

**CAEATFA REPORT OF THE SALES AND USE TAX EXCLUSION (STE)
FINANCING APPLICATIONS CONSIDERED AND APPROVED
As of November 1, 2013**

Application Number	Date of Board Consideration	Applicant Name	City	County	Use of Proceeds	QP Amount	STE Amount (Anticipated) ¹	STE Used to Date ¹	QP Amount Conveyed	% Conveyed	Estimated Environmental Benefit ²	Estimated Fiscal Benefit ²	Estimated Net Benefit ²	Expected Total Jobs	Expected Total Jobs from SB 71 ²	
APPLICATIONS FOR CONSIDERATION October 15, 2013																
1	13-SM013	11/19/2013	Crimson Renewable Energy, LP	Bakersfield	Kern	Biomass Processing and Fuel Production Facility	\$ 14,065,000	\$ 1,177,241	N/A	N/A	N/A	\$ 136,306	\$ 2,737,899	\$ 1,696,964	38	2
						SUBTOTAL	\$ 14,065,000	\$ 1,177,241	N/A	N/A	N/A	\$ 136,306	\$ 2,737,899	\$ 1,696,964	38	2
APPLICATIONS PREVIOUSLY APPROVED - ACTIVE																
1	10-SM002	11/17/2010	ABEC Bidart Stockdale, LLC	Bakersfield	Kern	Biogas Capture & Production	\$ 1,131,584	\$ 102,974	\$ 90,622	\$ 1,118,794	98.87%	\$ 228,808	\$ 73,809	\$ 199,643	26	3
2	10-SM004	11/17/2010	First Solar, Inc.	Santa Clara	Santa Clara	Solar Photovoltaic Manufacturing	\$ 37,700,000	\$ 3,430,700	\$ 3,409,567	\$ 37,692,991	99.98%	\$ 1,668,971	\$ 1,971,559	\$ 209,831	174	17
3	10-SM007	11/17/2010	The Solaria Corporation	Fremont	Alameda	Solar Photovoltaic Manufacturing	\$ 7,800,000	\$ 709,800	\$ 258,678	\$ 3,027,135	38.81%	\$ 834,403	\$ 1,564,665	\$ 1,689,268	180	17
4	10-SM013	11/17/2010	NuvoSun Incorporated	Milpitas	Santa Clara	Solar Photovoltaic Manufacturing	\$ 20,000,000	\$ 1,820,000	\$ 1,122,546	\$ 12,682,921	63.41%	\$ 2,137,232	\$ 2,594,509	\$ 2,911,741	160	18
5	10-SM015	11/17/2010	Bloom Energy Corporation	Sunnyvale	Santa Clara	Solid Oxide Fuel Cell Systems Manufacturing	\$ 37,447,693	\$ 3,407,740	\$ 1,763,500	\$ 20,910,390	55.84%	\$ 562,054	\$ 11,144,189	\$ 8,298,503	1,004	83
6	10-SM020	12/15/2010	Ameresco Butte County LLC	Paradise	Butte	Landfill Gas Capture and Production	\$ 1,085,554	\$ 98,785	\$ 43,722	\$ 539,782	49.72%	\$ 71,701	\$ 185,955	\$ 158,870	12	1
7	10-SM022	12/15/2010	Ameresco Forward LLC	Manteca	San Joaquin	Landfill Gas Capture and Production	\$ 2,227,596	\$ 202,711	\$ 52,529	\$ 648,500	29.11%	\$ 37,823	\$ 277,169	\$ 112,281	11	1
8	10-SM023	12/15/2010	Ameresco Johnson Canyon LLC	Gonzales	Monterey	Landfill Gas Capture and Production	\$ 766,293	\$ 69,733	\$ 33,899	\$ 418,500	54.61%	\$ 33,124	\$ 168,912	\$ 132,303	12	1
9	10-SM024	12/15/2010	Ameresco San Joaquin LLC	Linden	San Joaquin	Landfill Gas Capture and Production	\$ 1,723,486	\$ 156,837	\$ 52,529	\$ 648,500	37.63%	\$ 99,894	\$ 419,234	\$ 362,292	12	1
10	10-SM025	12/15/2010	Ameresco Vasco Road LLC	Livermore	Alameda	Landfill Gas Capture and Production	\$ 1,828,204	\$ 166,367	\$ 49,694	\$ 613,500	33.56%	\$ 66,258	\$ 333,415	\$ 233,306	11	1
11	10-SM026	12/15/2010	BioFuels Point Loma, LLC	San Diego	San Diego	Wastewater Treatment Biogas Capture and Production	\$ 6,236,024	\$ 567,478	\$ 379,304	\$ 4,682,766	75.09%	\$ 120,126	\$ 509,292	\$ 61,939	25	3
12	10-SM027	12/15/2010	Alta Devices, Inc.	Sunnyvale	Santa Clara	Solar Photovoltaic Manufacturing	\$ 40,845,000	\$ 3,716,895	\$ 1,108,616	\$ 13,653,538	33.43%	\$ 561,404	\$ 5,025,666	\$ 1,870,175	322	37
13	10-SM028	12/15/2010	California Institute of Technology	Pasadena	Los Angeles	Research & Development of Solar Fuel Generator Systems	\$ 13,400,000	\$ 1,219,400	\$ 748,166	\$ 8,946,768	66.77%	\$ -	\$ 702,662	\$ (516,738)	133	15
14	10-SM012	12/15/2010	SunPower Corporation	Milpitas	Santa Clara	Solar Photovoltaic Manufacturing	\$ 8,000,000	\$ 728,000	\$ 704,816	\$ 7,745,227	96.82%	\$ 903,595	\$ 1,877,730	\$ 2,053,325	94	11
15	11-SM001	1/25/2011	Leyden Energy, Inc.	Fremont	Alameda	Lithium Ion Battery Manufacturing	\$ 1,306,525	\$ 118,894	\$ 99,647	\$ 1,213,373	92.87%	\$ 21,400	\$ 944,754	\$ 847,260	26	2
16	11-SM002	1/25/2011	MiaSolé	Sunnyvale	Santa Clara	Solar Photovoltaic Manufacturing	\$ 26,092,000	\$ 2,374,372	\$ 881,599	\$ 10,883,941	41.71%	\$ 3,246,664	\$ 1,363,913	\$ 2,236,206	56	3
17	11-SM003	1/25/2011	Alameda-Contra Costa Transit District	Emeryville	Alameda	Demonstration Hydrogen Fuel Production	\$ 5,387,950	\$ 490,303	\$ 362,320	\$ 4,473,087	83.02%	\$ 16,040	\$ 274,173	\$ (200,090)	6	1

