

**P. M. I. B.**

**Wednesday, January 15, 2020**

**10:00 a.m.**

## DESIGNATION

# POOLED MONEY INVESTMENT ACCOUNT

## PORTFOLIO SUMMARY REPORT

January 15, 2020

(Dollars in Billions)

|   | 12/31/19 | 11/30/19 | Change From<br>Prior Month | 12/31/18 |
|---|----------|----------|----------------------------|----------|
| <b>Total Portfolio</b>                                |          |          |                            |          |
| Amount  | \$88.927 | \$86.899 | \$2.028                    | \$83.263 |
| Effective Yield                                       | 2.028%   | 2.065%   | -0.037%                    | 2.319%   |
| Quarter-to-date Yield                                 | 2.113%   | 2.148%   | -0.035%                    | 2.213%   |
| Year-to-date Yield                                    | 2.230%   | 2.265%   | -0.035%                    | 2.103%   |
| Average Life (in days)                                | 226      | 218      | 8                          | 192      |
| <b>PMIA Loans (Government Code §16312 and §16313)</b> |          |          |                            |          |
| Approved  | \$0.606  | \$0.606  | \$0.000                    | \$0.798  |
| Disbursed   | \$0.412  | \$0.374  | \$0.038                    | \$0.384  |
| <b>Local Agency Investment Fund</b>                   |          |          |                            |          |
| Deposits  | \$26.712 | \$24.948 | \$1.764                    | \$21.266 |
| Number of Participants                                | 2,362    | 2,369    | (7)                        | 2,373    |

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

1847

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on January 15, 2020, has determined and designated the amount of money available for deposit and investment as of January 8, 2020, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as:

a. Compensating Balance for Services \$ 328,400,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

b. Interest Bearing Accounts for Cash Flow Variability: \$ 1,000,000,000  
     JP Morgan Chase Bank \$ 500,000,000  
     Bank of the West \$ 500,000,000

The interest bearing bank account designation represents targeted balances to be averaged over one month's period to smooth cash flow variability. The balances may fluctuate above and below these amounts as needed to manage cash flow variances and to meet daily liquidity needs. Interest earnings for these accounts will be calculated according to the terms of the agreement between the Treasurer's Office and the account's depository bank, paid monthly and recorded as a deposit into the state's main demand deposit account, and allocated quarterly by the State Controller's Office as directed by Government Code 16475 and Government Code 16480.6.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

|     | From      | To        | Transactions       | In Securities<br>(section 16430)* | Time Deposits in<br>Various Financial<br>Institutions<br>(sections 16503a<br>and 16602)* | Estimated<br>Total |
|-----|-----------|-----------|--------------------|-----------------------------------|--|--------------------|
| (1) | 1/13/2020 | 1/17/2020 | \$ 9,227,700,000   | \$ 5,847,900,000                  | \$ 4,736,200,000   | \$ 10,584,100,000  |
| (2) | 1/20/2020 | 1/24/2020 | \$ (147,000,000)   | \$ 5,700,900,000                  | \$ 4,736,200,000   | \$ 10,437,100,000  |
| (3) | 1/27/2020 | 1/31/2020 | \$ 412,800,000     | \$ 6,113,700,000                  | \$ 4,736,200,000   | \$ 10,849,900,000  |
| (4) | 2/3/2020  | 2/7/2020  | \$ (95,100,000)    | \$ 6,018,600,000                  | \$ 4,736,200,000   | \$ 10,754,800,000  |
| (5) | 2/10/2020 | 2/14/2020 | \$ (1,418,400,000) | \$ 4,600,200,000                  | \$ 4,736,200,000   | \$ 9,336,400,000   |
| (6) | 2/17/2020 | 2/21/2020 | \$ 801,700,000     | \$ 5,401,900,000                  | \$ 4,736,200,000   | \$ 10,138,100,000  |

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of \$ 328,400,000.

POOLED MONEY INVESTMENT BOARD:

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Chair

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Member

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Member

Dated: January 15, 2020  
\* Government Code

**POOLED MONEY INVESTMENT ACCOUNT**

**INTEREST BEARING DEMAND DEPOSIT  
ACCOUNTS REPORT**

**January 15, 2020**

|                            | <b>12/31/19</b> | <b>11/30/19</b> |
|----------------------------|-----------------|-----------------|
| <b>JPMorgan Chase Bank</b> |                 |                 |
| Average Daily Balance      | \$473,225,806   | \$407,333,333   |
| Effective Rate             | 1.71%           | 1.72%           |
| <b>Bank of the West</b>    |                 |                 |
| Average Daily Balance      | \$535,806,452   | \$489,000,000   |
| Effective Rate             | 1.76%           | 1.77%           |

**SURPLUS MONEY DECLARATION AND  
REDUCTION**

**POOLED MONEY INVESTMENT BOARD**  
 (Surplus Money Investment Fund)  
 Period December 1 through December 31, 2019

**DECLARATION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

| <u>DATES</u>        | <u>AMOUNT</u>       |
|---------------------|---------------------|
| (SEE ATTACHED LIST) | (SEE ATTACHED LIST) |
| <hr/>               |                     |
| TOTAL \$            | 17,304,024,000.00*  |

**REDUCTION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

| <u>DATES</u>        | <u>AMOUNT</u>       |
|---------------------|---------------------|
| (SEE ATTACHED LIST) | (SEE ATTACHED LIST) |
| <hr/>               |                     |
| TOTAL \$            | 17,611,872,000.00*  |

