

**POOLED MONEY INVESTMENT BOARD**  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

**Date:** January 7, 2011

**To:** Pooled Money Investment Board  
Bill Lockyer, Chair  
John Chiang, Member  
Ana J. Matosantos, Member

**From:** *for* *[Signature]*  
Bettina Redway, Executive Secretary  
Pooled Money Investment Board

**Subject:** Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the Judicial Council of California to allow the following to participate in the Surplus Money Investment Fund:

Special Deposit Fund  
Driving Under the Influence (DUI) Litigation Trust Account  
Fund Number 942250

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

\_\_\_\_\_  
Bill Lockyer

\_\_\_\_\_  
Date

\_\_\_\_\_  
John Chiang

\_\_\_\_\_  
Date

\_\_\_\_\_  
Ana J. Matosantos

\_\_\_\_\_  
Date

Memorandum

To : Bettina Redway  
Executive Secretary  
Pooled Money Investment Board

Date: December 28, 2010

From : State Controller's Office  
Kathy Perry, Bureau Chief  
Division of Accounting and Reporting  
Bureau of Accounting



Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

I recommend approval for the following fund to participate in the Surplus Money Investment Fund:

Driving Under the Influence (DUI) Litigation Trust Account  
(Fund Number: 0942250)

If you have any questions, please contact Al Davis at (916) 322-3009 or Annette Stephenson at (916) 322-4462.

cc: Bill Dowell, State Treasurer's Office  
Erica Jones, State Treasurer's Office  
Jeffrey Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Phillip Gee, State Controller's Office  
Annette Stephenson, State Controller's Office  
Alexis Liakos, State Controller's Office

**POOLED MONEY INVESTMENT BOARD**  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

**DATE:** December 27, 2010

**TO:** Kathy Perry, Chief  
Division of Accounting & Reporting  
State Controller's Office

**FROM:** *Erica Jones for*  
Bettina Redway  
Executive Secretary  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the Judicial Council of California, for participation of the following fund in the Surplus Money Investment Fund:

Special Deposit Fund  
Driving Under the Influence (DUI) Litigation Trust Account  
Fund Number 942250

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Alan Davis, State Controller's Office

DEPARTMENT OF CALIFORNIA HIGHWAY PATROL

P. O. Box 942898

Sacramento, CA 94298-0001

(916) 843-3500

(800) 735-2929 (TT/TDD)

(800) 735-2922 (Voice)



December 17, 2010

File No.: 070.A15404.A13963.071

*John W. W...*

*for*

Mr. Bill Dowell, Administrator  
Pooled Money Investment Board  
State Treasurer's Office  
915 Capitol Mall, Room 106  
Sacramento, CA 95814

Dear Mr. Dowell:

This letter is a request to participate in the Surplus Money Investment Fund to allow interest to be earned in the California Highway Patrol (CHP) Special Deposit Fund (SDF) account, titled "Driving Under the Influence (DUI) Litigation Trust Account." On December 6, 2010, the Department of Finance approved the CHP's request submitted November 23, 2010, to set up an SDF account per the Superior Court ruling case No. RG03-127404 (see enclosure). The purpose of the SDF account is to segregate all funds received from DUI drivers pending final resolution of this case. The period of account availability is December 1, 2010 through December 1, 2015.

Should you have any questions or require further information, please contact Ms. Annemarie Reno, Fiscal Management Section Commander, at (916) 843-3530.

Sincerely,

*J. T. Uyeda*

J. T. UYEDA, Chief  
Administrative Services Division

Enclosure



December 6, 2010

Mr. Jeff Uyeda  
Administrative Services Division  
Department of California Highway Patrol  
P.O. Box 942898  
Sacramento, CA 94298-0001

Dear Mr. Uyeda:

**Request to Establish Special Deposit Fund Account**

We have reviewed your November 23, 2010 request to establish a Special Deposit Fund (SDF) account, titled Driving Under the Influence (DUI) Litigation Trust Account. You are required to set up the SDF account per the Superior Court ruling case No. RG03-127404. The purpose of the SDF account is to segregate all funds received from DUI drivers pending final resolution of this case.