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18	11-SM006	3/22/2011	Mt. Poso Cogeneration Company, LLC	Bakersfield	Kern	Biomass Processing and Fuel Production	\$ 14,374,000	\$ 1,308,034	\$ 1,164,294	\$ 14,374,000	100.00%	\$ 197,027	\$ 3,470,273	\$ 2,359,266	97	11
19	11-SM005	6/28/2011	Recology East Bay	Oakland	Alameda	Biomass Processing and Fuel Production	\$ 3,703,090	\$ 336,981	\$ -	\$ -	0.00%	\$ 111,243	\$ 506,852	\$ 281,113	46	5
20	11-SM009	6/28/2011	DTE Stockton, LLC	Stockton	San Joaquin	Biomass Processing and Fuel Production	\$ 10,120,000	\$ 920,920	\$ 702,184	\$ 8,668,943	85.66%	\$ 2,221,793	\$ 4,297,636	\$ 5,598,509	62	7
21	11-SM010	7/26/2011	SCS Energy	Fresno	Fresno	Biogas Capture & Production	\$ 3,155,300	\$ 255,579	\$ 247,020	\$ 3,049,632	96.65%	\$ 40,230	\$ 271,233	\$ 55,884	9	1
22	11-SM012	8/23/2011	CE Obsidian Energy, LLC	Imperial	Imperial	Geothermal Brine Extraction	\$ 174,453,978	\$ 14,130,772	\$ -	\$ -	0.00%	\$ 7,487,143	\$ 11,697,269	\$ 5,053,640	381	39
23	11-SM014	8/23/2011	SoloPower Inc.	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 8,411,240	\$ 681,310	\$ 494,484	\$ 6,104,736	72.58%	\$ 419,024	\$ 575,484	\$ 313,197	40	1
24	11-SM019	11/15/2011	Zero Waste Energy Development Company, LLC	San Jose	Santa Clara	Biogas Capture & Production	\$ 17,156,875	\$ 1,389,707	\$ 456,503	\$ 5,471,202	31.89%	\$ 1,355,423	\$ 2,233,575	\$ 2,199,291	174	17
25	11-SM016	12/13/2011	Tesla Motors, Inc.	Fremont; Hawthorne; Palo Alto; Menlo Park	Alameda; Los Angeles; Santa Clara; San Mateo	Electric Vehicle Manufacturing	\$ 292,000,000	\$ 23,652,000	\$ 559,826	\$ 6,911,429	2.37%	\$ 2,386,636	\$ 35,023,610	\$ 13,758,246	1,237	108
26	12-SM001	2/21/2012	Soraa, Inc.	Fremont	Alameda	Energy Efficient LED Lighting Manufacturing	\$ 57,002,457	\$ 4,617,199	\$ 1,244,232	\$ 14,965,379	26.25%	\$ 30,775,968	\$ 2,368,664	\$ 28,527,434	180	14
27	12-SM003	3/20/2012	Stion Corporation	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 6,417,810	\$ 519,843	\$ 490,542	\$ 6,056,069	94.36%	\$ 277,896	\$ 253,546	\$ 11,600	28	3
28	12-SM006	5/15/2012	John Galt Biogas Inc.	Galt	Sacramento	Biogas Capture & Production	\$ 1,025,769	\$ 83,087	\$ 29,538	\$ 352,900	34.40%	\$ 112,933	\$ 128,931	\$ 158,777	19	2
29	12-SM004	5/15/2012	Soitec Solar Industries LLC	San Diego	San Diego	Concentrated Photovoltaic Manufacturing	\$ 104,381,342	\$ 8,454,889	\$ 7,351,987	\$ 90,047,265	86.27%	\$ 3,706,841	\$ 10,302,813	\$ 5,554,765	399	44
30	12-SM007	5/15/2012	Reflexite Soitec Optical Technology LLC	San Diego	San Diego	Concentrated Photovoltaic Manufacturing	\$ 24,500,000	\$ 1,984,500	\$ 2,005,024	\$ 24,200,004	98.78%	\$ 357,750	\$ 1,704,725	\$ 77,975	114	8
31	12-SM008	6/19/2012	North Star Biofuels LLC	Watsonville	Santa Cruz	Biodiesel Production	\$ 4,115,500	\$ 333,356	\$ 343,202	\$ 4,115,500	100.00%	\$ 84,522	\$ 2,616,810	\$ 2,367,976	30	1
32	12-SM010	10/16/2012	Electric Vehicles International, LLC	Stockton	San Joaquin	Electric Vehicle Manufacturing	\$ 5,744,962	\$ 465,342	\$ 256,836	\$ 3,170,809	55.19%	\$ 83,283	\$ 906,571	\$ 524,512	114	8
33	12-SM011	10/16/2012	Clean World Partners LLC	Sacramento	Sacramento	Biomass Processing and Fuel Production	\$ 11,796,759	\$ 955,537	\$ -	\$ -	0.00%	\$ 204,651	\$ 977,083	\$ 226,196	24	3
34	12-SM009	10/16/2012	ABEC New Hope LLC	Galt	Sacramento	Biogas Capture & Production	\$ 2,633,359	\$ 213,302	\$ 84,096	\$ 1,004,735	38.15%	\$ 41,150	\$ 208,573	\$ 36,421	22	3
35	12-SM012	11/13/2012	Zero Waste Energy, LLC	Marina	Monterey	Biogas Capture & Production	\$ 1,187,000	\$ 96,147	\$ 94,295	\$ 1,126,587	94.91%	\$ 18,139	\$ 95,062	\$ 17,054	5	1
36	12-SM013	11/13/2012	Oberon Fuels, Inc.	Brawley	Imperial	Biogas Capture & Bio DME Production	\$ 13,500,000	\$ 1,093,500	\$ 47,558	\$ 568,196	4.21%	\$ 423,866	\$ 679,196	\$ 9,561	17	3