**POOLED MONEY INVESTMENT BOARD**

\_\_\_\_\_  
Member

\_\_\_\_\_  
Chair

\_\_\_\_\_  
Member

Dated: January 15, 2020

\*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION   | REDUCTION     |
|---------|--------------------------------|---------------|---------------|
| 0005000 | SAFENEIGH PKS, CLNWTR,CLNAIR/  | 0             | 617,000       |
| 0006000 | DISABILITY ACCESS ACCOUNT      | 37,566,000    | 37,523,000    |
| 0007000 | BREAST CANCER RESEARCH ACCOUNT | 0             | 430,000       |
| 0009000 | BREAST CANCER CONTROL ACCOUNT  | 12,000        | 1,125,000     |
| 0012000 | ATTY GEN ANTITRUST ACCOUNT     | 2,871,000     | 2,800,000     |
| 0014000 | HAZARDOUS WASTE CONTROL ACCT   | 22,579,000    | 23,745,000    |
| 0017000 | FINGERPRINT FEES ACCOUNT       | 116,527,000   | 116,500,000   |
| 0018000 | SITE REMEDIATION ACCOUNT       | 68,357,000    | 68,426,000    |
| 0023000 | FARMWORKER REMEDIAL ACCOUNT    | 1,200,000     | 1,200,000     |
| 0028000 | UNIFIED PROGRAM ACCOUNT        | 5,190,000     | 5,221,000     |
| 0032000 | FIREARM SAFETY ACCOUNT         | 6,204,000     | 6,211,000     |
| 0033000 | STATE ENERGY CONSER ASST ACCT  | 9,683,000     | 9,527,000     |
| 0035000 | SURFACE MINING & RECLAMATION   | 5,909,000     | 5,952,000     |
| 0041000 | AERONAUTICS ACCOUNT            | 585,000       | 1,546,000     |
| 0042000 | STATE HIGHWAY ACCOUNT          | 352,989,000   | 523,946,000   |
| 0044000 | MOTOR VEHICLE ACCOUNT          | 1,410,992,000 | 1,516,063,000 |
| 0046000 | PUBLIC TRANSPORTATION ACCT     | 43,900,000    | 203,625,000   |
| 0048000 | TRANSPORTATION REV ACCOUNT     | 659,516,000   | 626,479,000   |
| 0052000 | LOCAL AIRPORT LOAN ACCOUNT     | 71,000        | 0             |
| 0055000 | MASS TRANSIT REVOLVING ACCOUNT | 0             | 81,000        |
| 0061000 | MOTOR VEHICLE FUEL ACCOUNT     | 731,677,000   | 736,554,000   |
| 0064000 | MOTOR VEHICLE LIC FEE ACCT     | 92,664,000    | 127,492,000   |
| 0065000 | ILLEGAL DRUG LAB CLEANUP ACCT  | 1,700,000     | 1,709,000     |
| 0066000 | SALE OF TOBACCO TO MINORS CTRL | 331,000       | 247,000       |
| 0067000 | STATE CORPORATIONS FUND        | 10,374,000    | 13,821,000    |
| 0069000 | BARBERING/COSMETOLOGY CONT FD  | 4,375,000     | 6,163,000     |
| 0074000 | MEDICAL WASTE MANAGEMENT FUND  | 239,000       | 114,000       |
| 0075000 | RADIATION CONTROL FUND         | 2,356,000     | 4,719,000     |
| 0076000 | TISSUE BANK LICENSE FUND       | 46,000        | 82,000        |
| 0078000 | GRAPHIC DESIGN LICENSE PLATE F | 108,000       | 56,000        |
| 0080000 | CHILDHOOD LEAD POISONG PV'N FD | 997,000       | 4,302,000     |
| 0082000 | EXPORT DOCUMENT PROGRAM FUND   | 1,786,000     | 1,797,000     |
| 0083000 | VETERANS SERVICE OFFICE FUND   | 80,000        | 0             |
| 0093000 | CONSTRUCTN MANGEMNT EDUC ACCT  | 11,000        | 0             |
| 0094000 | RETAIL SALES TAX               | 11,773,000    | 4,540,000     |
| 0098000 | CLINICAL LAB IMPROVEMENT FUND  | 668,000       | 1,371,000     |
| 0099000 | HEALTH STATISTICS SPEC FUND    | 1,415,000     | 4,723,000     |
| 0100000 | CALIF USED OIL RECYCLING FUND  | 2,653,000     | 3,627,000     |
| 0106000 | PESTICIDE REGULATION FUND      | 16,257,000    | 13,859,000    |
| 0108000 | ACUPUNCTURE FUND               | 108,000       | 501,000       |
| 0111000 | DEPT OF FOOD & AGRICULT. ACCT  | 88,829,000    | 21,023,000    |
| 0115000 | AIR POLLUTION CONTROL FUND     | 40,315,000    | 63,377,000    |
| 0121000 | HOSPITAL BUILDING FUND         | 8,260,000     | 12,934,000    |
| 0122000 | EMERGENCY FOOD FOR FAMILIES FD | 0             | 86,000        |
| 0124000 | CA.AGRI.EXPORT PROMOTION ACCT- | 0             | 5,000         |
| 0129000 | WATER DEVICE CERT SPEC ACC     | 7,000         | 10,000        |
| 0133000 | CALIF BEVERAGE CONTAINER RECYL | 379,073,000   | 323,218,000   |
| 0140000 | ENVIRONMENTL LICENSE PLATE FD  | 11,533,000    | 9,035,000     |

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                        | DECLARATION | REDUCTION  |
|---------|---------------------------------|-------------|------------|
| 0141000 | SOIL CONSERVATION FUND          | 0           | 1,283,000  |
| 0142000 | SEXUAL HABITUAL OFFENDER, DOJ   | 3,782,000   | 3,800,000  |
| 0143000 | CA HEALTH DATA & PLANNING FD    | 3,292,000   | 4,263,000  |
| 0152000 | ST BD OF CHIROPRACTIC EXAMINERS | 314,000     | 510,000    |
| 0158000 | TRAVEL SELLER                   | 56,000      | 56,000     |
| 0159000 | ST TRIAL COURT IMPROV & MODERN  | 2,401,000   | 7,463,000  |
| 0163000 | CONTINUING CARE PROVIDER FEE    | 45,000      | 465,000    |
| 0166000 | CERTIFICATION ACCT-CONS AFF FD  | 0           | 215,000    |
| 0168000 | STRUCTURAL PEST CONTROL         | 16,000      | 12,000     |
| 0169000 | CALIF DEBT LIMIT ALLOC COMM     | 64,000      | 114,000    |
| 0171000 | CALIF DEBT & INVEST ADV COMM    | 234,000     | 259,000    |
| 0172000 | DEV DISABILITIES PROGRAM DEV    | 132,000     | 266,000    |
| 0175000 | DISPENSING OPTICIANS FUND       | 60,000      | 79,000     |
| 0177000 | FOOD SAFETY FUND                | 534,000     | 1,429,000  |
| 0179000 | ENVIRONMENTAL LAB IMPROV FUND   | 284,000     | 682,000    |
| 0181000 | REGISTERED NRSE EDUCATION       | 167,000     | 32,000     |
| 0183000 | ENVIRON ENHANC MIT DEMO PGM FD  | 601,000     | 883,000    |
| 0184000 | EMPLOY DEV DEPT BENEFIT AUDIT   | 6,108,000   | 3,700,000  |
| 0185000 | EMPLOYMT DEV DEPT CONTINGENT    | 20,649,000  | 51,333,000 |
| 0191000 | FAIR AND EXPOSITION FUND        | 239,000     | 562,000    |
| 0193000 | WASTE DISCHARGE PERMIT FUND     | 68,392,000  | 11,039,000 |
| 0194000 | EMERG MED SRVS TRNG PGM APROV   | 10,000      | 0          |
| 0198000 | CA FIRE & ARSON TRAINING FD     | 53,000      | 52,000     |
| 0203000 | GENETIC DISEASE TESTING FUND    | 17,084,000  | 17,727,000 |
| 0207000 | FISH & WILDLIFE POLLUTION ACCT  | 14,000      | 2,000      |
| 0209000 | CA HAZRD LIQUID PIPELINE SAFTY  | 727,000     | 56,000     |
| 0210000 | OUTPATIENT SETTING FUND         | 1,000       | 0          |
| 0213000 | NATIVE SPECIES CONSV & ENHAN    | 8,000       | 0          |
| 0214000 | RESTITUTION FUND                | 10,977,000  | 16,625,000 |
| 0217000 | INSURANCE FUND                  | 7,797,000   | 17,842,000 |
| 0223000 | WORKERS' COMP ADMIN REVOLV FD   | 77,924,000  | 80,482,000 |
| 0226000 | CA TIRE RECYCLING MGMT FUND     | 7,364,000   | 11,075,000 |
| 0228000 | SEC OF STATE'S BUSINESS FEE FD  | 14,581,000  | 8,961,000  |
| 0230000 | CIGARETTE & TOBACCO - ALLOCATE  | 82,213,000  | 0          |
| 0231000 | CIGARET.& TOB./HEALTH EDUCAT.   | 12,578,000  | 13,126,000 |
| 0232000 | CIGARET.& TOB./HOSPITAL SERV.   | 0           | 4,916,000  |
| 0234000 | CIGARET.& TOB./RESEARCH ACCT.   | 2,265,000   | 1,687,000  |
| 0235000 | CIGARET.& TOB./PUBLIC RESOURCE  | 0           | 58,000     |
| 0236000 | CIGARET. &TOB./UNALLOCATED      | 2,000       | 4,168,000  |
| 0239000 | PRIVATE SECURITY SERVS FUND     | 1,324,000   | 2,173,000  |
| 0240000 | LOCAL AGY DEPOSIT SECURITY FD   | 32,000      | 0          |
| 0245000 | MOBILEHOME PARK & SPEC.OCC.RF   | 1,152,000   | 2,270,000  |
| 0247000 | DRINKING WATER OPERATOR CERT    | 165,000     | 174,000    |
| 0256000 | SEXUAL PREDATOR PUBLIC INFO     | 22,000      | 6,000      |
| 0259000 | SUPPLEMENTAL CONTRIB PROG FUND  | 16,000      | 16,000     |
| 0261000 | OFF HIGHWAY LICENSE FEE         | 106,000     | 0          |
| 0263000 | OFF-HIGHWAY VEHICLE TRUST       | 13,425,000  | 20,300,000 |
| 0264000 | OSTEOPATHIC MED BD CONTINGENT   | 141,000     | 371,000    |