Based on the information provided and with the concurrence of your Department of Finance budget analyst, we approve your request. The ending period of availability was changed from December 1, 2020 to December 1, 2015.

Our office will forward a copy of this letter and the original AUD 10 form to the State Controller's Office (SCO) for processing. The SCO will notify you when processing is complete.

To allow interest to be earned in the SDF account, please submit a written request to participate in the Surplus Money Investment Fund to Bill Dowell, Pooled Money Investment Board, State Treasurer's Office.

If the account is needed beyond the period of availability, please submit an amended form AUD 10 to our office. Your request for an extension should be submitted four to six weeks prior to the expiration date. However, if the account can be closed earlier than December 1, 2015, please submit a letter to the SCO with a copy to our office.

If you have any questions, please contact Silvia Kalman at (916) 445-3434, extension 2142 or e-mail [Silvia.Kalman@dof.ca.gov](mailto:Silvia.Kalman@dof.ca.gov).

Sincerely,

Larry Satter  
Assistant Chief  
Fiscal Systems and Consulting Unit

Attachment

cc: Phillip Gee, Division of Accounting and Reporting, State Controller's Office  
Peter Abahazi, Business, Transportation and Housing, Department of Finance

REQUEST TO STATE CONTROLLER TO ESTABLISH OR AMEND SPECIAL DEPOSIT FUND ACCOUNT

SUBMIT ORIGINAL TO DEPARTMENT OF FINANCE. UPON APPROVAL, DEPARTMENT OF FINANCE WILL FORWARD ORIGINAL TO STATE CONTROLLER'S OFFICE FOR PROCESSING. WHEN ACCOUNT HAS BEEN ESTABLISHED, AN ACKNOWLEDGED COPY WILL BE RETURNED TO THE AGENCY, AFTER WHICH CLAIMS MAY BE SUBMITTED.

UNLESS EXCEPTED BY LAW, EXPENDITURES FROM THIS ACCOUNT ARE SUBJECT TO GENERAL STATE LAWS GOVERNING THE EXPENDITURE OF STATE FUNDS (SEE 1 OPS, CAL. ATTY. GEN. 90). THIS INCLUDES CONTRACT, PURCHASE AND CIVIL SERVICE REQUIREMENTS, BOARD OF CONTROL RULES, APPROVALS BY DEPARTMENT OF GENERAL SERVICES AND ATTORNEY GENERAL, ETC.

CLAIM SCHEDULE WILL SHOW APPROPRIATION AS GOVERNMENT CODE (GC) SECTION 16370 AND THE ACCOUNT TITLE.

UNDER THE PROVISIONS OF GC SECTION 16370 AND STATE ADMINISTRATIVE MANUAL SECTION 18420  
PLEASE ESTABLISH OR AMEND THE FOLLOWING SPECIAL DEPOSIT FUND ACCOUNT.

Proposed Account Title:  
DUI LITIGATION TRUST ACCOUNT

Source of Monies:  
Driving Under the Influence (DUI) - Cost Recovery Defendants

Purpose of Account:  
Response to Civil Litigation Judgement #RG03-127404 per the Superior Court

Date of Trust Instrument: Department of Finance Approval: *ST*  
By: *Harry Smith* Date: *12/6/2010*

Disposition of Residue: Statute Reference, If Any:  
Unknown - Pending Ongoing Litigation GC 53150 - 53158

Expenditures Authorized:  
Payments as the courts direct.

Period of Availability:  
December 1, 2010 - December 1, 2020 *2015 SK*

Department: California Highway Patrol Organization Code: 2720

Contact Person: Jeff Uyeda Title: Chief

Signed: *Jeff Uyeda* Date: November 23, 2010

STATE CONTROLLER USE ONLY

Remarks:

Account Established:  
Date \_\_\_\_\_

FUND	AGENCY	FY	REF/ITEM

\_\_\_\_\_  
BUREAU CHIEF  
DIVISION OF ACCOUNTING AND REPORTING