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37	13-SM001	1/15/2013	CleanWorld	Davis	Yolo	Biomass Processing and Fuel Production	\$ 5,851,298	\$ 489,754	\$ -	\$ -	0.00%	\$ 106,588	\$ 506,088	\$ 122,923	24	3
38	13-SM002	3/19/2013	Buster Biofuels, LLC	Escondido	San Diego	Biodiesel Production	\$ 1,905,343	\$ 159,477	\$ -	\$ -	0.00%	\$ 103,496	\$ 786,032	\$ 730,051	32	2
39	13-SM004	4/16/2013	EJ Harrison and Sons Rentals, Inc.	Oxnard	Ventura	Biogas Capture & Production	\$ 1,212,095	\$ 101,452	\$ -	\$ -	0.00%	\$ 19,311	\$ 108,487	\$ 26,345	6	1
40	13-SM005	4/16/2013	Vitriflex, Inc.	Milpitas	Santa Clara	Photovoltaic Solar Component Manufacturing	\$ 16,330,000	\$ 1,366,821	\$ -	\$ -	0.00%	\$ 1,256,397	\$ 886,066	\$ 775,642	47	4
41	13-SM007	6/18/2013	ABEC Bidart-Old River, LLC	Bakersfield	Kern	Biomass Capture & Production	\$ 6,254,045	\$ 523,464	\$ -	\$ -	0.00%	\$ 351,613	\$ 523,038	\$ 351,188	28	2
42	13-SM009	8/20/2013	North State Rendering Co Inc.	Oroville	Butte	Biomass Processing and Fuel Production Facility	\$ 7,355,324	\$ 615,641	\$ -	\$ -	0.00%	\$ 305,075	\$ 422,917	\$ 112,351	12	2
43	13-SM010	9/17/2013	Central Valley Ag Power, LLC	Oakdale	Stanislaus	Biomass Processing and Fuel Production Facility	\$ 3,481,313	\$ 291,386	\$ -	\$ -	0.00%	\$ 38,218	\$ 394,801	\$ 141,634	23	2
44	13-SM011	10/15/2013	Blue Line Transfer, Inc.	S. San Francisco	San Mateo	Biomass Processing and Fuel Production Facility	\$ 4,976,469	\$ 416,530	\$ -	\$ -	\$ -	\$ 43,980	\$ 423,841	\$ 51,291	20	3
SUBTOTAL:						\$ 1,016,023,237	\$ 84,837,520	\$ 26,733,373	\$ 320,087,096	N/A	\$ 63,139,693	\$ 111,800,782	\$ 90,102,957	5454	513	
TOTAL OF ACTIVE APPLICATIONS APPROVED AND CONSIDERED						\$ 1,030,088,237	\$ 86,014,761	\$ 26,733,373	\$ 320,087,096	N/A	\$ 63,275,999	\$ 114,538,681	\$ 91,799,921	5,492	515	

