

**CAEATFA REPORT OF SB 71 SALES AND USE TAX EXCLUSION (STE)  
FINANCING APPLICATIONS CONSIDERED AND APPROVED  
As of September 1, 2013**

Application Number	Date of Board Consideration	Applicant Name	City	County	Use of Proceeds	QP Amount	STE Amount (Anticipated) <sup>1</sup>	STE Used to Date <sup>1</sup>	QP Amount Conveyed	% Conveyed	Estimated Environmental Benefit <sup>2</sup>	Estimated Fiscal Benefit <sup>2</sup>	Estimated Net Benefit <sup>2</sup>	Expected Total Jobs	Expected Total Jobs from SB 71 <sup>2</sup>	
<b>APPLICATIONS FOR CONSIDERATION September 26, 2013</b>																
1	13-SM010	9/17/2013	<b>Central Valley Ag Power, LLC</b>	Oakdale	Stanislaus	Biomass Processing and Fuel Production Facility	\$ 3,481,313	\$ 291,386	N/A	N/A	N/A	\$ 38,218	\$ 394,801	\$ 141,634	23	2
						<b>SUBTOTAL</b>	<b>\$ 3,481,313</b>	<b>\$ 291,386</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>\$ 38,218</b>	<b>\$ 394,801</b>	<b>\$ 141,634</b>	<b>23</b>	<b>2</b>
<b>APPLICATIONS PREVIOUSLY APPROVED - ACTIVE</b>																
1	10-SM001	11/17/2010	<b>Bowerman Power LFG, LLC</b>	Irvine	Orange	Landfill Gas Capture & Production	\$ 9,240,000	\$ 840,840	\$ -	\$ -	0.00%	\$ 398,492	\$ 1,008,052	\$ 565,704	30	3
2	10-SM002	11/17/2010	<b>ABEC Bidart Stockdale, LLC</b>	Bakersfield	Kern	Biogas Capture & Production	\$ 1,131,584	\$ 102,974	\$ 90,622	\$ 1,118,794	98.87%	\$ 228,808	\$ 73,809	\$ 199,643	26	3
3	10-SM004	11/17/2010	<b>First Solar, Inc.</b>	Santa Clara	Santa Clara	Solar Photovoltaic Manufacturing	\$ 37,700,000	\$ 3,430,700	\$ 3,409,567	\$ 37,692,991	99.98%	\$ 1,668,971	\$ 1,971,559	\$ 209,831	174	17
4	10-SM007	11/17/2010	<b>The Solaria Corporation</b>	Fremont	Alameda	Solar Photovoltaic Manufacturing	\$ 7,800,000	\$ 709,800	\$ 258,678	\$ 3,027,135	38.81%	\$ 834,403	\$ 1,564,665	\$ 1,689,268	180	17
5	10-SM009	11/17/2010	<b>Nanosolar Inc.</b>	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 140,187,900	\$ 12,757,099	\$ 4,306,412	\$ 53,035,063	37.83%	\$ 10,527,415	\$ 6,992,728	\$ 4,763,045	410	36
6	10-SM013	11/17/2010	<b>NuvoSun Incorporated</b>	Milpitas	Santa Clara	Solar Photovoltaic Manufacturing	\$ 20,000,000	\$ 1,820,000	\$ 1,122,546	\$ 12,682,921	63.41%	\$ 2,137,232	\$ 2,594,509	\$ 2,911,741	160	18
