

Enforcement Status by Penalty Type

Penalty Type	Count at Beginning of Enforcement	Currently in First Round of Due Process	Currently in first 90 day FTB Period	First FTB Penalty Notice Issued, No Action within 90 days	Currently in Second 90 day FTB Period	Address Issues/Stop Mail Flag (Noncompliant)	Total Outstanding Noncompliant	Total Resolved
Type 01: Failure to Register	24,892	8,320	13,876	62	211	700	23,169	6.9%
Type 02: Failure to Upload Roster	12,518	5,975	5,704	15	54	324	12,072	3.6%
Type 03: Failure to Facilitate Payroll	16,967	9,304	7,156	10	52	88	16,610	2.1%
TOTAL FOR ALL WAVES	54,377	23,599	26,736	87	317	1,112	51,851	4.6%

Enforcement Status by Wave

Wave (deadline)	Count at Beginning of Enforcement	Currently in First Round of Due Process	Currently in first 90 day FTB Period	First FTB Penalty Notice Issued, No Action within 90 days	Currently in Second 90 day FTB Period	Address Issues/Stop Mail Flag (Noncompliant)	Total Outstanding Noncompliant	Total Resolved
Wave 1 (9/30/2020)	1,437	111	14	15	103	56	299	79.2%
Wave 2 (6/30/2021)	1,559	468	307	72	214	83	1,144	26.6%
Wave 3 (6/30/2022)	43,898	19,331	22,913	0	0	827	43,071	1.9%
Wave 2022 (12/31/2022)	7,483	3,689	3,502	0	0	146	7,337	2.0%
TOTAL FOR ALL WAVES	54,377	23,599	26,736	87	317	1,112	51,851	4.6%