1	10-SM003	11/17/2010	ABEC Bidart Old River, LLC	Bakersfield, (Kern County)	Kern	Biogas Capture & Production	\$ 4,738,000	\$ 431,158	\$ -	\$ -	0.00%	\$ 3,080,806	\$ 438,844	\$ 3,088,491	50	6
2	10-SM005	11/17/2010	Gallo Cattle Company	Atwater	Merced	Biogas Capture & Production	\$ 1,245,000	\$ 113,295	\$ -	\$ -	0.00%	\$ 791,959	\$ 130,374	\$ 809,038	30	3
3	10-SM006	11/17/2010	Solyndra LLC	Fremont	Alameda	Solar Photovoltaic Manufacturing	\$ 381,776,000	\$ 34,741,616	\$ 25,127,322	\$ 277,309,757	72.64%	\$ 22,202,363	\$ 20,765,274	\$ 8,226,021	2,084	225
4	10-SM011	11/17/2010	Stion Corporation	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 105,473,402	\$ 9,598,080	\$ -	\$ -	0.00%	\$ 3,512,324	\$ 6,207,404	\$ 121,648	493	47
5	10-SM014	11/17/2010	Calisolar Inc.	Sunnyvale	Santa Clara	Solar Photovoltaic Manufacturing	\$ 39,000,000	\$ 3,549,000	\$ -	\$ -	0.00%	\$ 1,971,609	\$ 1,975,797	\$ 398,407	273	13
6	10-SM016	12/15/2010	Quantum Fuel Systems Technologies Worldwide, Inc.	Irvine	Orange	Solar Photovoltaic Manufacturing	\$ 8,945,858	\$ 814,073	\$ -	\$ -	0.00%	\$ 508,282	\$ 5,895,571	\$ 5,589,780	94	11
7	10-SM018	12/15/2010	Green Vehicles, Inc.	Salinas	Monterey	Electric Vehicle Manufacturing	\$ 3,708,050	\$ 337,433	\$ -	\$ -	0.00%	\$ 65,608	\$ 3,018,494	\$ 2,746,669	126	14
8	10-SM019	12/15/2010	Soliant Energy, Inc.	Monrovia	Los Angeles	Solar Photovoltaic Manufacturing	\$ 9,966,500	\$ 906,952	\$ -	\$ -	0.00%	\$ 1,142,989	\$ 1,709,894	\$ 1,945,932	38	5