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION | REDUCTION   |
|---------|--------------------------------|-------------|-------------|
| 0268000 | PEACE OFFICERS TRAINING        | 43,000      | 0           |
| 0269000 | GLASS PROCESSING FEE ACCOUNT   | 7,329,000   | 11,764,000  |
| 0270000 | TECHNICAL ASSISTANCE FUND      | 2,184,000   | 9,748,000   |
| 0271000 | CERTIFICATION FUND             | 150,000     | 421,000     |
| 0272000 | INFANT BOTULISM TRTMT & PREV   | 1,409,000   | 1,301,000   |
| 0276000 | PENALTY ACCT-CA.BEVERAGE RECYC | 25,000      | 392,000     |
| 0277000 | BIMETAL PROCESSING FEE ACCT    | 149,000     | 9,000       |
| 0278000 | PET PROCESSING FEE ACCT        | 5,703,000   | 9,960,000   |
| 0279000 | CHILD HEALTH & SAFETY FUND     | 238,000     | 1,058,000   |
| 0280000 | PHYSICIAN ASSISTANT FD         | 135,000     | 226,000     |
| 0281000 | RECYCLING MARKET DEV REV LOAN  | 1,028,000   | 2,759,000   |
| 0289000 | HLTH INS COUNSELING/ADVOCACY   | 119,000     | 193,000     |
| 0290000 | PILOT COMMISSIONERS' SPEC FD   | 376,000     | 192,000     |
| 0293000 | MOTOR CARRIER'S SAFETY IMPROV  | 206,000     | 0           |
| 0294000 | REMOVAL/REMEDIAL ACTION ACCT   | 23,000      | 97,000      |
| 0295000 | PODIATRIC MEDICINE FUND        | 64,000      | 151,000     |
| 0298000 | FINANCIAL INSTITUTIONS FUND    | 3,278,000   | 2,990,000   |
| 0299000 | CREDIT UNION FUND              | 897,000     | 920,000     |
| 0300000 | PROFESSIONAL FORESTER REGIS    | 1,000       | 2,000       |
| 0305000 | PRIVATE POSTSECONDARY ED       | 2,138,000   | 2,558,000   |
| 0306000 | SAFE DRINKING WATER ACCOUNT    | 2,575,000   | 2,389,000   |
| 0310000 | PSYCHOLOGY FUND                | 479,000     | 678,000     |
| 0312000 | EMERG MED SVCS PERSONNEL FUND  | 84,000      | 5,000       |
| 0317000 | REAL ESTATE FUND               | 9,908,000   | 9,196,000   |
| 0318000 | COLLINS-DUGAN CCC REIMBR ACCT  | 19,097,000  | 19,050,000  |
| 0319000 | RESPIRATORY CARE FUND          | 195,000     | 500,000     |
| 0320000 | OIL SPILL PREVENTION & ADMIN   | 12,152,000  | 9,664,000   |
| 0321000 | OIL SPILL RESPONSE TRUST FUND  | 16,000      | 0           |
| 0322000 | ENVIRONMENTAL ENHANCEMENT FUND | 0           | 1,000       |
| 0325000 | ELECTRONIC & APPL REPAIR FUND  | 296,000     | 508,000     |
| 0326000 | ATHLETIC COMMISSION FUND       | 171,000     | 256,000     |
| 0328000 | PUB SCHL PLN, DESIGN,CONST REV | 6,930,000   | 7,516,000   |
| 0329000 | VEHICLE LICENSE COLLECTN ACCT  | 4,500,000   | 5,056,000   |
| 0331000 | SALES TAX ACCOUNT              | 230,821,000 | 426,753,000 |
| 0332000 | VEHICLE LICENSE FEE ACCOUNT    | 420,582,000 | 420,580,000 |
| 0334000 | VEHICLE LIC FEE GROWTH ACCT    | 0           | 40,138,000  |
| 0335000 | REGISTERED ENV HLTH SPECLST FD | 111,000     | 20,000      |
| 0336000 | MINE RECLAMATION ACCOUNT       | 5,721,000   | 5,863,000   |
| 0338000 | STRONG-MOTION INSTR/SEIMC MAPS | 893,000     | 3,312,000   |
| 0347000 | SCHOOL LAND BANK FUND          | 0           | 31,000      |
| 0351000 | MENTAL HEALTH SUBACCOUNT-      | 93,379,000  | 93,379,000  |
| 0365000 | HISTORIC PROPERTY MAINT FUND   | 65,000      | 0           |
| 0366000 | INDIAN GAMING REV SHARING TRST | 610,000     | 45,308,000  |
| 0367000 | INDIAN GAMING SPEC DISTRIB FD  | 5,461,000   | 6,801,000   |
| 0376000 | SPEECH-LANGUAGE PATH/AUDIOLOGY | 275,000     | 442,000     |
| 0378000 | ATTORNEY GEN FALSE CLAIMS ACT  | 6,495,000   | 1,267,000   |
| 0381000 | PUB INTEREST R D & D           | 0           | 310,000     |
| 0382000 | RENEWABLE RESOURCE TRUST FUND  | 103,000     | 2,163,000   |

