

- a) Is responsible for identifying and making all disclosures required under applicable laws;
- b) Must comply with all applicable laws and tariffs, possess and maintain all required state licenses, and remain in good standing with all governmental authorities having jurisdiction over its business;
- c) Must follow Program rules as set forth in Program regulations including, but not limited to, the Project requirements set forth in Section 10092.7;
- d) Must hold and maintain a commercial general liability insurance policy or policies of not less than \$1 million per occurrence throughout its participation in the Program. If the policy maintains a policy aggregate, such aggregate must not be less than twice the occurrence limit;
- e) Must permit an audit, by the Authority, of any of its records relating to the Projects financed by Enrolled Financing Agreements during normal business hours on its premises, and must supply such other information relating to those projects as requested by the Authority;
- f) Indemnifies and holds the Authority harmless from any and all damages resulting from its representations, savings estimates, sales, warranties, maintenance, any installed measure, product, and/or system, and service for all installed measures, products, and/or systems;
- g) Must not make false or misleading claims about any part of the Program or its performance, including energy performance savings, nor engage in fraudulent or deceitful conduct in the sale or installation of measures;
- h) Has no outstanding judgments and/or liens; and
- i) Agrees that its employees and representatives are solely responsible for all representations made to Eligible Commercial Financing Customers regarding the Program or work performed for an Eligible Commercial Financing Customer under the Program, and are responsible for all savings estimates, sales, warranties, maintenance, and service for all products and systems installed.

The following Certification applies only to Project Developer applicants.

Certifies that, as a Participating Project Developer, for all forthcoming Projects that will be submitted for enrollment in the Program:

- a) Any developer services costs will be for services related to the Project;
- b) It will comply with all Program regulations, rules, and requirements;
- c) The Project will comply with Program requirements; and
- d) Any ESMs to be reported as self-installed will be installed and will comply with Program requirements.

I, the undersigned, hereby certify that all of the information provided in this application is true and correct to the best of my knowledge and that I am authorized to legally bind the company by my signature.

Authorized Representative Signature [7a]: _____

Printed Name [7b]: _____ Date [7c]: _____

Authorized Representative Title [7d]: _____

SECTION 8: OPTIONAL PUBLIC LISTING

In order to publicize the company's listing as an Enrolled Contractor/Project Developer in the Small Business Energy Efficiency Financing Program, the company authorizes the California Alternative Energy and Advanced Transportation Financing Authority (“CAEATFA”), and its authorized agents and representatives, to use the company's logos, trade names, and trade-service marks, (collectively, “Logos”) on the program website as well as in other communication materials (such as print promotion and advertising) to further increase awareness of your company among potential customers, program participants, and stakeholders.

By signing below, you agree to provide CAEATFA with the company’s current Logos and with any subsequent changes and grants CAEATFA the use of its Logos for the purposes described above. Other than the rights granted here, CAEATFA acknowledges that it has no other rights in the company’s Logos.

Name [8a]: _____ Signature [8b]: _____

Title [8c]: _____ Date [8d]: _____

Once you have completed and signed your Program Application, you can send it, the required proof of insurance, and a high-resolution logo image (in JPG or PNG format) to Frontier Energy, the Program Contractor Manager. You can send your application by either email, or regular mail.

**Mail: Small Business Financing Program (SBF)
c/o Frontier Energy
600 Wilshire Boulevard, Suite 500
Los Angeles, CA 90017**

**Tel: (800) 484-0109
Email: gogreen@frontierenergy.com**

FRONTIER ENERGY USE ONLY

Date Received	Recommended for Approval	Management Approval	Enrollment Date