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9	10-SM021	12/15/2010	SCE Crazy Horse LLC	Salinas	Monterey	Landfill Gas Capture and Production	\$ 1,558,460	\$ 141,820	\$ -	\$ -	0.00%	\$ 103,093	\$ 432,228	\$ 393,501	12	1
10	11-SM007	5/18/2011	Amonix, Inc.	Seal Beach	Orange	Solar Photovoltaic Manufacturing	\$ 2,278,900	\$ 207,380	\$ -	\$ -	0.00%	\$ 244,895	\$ 557,789	\$ 595,304	153	2
11	11-SM015	8/23/2011	Amonix, Inc	Seal Beach; Milpitas	Orange; Santa Clara	Solar Photovoltaic Manufacturing	\$ 7,879,667	\$ 638,253	\$ -	\$ -	0.00%	\$ 740,148	\$ 2,884,021	\$ 2,985,916	200	12
12	10-SM001	11/17/2010	Bowerman Power LFG, LLC	Irvine	Orange	Landfill Gas Capture & Production	\$ 9,240,000	\$ 840,840	\$ -	\$ -	0.00%	\$ 398,492	\$ 1,008,052	\$ 565,704	30	3
13	10-SM009	11/17/2010	Nanosolar Inc.	San Jose	Santa Clara	Solar Photovoltaic Manufacturing	\$ 140,187,900	\$ 12,757,099	\$ 4,306,412	\$ 53,035,063	37.83%	\$ 10,527,415	\$ 6,992,728	\$ 4,763,045	410	36
14	10-SM010	12/15/2010 2/22/2011	Simbol, Inc.	Calipatria, Niland, Brawley	Imperial	Lithium and Battery Material Manufacturing	\$ 42,484,174	\$ 3,866,060	\$ 164,278	\$ 1,938,796	4.56%	\$ 558,363	\$ 9,552,414	\$ 6,244,717	212	23
TOTAL OF INACTIVE APPROVED APPLICATIONS						\$ 758,481,911	\$ 68,943,057	\$ 29,598,012	\$ 332,283,617	N/A	\$ 45,848,346	\$ 61,568,884	\$ 38,474,173	4,205	401	

Total STE Used to Date (Active and Inactive Approved Applications): \$ 56,331,385

¹ Under the Program the value of a specific project's sales and use tax exclusion is calculated using the statewide sales and use tax average. The statewide average was estimated at 9.1% through June 2011, changed to 8.1% in July 2011, and 8.37% beginning in January 2013.

²These benefits are estimates that are calculated under the Program's evaluation system at the time of Board approval. Applicants are required to provide annual reports to CAEATFA on actual project activity.