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION | REDUCTION   |
|---------|--------------------------------|-------------|-------------|
| 0386000 | SOLID WASTE DISP CLEANUP TRUST | 72,000      | 126,000     |
| 0387000 | INTEGRATED WASTE MGMT ACCOUNT  | 12,505,000  | 13,965,000  |
| 0392000 | ST PARKS AND RECREATION ACCT   | 38,501,000  | 48,790,000  |
| 0396000 | SELF-INSURANCE PLANS FUND      | 0           | 1,000,000   |
| 0399000 | STRUCTL PEST CONTRL EDU&ENFORC | 49,000      | 9,000       |
| 0400000 | REAL ESTATE APPRAISERS REG FD  | 242,000     | 588,000     |
| 0402000 | SAFE CLEAN WATER SUPPLY        | 0           | 1,000       |
| 0407000 | TEACHER CREDENTIALS            | 1,469,000   | 1,853,000   |
| 0408000 | TEST DEVELOPMENT & ADMIN ACCT  | 925,000     | 1,020,000   |
| 0412000 | TRANSPORTATION RATE            | 0           | 2,000       |
| 0419000 | WATER RECYCLING SUBACCOUNT     | 0           | 60,000      |
| 0421000 | VEHICLE INSPECTION & REPAIR FD | 23,692,000  | 23,270,000  |
| 0429000 | LOCAL JURISDICTION ENGY.ASSIST | 300,000     | 300,000     |
| 0434000 | AIR TOXICS INVENTORY & ASSESMT | 1,137,000   | 1,179,000   |
| 0436000 | UNDERGRD STOR TANK TESTER ACCT | 4,000       | 0           |
| 0439000 | UNDERGRD STOR TANK CLEANUP FD  | 83,686,000  | 113,233,000 |
| 0447000 | WILDLIFE RESTORATION FUND      | 682,000     | 184,000     |
| 0448000 | OCCUPANCY COMPLIANCE MONITOR   | 855,000     | 801,000     |
| 0449000 | WINTER RECREATION FUND         | 1,000       | 30,000      |
| 0452000 | ELEVATOR SAFETY ACCOUNT        | 3,983,000   | 8,734,000   |
| 0453000 | PRESSURE VESSEL ACCOUNT        | 3,532,000   | 3,500,000   |
| 0457000 | TAX CREDIT ALLOC FEE ACCOUNT   | 1,017,000   | 1,257,000   |
| 0458000 | SITE OPERATN & MAINTENANCE ACC | 6,400,000   | 6,409,000   |
| 0460000 | DEALERS RECORD OF SALES SP ACT | 6,668,000   | 6,700,000   |
| 0461000 | PUC TRANSPORTATION REIMB ACCT  | 59,109,000  | 59,143,000  |
| 0462000 | PUC UTILITIES REINBMENT ACCT   | 11,109,000  | 11,617,000  |
| 0464000 | HIGH COST FUND A ADM COMM FD   | 5,296,000   | 6,183,000   |
| 0465000 | ENERGY RESOURCES PROGRAMS A/C  | 72,345,000  | 72,623,000  |
| 0470000 | HIGH-COST FUND-B ADM COMM FD   | 0           | 1,563,000   |
| 0471000 | UNIV LIFELINE TELEP SVC TRST   | 125,261,000 | 146,764,000 |
| 0475000 | UNDERGROUND STORAGE TANK FD    | 100,000     | 100,000     |
| 0478000 | VECTORBORNE DISEASE ACCOUNT    | 304,000     | 313,000     |
| 0479000 | ENRGY TECH RESCH DEV&DEMO ACCT | 3,000,000   | 3,000,000   |
| 0481000 | GARMNT MANUFACTURERS SPECL A/C | 7,600,000   | 7,600,000   |
| 0483000 | DEAF & DISABLED TELECOMM PGM   | 7,456,000   | 7,347,000   |
| 0492000 | STATE ATHLETIC COMM NEURO EXAM | 503,000     | 500,000     |
| 0493000 | TELECONNECT FD - ADM COMM FD   | 15,281,000  | 13,451,000  |
| 0496000 | DEVELOP.DISAB.SERVICE ACCT.    | 100,000     | 100,000     |
| 0497000 | LOCAL GOV'T GEOTHER.RES.REVOL. | 6,400,000   | 6,570,000   |
| 0501000 | CALIFORNIA HOUSING FINANCE     | 264,724,000 | 74,462,000  |
| 0502000 | CALIF WATER RESOURCES DEV BOND | 38,618,000  | 90,327,000  |
| 0506000 | CENTRAL VALLEY WATER PROJ CONS | 28,283,000  | 24,477,000  |
| 0507000 | CENTRAL VALLEY WATER PROJ REV  | 6,479,000   | 190,719,000 |
| 0512000 | ST COMPENSATION INSURANCE FD   | 286,863,000 | 284,184,000 |
| 0514000 | EMPLOYMENT TRAINING FUND       | 417,000     | 8,786,000   |
| 0516000 | HARBORS & WATERCRAFT REVOL FD  | 9,931,000   | 10,261,000  |
| 0518000 | HLTH FAC CONST LOAN INSURANCE  | 42,000      | 0           |
| 0526000 | CA SCHOOL FINANCE AUTHORITY FD | 0           | 27,000      |

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION | REDUCTION   |
|---------|--------------------------------|-------------|-------------|
| 0530000 | MOBILEHM PK REHAB & PURCHASE F | 163,000     | 92,000      |
| 0538000 | SAN FRANCISCO STATE BUILDING   | 0           | 18,903,000  |
| 0539000 | OAKLAND STATE BLDG AUTHORITY   | 0           | 9,268,000   |
| 0557000 | TOXIC SUBSTANCES CONTROL ACCT  | 85,229,000  | 85,371,000  |
| 0558000 | FARM & RANCH SOLID WASTE CLEAN | 3,297,000   | 3,364,000   |
| 0562000 | STATE LOTTERY FUND             | 196,216,000 | 501,262,000 |
| 0564000 | SCHOLARSHARE ADM FUND          | 0           | 143,000     |
| 0566000 | DOJ CHILD ABUSE FUND           | 1,524,000   | 1,535,000   |
| 0567000 | GAMBLING CONTROL FUND          | 205,602,000 | 205,637,000 |
| 0569000 | GAMBLING CONTROL FINES & PENLT | 7,923,000   | 7,930,000   |
| 0576000 | ST UNIV DORMITORY CONSTRUCTN   | 150,000     | 57,691,000  |
| 0582000 | HIGH POLLUTER REPAIR/REMOVAL   | 7,352,000   | 6,859,000   |
| 0585000 | COUNTIES CHILDREN & FAMILIES   | 19,917,000  | 19,917,000  |
| 0587000 | FAMILY LAW TRUST FUND          | 254,000     | 2,000       |
| 0588000 | UNEMPLOYMENT COMP DISABL FUND  | 315,893,000 | 486,368,000 |
| 0592000 | VETS FARM/HOME BUILDING-1943   | 20,774,000  | 91,043,000  |
| 0600000 | VENDING STAND FUND             | 129,000     | 122,000     |
| 0601000 | AGRICULTURE BUILDING FUND      | 35,000      | 51,000      |
| 0604000 | ARMORY FUND                    | 29,000      | 64,000      |
| 0617000 | STATE WTR. POLLUTION CONTROL   | 234,004,000 | 182,415,000 |
| 0623000 | CA CHILDREN/FAMILIES TRUST FD  | 127,235,000 | 24,361,000  |
| 0629000 | SAFE DRINKING WTR ST REVLY FD  | 26,771,000  | 27,910,000  |
| 0631000 | MASS MEDIA COMM ACCT, CHILD/FM | 1,478,000   | 2,207,000   |
| 0634000 | EDUCATION ACCT, CHILD/FAM FRST | 1,261,000   | 549,000     |
| 0636000 | CHILD CARE ACCT, CHILD/FAM FRS | 747,000     | 255,000     |
| 0637000 | RESEARCH DEVEL ACCT            | 748,000     | 186,000     |
| 0638000 | ADMINISTRATION ACCT            | 248,000     | 109,000     |
| 0639000 | UNALLOCATED ACCOUNT            | 567,000     | 649,000     |
| 0641000 | DOMESTIC VIOLENCE RESTRAINING  | 51,000      | 82,000      |
| 0648000 | MOBILEHOME/MFG HOME REV FUND   | 21,589,000  | 9,804,000   |
| 0649000 | CA INFRASTRRT & ECON DEVL BANK | 247,000     | 602,000     |
| 0652000 | OLD AGE/SURVIVORS INSUR REVOLV | 74,000      | 0           |
| 0653000 | SEISMIC RETROFIT BOND 1996     | 0           | 7,000       |
| 0660000 | PUBLIC BUILDINGS CONSTRUCTN    | 34,924,000  | 174,291,000 |
| 0668000 | PUBLIC BLDG CONSTRUCT SUBACCT  | 548,000     | 44,461,000  |
| 0678000 | PRISON INDUSTRIES REVOLV FD    | 25,883,000  | 21,694,000  |
| 0679000 | STATE WATER QUALITY CONTROL    | 1,382,000   | 299,000     |
| 0687000 | DONATED FOOD REVOLVING FUND    | 294,000     | 1,245,000   |
| 0691000 | WATER RESOURCES REVOLVING      | 44,698,000  | 51,656,000  |
| 0698000 | HOME PURCHASE ASSISTANCE FUND  | 1,954,000   | 20,000,000  |
| 0701000 | VETERANS' HOME FUND            | 0           | 1,000       |
| 0702000 | P&V- CONSUMER AFFAIRS FUND     | 21,776,000  | 20,655,000  |
| 0704000 | P&V- ACCOUNTANCY FUND          | 2,814,000   | 2,471,000   |
| 0706000 | ARCHITECTS BOARD FUND, CA      | 476,000     | 502,000     |
| 0707000 | CALIF SAFE DRINKING WATER FUND | 0           | 17,000      |
| 0717000 | CEMETERY AND FUNERAL FUND      | 334,000     | 603,000     |
| 0735000 | P&V- CONTRACTORS' LICENSE FD   | 2,958,000   | 8,993,000   |
| 0737000 | ST CLEAN WTR&WTR CONSERVATION  | 0           | 1,000       |

