



CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY

Physical Address:
801 Capitol Mall, 2nd Floor
Sacramento, CA 95814

Mailing Address:
P.O. Box 942809
Sacramento, CA 94209
p (916) 654-5610
f (916) 589-2805
cpcfa@treasurer.ca.gov
www.treasurer.ca.gov/cpcfa

MEMBERS

FIONA MA, CPA, CHAIR
State Treasurer

BETTY T. YEE
State Controller

KEELY MARTIN BOSLER
Director of Finance

INTERIM EXECUTIVE DIRECTOR
Vacant

REQUEST FOR PROPOSALS

No. CPCFA01-21

Financial Audit Services

ADDENDUM NOTICE NO. 2

August 26, 2021

CPCFA has made the following changes, and corresponding textual revisions, to RFP No. CPCFA01-21. These changes include revising Section A.3, Section A.3.a.viii, Section A.3.c., and Section C.1 of the RFP, and Sample Exhibit A Section 1, Section 1.b.viii, Section 1.c, Section 3.

Textual changes to Section A.3, Section A.3.b.viii, and Section A.3.c. of the RFP and Sample Exhibit A Section 1.b.viii, Section 1.c, Section 3:

Request for Proposals (RFP)

Section A.3

Under the general direction, in writing, of the Executive Director of CPCFA, or their designee, the Auditor agrees to provide audit and examination services as described herein. Pursuant to Chapter 10.7 (commencing with Section 5870) of Division 6 of Title 1 of the Government Code, the audits must comply with the Office of the State Controller's ("SCO") minimum standards for conducting audits (the Minimum Audit Requirements and Reporting Guidelines for California Special Districts are set forth in Subchapter 5 (commencing with Section 1131.1) of Chapter 2 of Division 2 of Title 2 of the California Code of Regulations). ~~In addition, the Contractor will be expected to complete SCO's Special Districts Financial Transactions Report on behalf of CAEATFA (reporting forms and instructions are available at https://www.sco.ca.gov/ard_locinstr_districts_forms.html).~~ SCO's audit and reporting requirements may be subject to change. As a result, the Contractor must be prepared to incorporate any additional legal or industry requirements for the performance of audits as may be disseminated by the SCO subsequent to the initial circulation of the Request for Proposal (RFP No. CPCFA01-21). In addition, the firm may be requested to provide other assistance, on an as-needed basis, to support confirmation and reporting requirements for the Program.

Section A.3.b.viii

The audit must meet the reporting timeframe requirements of the SCO. (~~see Attachment B, Sample Standard Agreement~~). Currently, it is anticipated that each audit should be conducted and completed by ~~December~~ **June** 30th of the following fiscal year. The audit submittal time frame is subject to change upon further instruction from SCO.

Section A.3.c

Special Districts Financial Transaction Report

~~The Special Districts Financial Transactions Report must be prepared exactly in the same format as the SCO's Special Districts Financial Transactions Report (https://www.sco.ca.gov/ard_locinstr_districts_forms.html). Contractor must complete this report on CPCFA's behalf each year at least one week before the due date (Per Section 53891 of the Government Code (https://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=53891.&lawCode=GOV), this report is due within seven months after the close of each fiscal year and shall be in the form required by the Controller.). CPCFA will work with Contractor to provide all available accounting records and data necessary for the performance of the work described herein.~~

RFP Sample Exhibit A

Section 1

Under the general direction, in writing, of the Executive Director of CPCFA, or their designee, the Auditor agrees to provide audit and examination services as described herein. Pursuant to Chapter 10.7 (commencing with Section 5870) of Division 6 of Title 1 of the Government Code, the audits must comply with the Office of the State Controller's ("SCO") minimum standards for conducting audits (the Minimum Audit Requirements and Reporting Guidelines for California Special Districts are set forth in Subchapter 5 (commencing with Section 1131.1) of Chapter 2 of Division 2 of Title 2 of the California Code of Regulations). ~~In addition, the Contractor will be expected to complete SCO's Special Districts Financial Transactions Report on behalf of CPCFA (reporting forms and instructions are available at https://www.sco.ca.gov/ard_locinstr_districts_forms.html).~~ SCO's audit and reporting requirements may be subject to change. As a result, the Contractor must be prepared to incorporate any additional legal or industry requirements for the performance of audits as may be disseminated by the SCO subsequent to the initial circulation of the Request for Proposal (RFP No. CPCFA01-21). In addition, the firm may be requested to provide other assistance, on an as-needed basis, to support confirmation and reporting requirements for the Program.

Section 1.b.viii

The audit must meet the reporting timeframe requirements of the SCO. Currently, it is anticipated that each audit should be conducted and completed by ~~December~~ **June** 30th of the following fiscal year. The audit submittal timeframe is subject to change upon further instruction from SCO.

Section 1.c

Special Districts Financial Transaction Report

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Section 3

State Agency: California Pollution Control Financing Authority (CPCFA)	Contractor: To Be Determined
Name: To Be Determined	Name:
Office 801 Capitol Mall, 2 nd floor Location: Sacramento, California 95814 Mailing 915 Capitol Mall Address: Sacramento, CA 95814	Address:
Phone: (916) 651-5102 <u>To Be Determined</u>	Phone:
Fax: (916) 589-2805	Fax:
Email: derekchernow@treasurer.ca.gov <u>To Be Determined</u>	Email:

The date for submitting questions for RFP No. CPCFA01-21 has passed. Should you require any clarifying information regarding this addendum, the contact person for this RFP is:

Nicholas Montalvo
California Pollution Control Financing Authority
801 Capitol Mall, 2nd Floor
Sacramento, CA 95814
(916) 653-6217
nicholas.montalvo@treasurer.ca.gov

Please note that no verbal information provided shall be binding upon the State unless such information is issued in writing as an official addendum to this RFP.