7	10-SM015	11/17/2010	<b>Bloom Energy Corporation</b>	Sunnyvale	Santa Clara	Solid Oxide Fuel Cell Systems Manufacturing	\$ 37,447,693	\$ 3,407,740	\$ 895,150	\$ 10,535,832	28.13%	\$ 562,054	\$ 11,144,189	\$ 8,298,503	1,004	83
8	10-SM020	12/15/2010	<b>Ameresco Butte County LLC</b>	Paradise	Butte	Landfill Gas Capture and Production	\$ 1,085,554	\$ 98,785	\$ 43,722	\$ 539,782	49.72%	\$ 71,701	\$ 185,955	\$ 158,870	12	1
9	10-SM022	12/15/2010	<b>Ameresco Forward LLC</b>	Manteca	San Joaquin	Landfill Gas Capture and Production	\$ 2,227,596	\$ 202,711	\$ 52,529	\$ 648,500	29.11%	\$ 37,823	\$ 277,169	\$ 112,281	11	1
10	10-SM023	12/15/2010	<b>Ameresco Johnson Canyon LLC</b>	Gonzales	Monterey	Landfill Gas Capture and Production	\$ 766,293	\$ 69,733	\$ 33,899	\$ 418,500	54.61%	\$ 33,124	\$ 168,912	\$ 132,303	12	1
11	10-SM024	12/15/2010	<b>Ameresco San Joaquin LLC</b>	Linden	San Joaquin	Landfill Gas Capture and Production	\$ 1,723,486	\$ 156,837	\$ 52,529	\$ 648,500	37.63%	\$ 99,894	\$ 419,234	\$ 362,292	12	1
12	10-SM025	12/15/2010	<b>Ameresco Vasco Road LLC</b>	Livermore	Alameda	Landfill Gas Capture and Production	\$ 1,828,204	\$ 166,367	\$ 49,694	\$ 613,500	33.56%	\$ 66,258	\$ 333,415	\$ 233,306	11	1
13	10-SM026	12/15/2010	<b>BioFuels Point Loma, LLC</b>	San Diego	San Diego	Wastewater Treatment Biogas Capture and Production	\$ 6,236,024	\$ 567,478	\$ 379,304	\$ 4,682,766	75.09%	\$ 120,126	\$ 509,292	\$ 61,939	25	3
14	10-SM027	12/15/2010	<b>Alta Devices, Inc.</b>	Sunnyvale	Santa Clara	Solar Photovoltaic Manufacturing	\$ 40,845,000	\$ 3,716,895	\$ 1,108,616	\$ 13,653,538	33.43%	\$ 561,404	\$ 5,025,666	\$ 1,870,175	322	37
15	10-SM028	12/15/2010	<b>California Institute of Technology</b>	Pasadena	Los Angeles	Research & Development of Solar Fuel Generator Systems	\$ 13,400,000	\$ 1,219,400	\$ 732,107	\$ 8,754,905	65.34%	\$ -	\$ 702,662	\$ (516,738)	133	15
16	10-SM012	12/15/2010	<b>SunPower Corporation</b>	Milpitas	Santa Clara	Solar Photovoltaic Manufacturing	\$ 8,000,000	\$ 728,000	\$ 704,816	\$ 7,745,227	96.82%	\$ 903,595	\$ 1,877,730	\$ 2,053,325	94	11
17	10-SM010	12/15/2010 2/22/2011	<b>Simbol, Inc.</b>	Calipatria, Niland, Brawley	Imperial	Lithium and Battery Material Manufacturing	\$ 42,484,174	\$ 3,866,060	\$ 164,278	\$ 1,938,796	4.56%	\$ 558,363	\$ 9,552,414	\$ 6,244,717	212	23