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MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION   | REDUCTION     |
|---------|--------------------------------|---------------|---------------|
| 0741000 | P&V- DENTISTRY FUND            | 3,931,000     | 2,056,000     |
| 0748000 | FISH/WILDLIFE HABITAT ENHANCMT | 0             | 57,000        |
| 0749000 | REFUNDING ESCROW FUND          | 1,000         | 228,267,000   |
| 0752000 | HOME FURN & THERMAL INSULATION | 1,292,000     | 1,036,000     |
| 0755000 | LICENSED MIDWIFERY FUND        | 7,000         | 1,000         |
| 0756000 | PASSENGER RAIL&CLEAN AIR BD FD | 0             | 12,000        |
| 0757000 | LANDSCAPE ARCHITECTS FUND      | 42,000        | 142,000       |
| 0758000 | MEDICAL BD OF CALIF            | 9,940,000     | 13,747,000    |
| 0759000 | P&V- PHYSICAL THERAPY FUND     | 1,576,000     | 1,006,000     |
| 0761000 | P&V- REGISTERED NURSING FUND   | 10,474,000    | 8,674,000     |
| 0763000 | P&V- OPTOMETRY FUND            | 249,000       | 419,000       |
| 0767000 | P&V- PHARMACY BD CONTINGENT    | 4,176,000     | 4,386,000     |
| 0769000 | PRIVATE INVESTIGATOR FUND      | 31,000        | 173,000       |
| 0770000 | PROF ENGINEER LAND SURV GEO FD | 1,494,000     | 1,411,000     |
| 0771000 | COURT REPORTERS FUND           | 105,000       | 127,000       |
| 0773000 | P&V- BEHAVIORAL SCI EXAMINERS  | 1,922,000     | 2,264,000     |
| 0775000 | P&V- STRUCTURAL PEST CONTROL   | 1,012,000     | 566,000       |
| 0777000 | VETERINARY MEDICAL BD CONTG FD | 237,000       | 565,000       |
| 0779000 | VOCATNL NURSING/PSYCH TECH FD  | 2,439,000     | 2,543,000     |
| 0786000 | WILDLIFE,COAST&PARK CONS FD 88 | 0             | 10,000        |
| 0803000 | STATE CHILDREN'S TRUST FUND    | 0             | 94,000        |
| 0813000 | SELF-HELP HOUSING FUND         | 0             | 200,012,000   |
| 0814000 | CA STATE LOTTERY EDUCATION     | 3,692,000     | 5,000         |
| 0815000 | JUDGES RETIREMENT FUND         | 18,755,000    | 19,628,000    |
| 0820000 | LEGISLATORS RETIREMENT FUND    | 637,000       | 175,000       |
| 0821000 | FLEXSELECT BENEFIT FUND        | 1,953,000     | 2,012,000     |
| 0822000 | PUBLIC EMPLOYEES HEALTH CARE   | 328,755,000   | 355,114,000   |
| 0829000 | HEALTH PROFESSIONS EDUC FUND   | 110,000       | 113,000       |
| 0830000 | PUBLIC EMPLOYEES' RETIREMENT   | 2,817,186,000 | 1,048,212,000 |
| 0833000 | ANNUITANTS' HEALTH CARE COV FD | 99,212,000    | 105,068,000   |
| 0834000 | MEDI-CAL INPATIENT PMT ADJ FD  | 19,250,000    | 18,652,000    |
| 0835000 | TEACHERS RETIREMENT            | 189,816,000   | 195,647,000   |
| 0840000 | CA MOTORCYCLIST SAFETY FD      | 96,000        | 211,000       |
| 0849000 | REPLACEMENT BENEFIT CUST. FD   | 3,553,000     | 2,527,000     |
| 0853000 | PETROLEUM VIOLATION ESCROW ACC | 0             | 480,000       |
| 0865000 | MENTAL HEALTH MANAGED CARE DP  | 402,000       | 0             |
| 0872000 | STATE HOSPITAL ACCOUNT,        | 15,600,000    | 16,112,000    |
| 0884000 | JUDGE RETIREMENT SYSTEM II FD  | 2,485,000     | 4,410,000     |
| 0886000 | SENIORS SPECIAL FD,CALIFORNIA  | 65,000        | 43,000        |
| 0904000 | CA HEALTH FACILITIES FIN AUTH  | 1,381,000     | 1,110,000     |
| 0908000 | SCHOOL EMPLOYEES FUND          | 1,203,000     | 2,015,000     |
| 0910000 | CONDEMNATION DEPOSITS FUND     | 3,264,000     | 41,011,000    |
| 0911000 | EDUC FACILITIES AUTHORITY      | 75,000        | 44,000        |
| 0914000 | BAY FILL CLEAN-UP ABATMENT     | 78,000        | 0             |
| 0915000 | DEFERRED COMPENSATION PLAN FD  | 1,658,000     | 235,000       |
| 0917000 | INMATES' WELFARE FUND          | 4,342,000     | 4,682,000     |
| 0918000 | SMALL BUSINESS EXPANSION       | 0             | 139,000       |
| 0920000 | LITIGATION DEPOSIT FUND        | 50,445,000    | 56,724,000    |

