

## Attachment A: Legal Status Questionnaire

~~(AS OF 10/1/2010)~~

Legal Applicant Name: \_\_\_\_\_

### 1. Financial Viability

Disclose material information relating to any legal or regulatory proceeding or investigation in which the Applicant is or has been a party and which might have a material impact on the financial viability of the Project or the Applicant. Such disclosures should include any parent, subsidiary, or affiliate of the Applicant that is involved in the management, operation, or development of the Project.

Response: \_\_\_\_\_

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### 2. Fraud, Corruption, or Serious Harm

Disclose any civil, criminal, or regulatory action in which the Applicant, or any current ~~B~~board members (~~not including except for~~ volunteer ~~B~~board members of non-profit entities), partners, limited liability corporation members, senior officers, or senior management personnel has been named a defendant in such action in the past ten years involving fraud or corruption, matters related to employment conditions (including, but not limited to wage claims, discrimination, or harassment), or matters involving health and safety where there are ~~any~~ allegations of serious harm to employees, the public, or the environment.

Response: \_\_\_\_\_

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*Disclosures should include civil or criminal cases filed in state or federal court; civil or criminal investigations by local, state, or federal law enforcement authorities; and enforcement proceedings or investigations by local, state or federal regulatory agencies. The information provided must include relevant dates, the nature of the allegation(s), charters, complaint or filing, and the outcome. For a publicly-traded company, the relevant sections of the company's 10K, 8K, and 10Q most recently filed with the Securities and Exchange Commission may be attached in response to question #1. With respect to a response for question #2, previous 10K, 8K, and 10Q filings of the company may be attached if applicable.*

I certify this information contained in the legal questionnaire is accurate and complete  
I/We attest that we have provided full disclosure as indicated in response to the items #1 and #2 above.

\_\_\_\_\_  
Signature of Principal, CEO, or Lead Administrator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title/Print or Type Name

\_\_\_\_\_  
Signature of President or Chair of Governing Board

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print or Type Name