**CAEATFA REPORT OF SB 71 SALES AND USE TAX EXCLUSION (STE)  
FINANCING APPLICATIONS CONSIDERED AND APPROVED  
As of September 1, 2013**

Application Number	Date of Board Consideration	Applicant Name	City	County	Use of Proceeds	QP Amount	STE Amount (Anticipated) <sup>1</sup>	STE Used to Date <sup>1</sup>	QP Amount Conveyed	% Conveyed	Estimated Environmental Benefit <sup>2</sup>	Estimated Fiscal Benefit <sup>2</sup>	Estimated Net Benefit <sup>2</sup>	Expected Total Jobs	Expected Total Jobs from SB 71 <sup>2</sup>	
18	11-SM001	1/25/2011	<b>Leyden Energy, Inc.</b>	Fremont	Alameda	Lithium Ion Battery Manufacturing	\$ 1,306,525	\$ 118,894	\$ 99,647	\$ 1,213,373	92.87%	\$ 21,400	\$ 944,754	\$ 847,260	26	2
19	11-SM002	1/25/2011	<b>MiaSolé</b>	Sunnyvale	Santa Clara	Solar Photovoltaic Manufacturing	\$ 26,092,000	\$ 2,374,372	\$ 881,599	\$ 10,883,941	41.71%	\$ 3,246,664	\$ 1,363,913	\$ 2,236,206	56	3
20	11-SM003	1/25/2011	<b>Alameda-Contra Costa Transit District</b>	Emeryville	Alameda	Demonstration Hydrogen Fuel Production	\$ 5,387,950	\$ 490,303	\$ 362,320	\$ 4,473,087	83.02%	\$ 16,040	\$ 274,173	\$ (200,090)	6	1
21	11-SM006	3/22/2011	<b>Mt. Poso Cogeneration Company, LLC</b>	Bakersfield	Kern	Biomass Processing and Fuel Production	\$ 14,374,000	\$ 1,308,034	\$ 1,164,294	\$ 14,374,000	100.00%	\$ 197,027	\$ 3,470,273	\$ 2,359,266	97	11
22	11-SM005	6/28/2011	<b>Recology East Bay</b>	Oakland	Alameda	Biomass Processing and Fuel Production	\$ 3,703,090	\$ 336,981	\$ -	\$ -	0.00%	\$ 111,243	\$ 506,852	\$ 281,113	46	5
23	11-SM009	6/28/2011	<b>DTE Stockton, LLC</b>	Stockton	San Joaquin	Biomass Processing and Fuel Production	\$ 10,120,000	\$ 920,920	\$ 702,184	\$ 8,668,943	85.66%	\$ 2,221,793	\$ 4,297,636	\$ 5,598,509	62	7
24	11-SM010	7/26/2011	<b>SCS Energy</b>	Fresno	Fresno	Biogas Capture & Production	\$ 3,155,300	\$ 255,579	\$ 247,020	\$ 3,049,632	96.65%	\$ 40,230	\$ 271,233	\$ 55,884	9	1
25	11-SM012	8/23/2011	<b>CE Obsidian Energy, LLC</b>	Imperial	Imperial	Geothermal Brine Extraction	\$ 174,453,978	\$ 14,130,772	\$ -	\$ -	0.00%	\$ 7,487,143	\$ 11,697,269	\$ 5,053,640	381	39
26	11-SM014	8/23/2011	<b>SoloPower Inc.</b>	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 8,411,240	\$ 681,310	\$ 494,484	\$ 6,104,736	72.58%	\$ 419,024	\$ 575,484	\$ 313,197	40	1
27	11-SM019	11/15/2011	<b>Zero Waste Energy Development Company, LLC</b>	San Jose	Santa Clara	Biogas Capture & Production	\$ 17,156,875	\$ 1,389,707	\$ 257,825	\$ 3,097,503	18.05%	\$ 1,355,423	\$ 2,233,575	\$ 2,199,291	174	17
28	11-SM016	12/13/2011	<b>Tesla Motors, Inc.</b>	Fremont; Hawthorne; Palo Alto; Menlo Park	Alameda; Los Angeles; Santa Clara; San Mateo	Electric Vehicle Manufacturing	\$ 292,000,000	\$ 23,652,000	\$ 2,055,885	\$ 24,785,499	8.49%	\$ 2,386,636	\$ 35,023,610	\$ 13,758,246	1,237	108
29	12-SM001	2/21/2012	<b>Soraa, Inc.</b>	Fremont	Alameda	Energy Efficient LED Lighting Manufacturing	\$ 57,002,457	\$ 4,617,199	\$ 1,244,232	\$ 14,965,379	26.25%	\$ 30,775,968	\$ 2,368,664	\$ 28,527,434	180	14
30	12-SM003	3/20/2012	<b>Stion Corporation</b>	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 6,417,810	\$ 519,843	\$ 490,542	\$ 6,056,069	94.36%	\$ 277,896	\$ 253,546	\$ 11,600	28	3
31	12-SM006	5/15/2012	<b>John Galt Biogas Inc.</b>	Galt	Sacramento	Biogas Capture & Production	\$ 1,025,769	\$ 83,087	\$ 29,538	\$ 352,900	34.40%	\$ 112,933	\$ 128,931	\$ 158,777	19	2
32	12-SM004	5/15/2012	<b>Soitec Solar Industries LLC</b>	San Diego	San Diego	Concentrated Photovoltaic Manufacturing	\$ 104,381,342	\$ 8,454,889	\$ 7,351,987	\$ 90,047,265	86.27%	\$ 3,706,841	\$ 10,302,813	\$ 5,554,765	399	44
33	12-SM007	5/15/2012	<b>Reflexite Soitec Optical Technology LLC</b>	San Diego	San Diego	Concentrated Photovoltaic Manufacturing	\$ 24,500,000	\$ 1,984,500	\$ 2,005,024	\$ 24,200,004	98.78%	\$ 357,750	\$ 1,704,725	\$ 77,975	114	8
34	12-SM008	6/19/2012	<b>North Star Biofuels LLC</b>	Watsonville	Santa Cruz	Biodiesel Production	\$ 4,115,500	\$ 333,356	\$ 343,202	\$ 4,115,500	100.00%	\$ 84,522	\$ 2,616,810	\$ 2,367,976	30	1
35	12-SM010	10/16/2012	<b>Electric Vehicles International, LLC</b>	Stockton	San Joaquin	Electric Vehicle Manufacturing	\$ 5,744,962	\$ 465,342	\$ 256,836	\$ 3,170,809	55.19%	\$ 83,283	\$ 906,571	\$ 524,512	114	8
36	12-SM011	10/16/2012	<b>Clean World Partners LLC</b>	Sacramento	Sacramento	Biomass Processing and Fuel Production	\$ 11,796,759	\$ 955,537	\$ -	\$ -	0.00%	\$ 204,651	\$ 977,083	\$ 226,196	24	3