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION | REDUCTION   |
|---------|--------------------------------|-------------|-------------|
| 0927000 | J SERNA FARMWORKER HOUSNG GRNT | 226,000     | 15,000      |
| 0928000 | FOREST RESOURCES IMPROV FUND   | 1,207,000   | 1,424,000   |
| 0929000 | HOUSING REHABILITATION LOAN    | 2,060,000   | 9,609,000   |
| 0930000 | POLLUTN CONTL FINANCING AUTH   | 12,000      | 315,000     |
| 0932000 | TRIAL COURT TRUST FUND         | 209,039,000 | 229,077,000 |
| 0933000 | MANAGED CARE FUND              | 39,230,000  | 11,082,000  |
| 0938000 | RENTAL HOUSING CONSTRUCTN FD   | 2,000       | 0           |
| 0942000 | SPECIAL DEPOSIT FUND           | 39,715,000  | 47,957,000  |
| 0943000 | LAND BANK FUND                 | 2,079,000   | 22,000      |
| 0948000 | CAL ST UNIV & COLL TRUST FUND  | 829,542,000 | 908,479,000 |
| 0950000 | PUB EMPLOY CONTINGENCY RESRV   | 663,685,000 | 768,407,000 |
| 0952000 | STATE PARK CONTINGENT          | 1,048,000   | 912,000     |
| 0960000 | STUDENT TUITION RECOVERY FUND  | 0           | 264,000     |
| 0965000 | TIMBER TAX                     | 2,580,000   | 0           |
| 0972000 | MANUFACTURED HOME RECOVERY FD  | 14,000      | 18,000      |
| 0980000 | PREDEVELOPMENT LOAN FUND       | 250,000     | 12,000      |
| 0985000 | EMERGENCY HOUSING & ASST FUND  | 0           | 141,000     |
| 1008000 | FIREARMS SAFETY/ENFRMNT SPCL   | 1,976,000   | 1,274,000   |
| 3002000 | ELECTRICIAN CERTIF FUND        | 232,000     | 1,000       |
| 3004000 | GARMENT INDUSTRY REGULATIONS   | 4,000       | 1,000,000   |
| 3010000 | PIERCE'S DISEASE MGMT ACCOUNT  | 500,000     | 1,939,000   |
| 3015000 | GAS CONSUMPTION SURCHARGE FUND | 98,736,000  | 109,568,000 |
| 3016000 | MISSING PERSONS DNA DATA BASE  | 461,000     | 192,000     |
| 3017000 | OCCUPATIONAL THERAPY FUND      | 87,000      | 336,000     |
| 3018000 | DRUG AND DEVICE SAFETY FUND    | 598,000     | 533,000     |
| 3022000 | APPRENTICESHIP TRNG CONTRIB FD | 4,418,000   | 6,603,000   |
| 3023000 | MIC MANUFACTURER REBATE FUND   | 18,660,000  | 16,811,000  |
| 3025000 | ABANDONED MINE RECLAMATION     | 0           | 4,000       |
| 3033000 | CALIF.MEMORIAL SCHOLARSHIP FD  | 0           | 63,000      |
| 3037000 | STATE COURT FACILITIES CONST F | 17,559,000  | 13,828,000  |
| 3039000 | DENTALLY UNDERSERVED ACCOUNT   | 35,000      | 70,000      |
| 3042000 | VICTIMS OF CORP FRAUD COMP FD  | 52,000      | 127,000     |
| 3046000 | OIL, GAS, AND GEOTHERMAL ADMIN | 16,959,000  | 17,061,000  |
| 3053000 | PUBLIC RHTS LAW ENFRMNT SPEC   | 4,711,000   | 1,300,000   |
| 3056000 | SAFE DRKNG MATR & TOXIC ENFORC | 599,000     | 351,000     |
| 3057000 | DAM SAFETY FUND                | 2,297,000   | 3,357,000   |
| 3058000 | WATER RIGHTS FUND              | 24,448,000  | 2,137,000   |
| 3060000 | APPELLATE COURT TRUST FUND     | 1,164,000   | 626,000     |
| 3062000 | ENY FAC LICENSE AND COMPL FD   | 355,000     | 1,050,000   |
| 3064000 | MENTAL HLTH PRACTITIONER ED FD | 75,000      | 1,000       |
| 3065000 | ELEC WSTE RCVRY RCYLG ACCT     | 13,769,000  | 12,373,000  |
| 3066000 | COURT FACILITIES TRUST FUND    | 30,534,000  | 24,822,000  |
| 3067000 | CIGARETTE TOBACCO PRODS COMPL  | 1,933,000   | 878,000     |
| 3068000 | VOCATIONAL NURSE EDUCATION FD  | 22,000      | 21,000      |
| 3069000 | NATUROPATHIC DOCTOR'S FUND     | 23,000      | 81,000      |
| 3071000 | CAR WASH WORKER RESTITUTION FD | 23,000      | 0           |
| 3072000 | CAR WASH WORKER FUND           | 23,000      | 0           |
| 3074000 | MEDICAL MARIJUANA PROGRAM FUND | 1,000       | 44,000      |