**CAEATFA REPORT OF SB 71 SALES AND USE TAX EXCLUSION (STE)  
FINANCING APPLICATIONS CONSIDERED AND APPROVED  
As of September 1, 2013**

Application Number	Date of Board Consideration	Applicant Name	City	County	Use of Proceeds	QP Amount	STE Amount (Anticipated) <sup>1</sup>	STE Used to Date <sup>1</sup>	QP Amount Conveyed	% Conveyed	Estimated Environmental Benefit <sup>2</sup>	Estimated Fiscal Benefit <sup>2</sup>	Estimated Net Benefit <sup>2</sup>	Expected Total Jobs	Expected Total Jobs from SB 71 <sup>2</sup>	
37	12-SM009	10/16/2012	ABEC New Hope LLC	Galt	Sacramento	Biogas Capture & Production	\$ 2,633,359	\$ 213,302	\$ -	\$ -	0.00%	\$ 41,150	\$ 208,573	\$ 36,421	22	3
38	12-SM012	11/13/2012	Zero Waste Energy, LLC	Marina	Monterey	Biogas Capture & Production	\$ 1,187,000	\$ 96,147	\$ 94,295	\$ 1,126,587	94.91%	\$ 18,139	\$ 95,062	\$ 17,054	5	1
39	12-SM013	11/13/2012	Oberon Fuels, Inc.	Brawley	Imperial	Biogas Capture & Bio DME Production	\$ 13,500,000	\$ 1,093,500	\$ -	\$ -	0.00%	\$ 423,866	\$ 679,196	\$ 9,561	17	3
40	13-SM001	1/15/2013	CleanWorld	Davis	Yolo	Biomass Processing and Fuel Production	\$ 5,851,298	\$ 489,754	\$ -	\$ -	0.00%	\$ 106,588	\$ 506,088	\$ 122,923	24	3
41	13-SM002	3/19/2013	Buster Biofuels, LLC	Escondido	San Diego	Biodiesel Production	\$ 1,905,343	\$ 159,477	\$ -	\$ -	0.00%	\$ 103,496	\$ 786,032	\$ 730,051	32	2
42	13-SM004	4/16/2013	EJ Harrison and Sons Rentals, Inc.	Oxnard	Ventura	Biogas Capture & Production	\$ 1,212,095	\$ 101,452	\$ -	\$ -	0.00%	\$ 19,311	\$ 108,487	\$ 26,345	6	1
43	13-SM005	4/16/2013	Vitriflex, Inc.	Milpitas	Santa Clara	Photovoltaic Solar Component Manufacturing	\$ 16,330,000	\$ 1,366,821	\$ -	\$ -	0.00%	\$ 1,256,397	\$ 886,066	\$ 775,642	47	4
44	13-SM007	6/18/2013	ABEC Bidart-Old River, LLC	Bakersfield	Kern	Biomass Capture & Production	\$ 6,254,045	\$ 523,464	\$ -	\$ -	0.00%	\$ 351,613	\$ 523,038	\$ 351,188	28	2
45	13-SM009	8/20/2013	North State Rendering Co Inc.	Oroville	Butte	Biomass Processing and Fuel Production Facility	\$ 7,355,324	\$ 615,641	N/A	N/A	N/A	\$ 305,075	\$ 422,917	\$ 112,351	12	2
<b>SUBTOTAL:</b>						\$ 1,199,477,529	\$ 101,593,603	\$ 31,485,381	\$ 378,421,975	N/A	\$ 74,236,690	\$ 128,112,417	\$ 101,371,147	6051	568	
<b>TOTAL OF ACTIVE APPLICATIONS APPROVED AND CONSIDERED</b>						\$ 1,202,958,842	\$ 101,884,989	\$ 31,485,381	\$ 378,421,975	N/A	\$ 74,274,908	\$ 128,507,218	\$ 101,512,781	6,074	570	

1	10-SM003	11/17/2010	ABEC Bidart Old River, LLC	Bakersfield, (Kern County)	Kern	Biogas Capture & Production	\$ 4,738,000	\$ 431,158	\$ -	\$ -	0.00%	\$ 3,080,806	\$ 438,844	\$ 3,088,491	50	6
2	10-SM005	11/17/2010	Gallo Cattle Company	Atwater	Merced	Biogas Capture & Production	\$ 1,245,000	\$ 113,295	\$ -	\$ -	0.00%	\$ 791,959	\$ 130,374	\$ 809,038	30	3
3	10-SM006	11/17/2010	Solyndra LLC	Fremont	Alameda	Solar Photovoltaic Manufacturing	\$ 381,776,000	\$ 34,741,616	\$ 25,127,322	\$ 277,309,757	72.64%	\$ 22,202,363	\$ 20,765,274	\$ 8,226,021	2,084	225
4	10-SM011	11/17/2010	Stion Corporation	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 105,473,402	\$ 9,598,080	\$ -	\$ -	0.00%	\$ 3,512,324	\$ 6,207,404	\$ 121,648	493	47
5	10-SM014	11/17/2010	Calisolar Inc.	Sunnyvale	Santa Clara	Solar Photovoltaic Manufacturing	\$ 39,000,000	\$ 3,549,000	\$ -	\$ -	0.00%	\$ 1,971,609	\$ 1,975,797	\$ 398,407	273	13
6	10-SM016	12/15/2010	Quantum Fuel Systems Technologies Worldwide, Inc.	Irvine	Orange	Solar Photovoltaic Manufacturing	\$ 8,945,858	\$ 814,073	\$ -	\$ -	0.00%	\$ 508,282	\$ 5,895,571	\$ 5,589,780	94	11
7	10-SM018	12/15/2010	Green Vehicles, Inc.	Salinas	Monterey	Electric Vehicle Manufacturing	\$ 3,708,050	\$ 337,433	\$ -	\$ -	0.00%	\$ 65,608	\$ 3,018,494	\$ 2,746,669	126	14
8	10-SM019	12/15/2010	Soliant Energy, Inc.	Monrovia	Los Angeles	Solar Photovoltaic Manufacturing	\$ 9,966,500	\$ 906,952	\$ -	\$ -	0.00%	\$ 1,142,989	\$ 1,709,894	\$ 1,945,932	38	5

**CAEATFA REPORT OF SB 71 SALES AND USE TAX EXCLUSION (STE)  
FINANCING APPLICATIONS CONSIDERED AND APPROVED  
As of September 1, 2013**

Application Number	Date of Board Consideration	Applicant Name	City	County	Use of Proceeds	QP Amount	STE Amount (Anticipated) <sup>1</sup>	STE Used to Date <sup>1</sup>	QP Amount Conveyed	% Conveyed	Estimated Environmental Benefit <sup>2</sup>	Estimated Fiscal Benefit <sup>2</sup>	Estimated Net Benefit <sup>2</sup>	Expected Total Jobs	Expected Total Jobs from SB 71 <sup>2</sup>	
9	10-SM021	12/15/2010	SCE Crazy Horse LLC	Salinas	Monterey	Landfill Gas Capture and Production	\$ 1,558,460	\$ 141,820	\$ -	\$ -	0.00%	\$ 103,093	\$ 432,228	\$ 393,501	12	1
10	11-SM007	5/18/2011	Amonix, Inc.	Seal Beach	Orange	Solar Photovoltaic Manufacturing	\$ 2,278,900	\$ 207,380	\$ -	\$ -	0.00%	\$ 244,895	\$ 557,789	\$ 595,304	153	2
11	11-SM015	8/23/2011	Amonix, Inc	Seal Beach; Milpitas	Orange; Santa Clara	Solar Photovoltaic Manufacturing	\$ 7,879,667	\$ 638,253	\$ -	\$ -	0.00%	\$ 740,148	\$ 2,884,021	\$ 2,985,916	200	12
<b>TOTAL OF INACTIVE APPROVED APPLICATIONS</b>						<b>\$ 566,569,837</b>	<b>\$ 51,479,058</b>	<b>\$ 25,127,322</b>	<b>\$ 277,309,757</b>	<b>N/A</b>	<b>\$ 34,364,076</b>	<b>\$ 44,015,690</b>	<b>\$ 26,900,707</b>	<b>3,553</b>	<b>339</b>	

**Total STE Used to Date (Active and Inactive Approved Applications):        \$    56,612,703**

<sup>1</sup> Under the Program the value of a specific project's sales and use tax exclusion is calculated using the statewide sales and use tax average. The statewide average was estimated at 9.1% through June 2011, changed to 8.1% in July 2011, and 8.37% beginning in January 2013.

<sup>2</sup>These benefits are estimates that are calculated under the Program's evaluation system at the time of Board approval. Applicants are required to provide annual reports to CAEATFA on actual project activity.