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION   | REDUCTION     |
|---------|--------------------------------|---------------|---------------|
| 3079000 | CHILDRENS MED SERVICES REBATE  | 17,518,000    | 4,932,000     |
| 3080000 | AIDS DRUG ASSIST PGM REBATE    | 93,679,000    | 75,089,000    |
| 3081000 | CANNERY INSPECTION FUND        | 332,000       | 109,000       |
| 3084000 | ST CERTIFIED UNIFIED PGM AGY   | 7,000         | 1,000         |
| 3085000 | MENTAL HEALTH SERVICES FUND    | 146,134,000   | 84,206,000    |
| 3086000 | DNA IDENTIFICATION FUND        | 2,309,000     | 3,278,000     |
| 3087000 | UNFAIR COMPETITION LAW FUND    | 1,200,000     | 3,507,000     |
| 3088000 | REGISTRY OF CHARITABLE TRUSTS  | 654,000       | 175,000       |
| 3089000 | PUC PUBLIC ADVOCATES OFFICE AC | 3,200,000     | 3,602,000     |
| 3097000 | PRIVATE HOSPITAL SUPPLEMENTAL  | 1,000,000     | 56,474,000    |
| 3098000 | DPH LICENSING & CERT PGM FUND  | 17,423,000    | 18,748,000    |
| 3100000 | DWR ELECTRIC POWER FUND        | 138,501,000   | 72,482,000    |
| 3101000 | ANALYTICAL LABORATORY ACCOUNT  | 0             | 18,000        |
| 3103000 | HATCHERY AND INLAND FISHERIES  | 852,000       | 4,898,000     |
| 3108000 | PROFESSIONAL FIDUCIARY FUND    | 52,000        | 80,000        |
| 3109000 | NATURAL GAS SUBACCT, PIRD&D    | 2,088,000     | 4,317,000     |
| 3114000 | BIRTH DEFECTS MONITORING PGM   | 148,000       | 436,000       |
| 3117000 | ALTERNATIVE & RENEWABLE FUEL   | 16,559,000    | 29,867,000    |
| 3119000 | AIR QUALITY IMPROVEMENT FUND   | 7,909,000     | 4,223,000     |
| 3121000 | OCCUPATIONAL SAFETY & HEALTH   | 18,930,000    | 20,906,000    |
| 3122000 | ENHANCED FLEET MODERNIZATION   | 5,185,000     | 3,845,000     |
| 3133000 | MANAGED CARE ADMIN FINES FUND  | 420,000       | 0             |
| 3137000 | EMERGENCY MEDICAL TECH CERT    | 112,000       | 0             |
| 3138000 | IMMEDIATE & CRITICAL NEEDS     | 15,018,000    | 8,273,000     |
| 3140000 | STATE DENTAL HYGIENE FUND      | 88,000        | 313,000       |
| 3141000 | CA ADVANCED SERVICES FUND      | 4,596,000     | 1,750,000     |
| 3142000 | STATE DENTAL ASSISTANT FUND    | 119,000       | 332,000       |
| 3145000 | UST ORPHAN SITE CLEANUP FUND   | 0             | 6,000         |
| 3147000 | SMALL COMMUNITY GRANT FUND     | 293,000       | 580,000       |
| 3150000 | STATE PUBLIC WORKS ENFORCEMENT | 8,585,000     | 1,502,000     |
| 3152000 | LABOR ENFORCEMENT & COMPLIANCE | 10,506,000    | 13,852,000    |
| 3153000 | HORSE RACING FUND              | 1,088,000     | 1,444,000     |
| 3156000 | CHILDREN'S HEALTH & HUMAN SVCS | 16,700,000    | 16,700,000    |
| 3158000 | HOSPITAL QUALITY ASSURANCE REV | 986,091,000   | 1,071,298,000 |
| 3160000 | WASTEWATER OPERATOR CERT FUND  | 36,000        | 90,000        |
| 3167000 | SKILLED NURSING FAC QUALITY &  | 90,000        | 188,000       |
| 3168000 | EMER MED AIR TRAN CHILD COVER  | 1,182,000     | 706,000       |
| 3175000 | CALIFORNIA HEALTH TRUST FUND   | 65,321,000    | 68,979,000    |
| 3205000 | APPLIANCE EFFICIENCY ENFORCE   | 408,000       | 647,000       |
| 3209000 | OFFICE PATIENT ADVOCATE TRUST  | 1,408,000     | 237,000       |
| 3210000 | DAVIS-DOLWIG ACCOUNT           | 1,000,000     | 1,000,000     |
| 3211000 | ELECTRIC PROG INVEST CHRQ FD   | 3,997,000     | 10,304,000    |
| 3228000 | GREENHOUSE GAS REDUCTION FD    | 1,649,023,000 | 1,106,746,000 |
| 3237000 | COST OF IMPLEMENTATION ACCT    | 951,000       | 4,752,000     |
| 3238000 | ST PARKS REV INCENTIVE SUBACCT | 0             | 11,000        |
| 3240000 | SECONDHAND DEALER & PAWNR FD   | 96,000        | 24,000        |
| 3244000 | PDATA FUND                     | 14,000        | 21,000        |
| 3252000 | CURES FUND                     | 280,000       | 0             |

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MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND    | FUNDNAME                       | DECLARATION | REDUCTION   |
|---------|--------------------------------|-------------|-------------|
| 3254000 | BUSINESS PROGRAMS MODERN       | 52,000      | 263,000     |
| 3255000 | HOME CARE FUND                 | 398,000     | 1,434,000   |
| 3261000 | VESSEL OPERATOR CERTIFICATION  | 12,000      | 0           |
| 3263000 | COLLEGE ACCESS TAX CREDIT FUND | 216,000     | 0           |
| 3268000 | PROPERTY TAX POSTPONEMENT FUND | 411,000     | 1,577,000   |
| 3285000 | ELECTRONIC RECORDING AUTH FUND | 16,000      | 9,000       |
| 3288000 | CANNABIS CONTROL FUND          | 822,000     | 13,434,000  |
| 3290000 | ROAD MAINT AND REHAB ACCT      | 261,507,000 | 197,688,000 |
| 3291000 | TRADE CORRIDOR ENHANCE ACT     | 44,509,000  | 2,400,000   |
| 3297000 | MAJOR LEAGUE SPORT EVENT RAFFL | 454,000     | 36,000      |
| 3301000 | LEAD-ACID BATTERY CLEANUP FUND | 1,225,000   | 1,000       |
| 3303000 | AMMUN SAFE AND ENFORCEMENT FD  | 104,000     | 1,180,000   |
| 3317000 | BUILDING HOMES AND JOBS TRUST  | 59,000      | 903,000     |
| 3320000 | JUSTICE TOBACCO TAX ACT        | 34,094,000  | 795,000     |
| 3330000 | TNC ACCESS FOR ALL FUND        | 5,000       | 0           |
| 6000000 | PUBLIC LIBRARY CONSTR/RENOV FD | 0           | 2,000       |
| 6001000 | SAFE DRNKNG CLN MTR MTRSHD PRO | 51,000      | 2,188,000   |
| 6029000 | CA CLEAN WATER/AIR SAFE NBRHD  | 103,000     | 2,603,000   |
| 6031000 | MTR SEC,CLN DRNKG MR, CST BCH  | 1,763,000   | 3,677,000   |
| 6032000 | VOTING MODERNIZATION FUND      | 2,000       | 2,000       |
| 6036000 | ST SCHOOL FACILITIES FD 2002   | 167,000     | 0           |
| 6037000 | HOUSING/EMER SHELTER TRUST FD  | 0           | 54,000      |
| 6041000 | HIGH ED CAP OUTLAY BD FD, 2004 | 17,000      | 18,000      |
| 6043000 | HIGH-SPEED PASSENGER TRAIN     | 175,000     | 203,516,000 |
| 6044000 | SCHOOL FACILITIES FD, 2004 ST  | 175,000     | 58,000      |
| 6047000 | STEM CELL RESEARCH AND CURES   | 121,000     | 10,895,000  |
| 6048000 | 2006 UNIV CAP OUTLAY BD FD     | 0           | 1,000       |
| 6049000 | 2006 CA COMM COLLEGE BD FD     | 0           | 1,863,000   |
| 6051000 | SAFE DRINKING WATER OF 2006    | 328,000     | 13,406,000  |
| 6052000 | DISASTR & FLOOD BND FD OF 2006 | 928,000     | 13,718,000  |
| 6053000 | HNY SFTY, TRAFFIC RED FD 2006  | 23,449,000  | 29,558,000  |
| 6057000 | 2006 STATE SCHOOL FAC FUND     | 3,254,000   | 197,000     |
| 6066000 | HOUSING/EMERGENCY SHELTER FD   | 0           | 1,824,000   |
| 6079000 | CHILDREN'S HOSPITAL BOND ACT   | 0           | 39,464,000  |
| 6082000 | HOUSING FOR VETERANS FUND      | 0           | 17,116,000  |
| 6083000 | MTR QLTY, SUPY & INFRSTR IMPV  | 635,000     | 43,742,000  |
| 6084000 | NO PLACE LIKE HOME FUND        | 0           | 180,795,000 |
| 6086000 | 2016 STATE SCHOOL FACILITIES   | 0           | 107,682,000 |
| 6087000 | COMM COLL CAP OTLY BD, 2016 CA | 0           | 1,535,000   |
| 6088000 | DROUGHT MTR PRK CLMT CSTL OTDR | 0           | 7,181,000   |
| 8001000 | TEACHERS' HEALTH BENEFITS FD   | 2,279,000   | 2,264,000   |
| 8004000 | CHILD SUPPORT COLLECT RCVRY FD | 11,177,000  | 10,762,000  |
| 8014000 | CA PHARM SCHOLRSP/LOAN PGM FD  | 2,000       | 0           |
| 8026000 | PETRO UNDERGROUND STOR TK FIN  | 1,321,000   | 36,000      |
| 8029000 | COASTAL TRUST FUND             | 0           | 50,000      |
| 8031000 | CHILD SUPPORT PAYMENT TRUST FD | 82,102,000  | 70,148,000  |
| 8034000 | MEDICALLY UNDERSERVED PHYSICNS | 176,000     | 2,000       |
| 8041000 | TEACHERS' DEFERRED COMP FUND   | 320,000     | 59,000      |

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20191201 TO 20191231

| FUND         | FUNDNAME                       | DECLARATION           | REDUCTION             |
|--------------|--------------------------------|-----------------------|-----------------------|
| 8047000      | CALIFORNIA SEA OTTER FUND      | 0                     | 30,000                |
| 8048000      | ST. VET CEMET. FRT ORD ENDMNT  | 5,000                 | 268,000               |
| 8049000      | VISION CARE PGM, STATE ANNU FD | 3,778,000             | 1,834,000             |
| 8062000      | POOLED SELF-INSURANCE FUND     | 55,000                | 796,000               |
| 8075000      | SCHOOL SUPPLIES FOR HOMELESS   | 0                     | 17,000                |
| 8101000      | CA ABLE ADMINISTRATIVE FUND    | 1,000                 | 50,000                |
| 8109000      | VETS HOME MORALE WEL REC SP FD | 175,000               | 220,000               |
| 8111000      | SEC CHOICE RETIRE SAVE ADMN FD | 0                     | 121,000               |
| 9250000      | BOXERS' PENSION FUND           | 23,000                | 10,000                |
| 9251000      | CA EMPLOYER PENSION TRUST FUND | 200,000               | 200,000               |
| 9331000      | HIGH-SPEED RAIL PROPERTY FUND  | 292,000               | 23,000                |
| 9332000      | CA ALTERNATIVE ENERGY AUTH FD  | 584,000               | 381,000               |
| 9728000      | JUDICIAL BRANCH WORKERS' COMP  | 0                     | 1,342,000             |
| 9730000      | TECHNOLOGY SRVS REVOLVING FUND | 20,419,000            | 38,795,000            |
| 9731000      | LEGAL SERVICES REVOLVING FUND  | 21,863,000            | 22,707,000            |
| 9733000      | COURT FACILITIES ARCHITECT REV | 0                     | 2,350,000             |
| 9739000      | WATER POLL CONTROL RVLVG FUND  | 1,119,000             | 2,145,000             |
| 9740000      | CENTRAL SERVICE COST RECOVERY  | 0                     | 27,549,000            |
| 9741000      | ENERGY EFFICIENT STATE PROPRTY | 55,000                | 4,212,000             |
| <b>TOTAL</b> |                                | <b>17,304,024,000</b> | <b>17,611,872,000</b> |

## SMIF REQUESTS

There are no SMIF requests this month.

# NEW COMMERCIAL PAPER ISSUERS

## **Pooled Money Investment Board Meeting**

Proposed Commercial Paper Issuers

January 15, 2020

The State Treasurer's Office Investment Division staff recommends the PMIB approve the following new Commercial Paper programs as authorized investments for the Pooled Money Investment Account (PMIA):

### **Natixis**

Natixis provides corporate and institutional clients with financial products and services from traditional wholesale lending to capital market activities, asset management and private equity. Natixis is also an active Yankee Certificate of Deposit issuer that was added as an authorized PMIA investment last year.

The Natixis Commercial Paper Program is a \$15 billion program and is highly rated at **P-1/A-1/F1** by Moody's, S&P, and Fitch, respectively.

### **Versailles Commercial Paper LLC**

Versailles Commercial Paper LLC is an asset-backed commercial paper program established in 2006 and is administered by Natixis. Versailles provides financial and investment services in the banking industry. Versailles has a programwide credit enhancement and its credit quality reflects the strong relationship with Natixis, who fully supports Versailles Commercial Paper LLC.

The Versailles Commercial Paper Program is a \$20 billion program and is highly rated at **P-1/A-1/F1** by Moody's, S&P, and Fitch, respectively.

Adding these two programs as authorized investments for the PMIA would provide additional diversity and flexibility in Prime investment options at competitive yields.

# LOAN REQUESTS

**POOLED MONEY INVESTMENT BOARD**  
**January 15, 2020**  
**Staff Report – Agenda Items 7.a - c.**

**PMIA LOAN RECOMMENDATIONS**

- 1. Loan Renewal Requests.** Staff recommends approval of the two loan renewal requests (Items 7.a & 7.b) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Items 7.a & 7.b reflect increases necessary to continue construction as well as to pay accrued interest and administrative costs.
  
- 2. New Loan Request.** Staff recommends approval of the following new loan request:
  - Item 7.c      Department of Corrections & Rehabilitation - \$9,507,000**  
SPWB Lease Revenue Bonds  
Orange County Juvenile Project  
Loan proceeds will be used to begin construction.

**Impact on the Pool.** The above loan requests are in compliance with the current PMIA Loan Policy and, if approved, will result in an increase to the outstanding loans balance by \$11,312,000.

**Exhibit A**

POOLED MONEY INVESTMENT BOARD  
 PMIA LOAN REQUESTS  
 For the January 15, 2020 PMIB Meeting

| Agenda Item                  | New or Renewal | Old Loan No. | New Loan No. | Fund No. | Department/Program   | [a]                                  | [b]                     | [c]                              | [d]  | [e]                                     | [f]                                     | [g]  | [h]                |
|------------------------------|----------------|--------------|--------------|----------|--|--------------------------------------|-------------------------|----------------------------------|--|---|---|--|--------------------|
|                              |                |              |              |          |  | New Loan Amount per Loan Application | Impact on the Pool      | Original Amount of Existing Loan | Impact on the Pool                           | Loan Paydown                            | Current Loan Amount Outstanding (b - d) | STO Loan Recommendations                         | Impact on the Pool |
|                              |                |              |              |          |  |                                      |                         |                                  | Amount of loan paydown (since previous loan) | Current Loan Amount Outstanding (b - d) | Recommended Adjusted Loan Amount        | Impact on the Pool (for recommended loan amount) |                    |
| <b>LOAN RENEWAL REQUESTS</b> |                |              |              |          |  |                                      |                         |                                  |  |   |   |  |                    |
| a                            | Renewal        | 1890017      | 1900013      | 0660587  | <b>Department of Corrections and Rehabilitation<br/>SPWB Lease Revenue Bonds</b><br>Monterey County Juvenile Facility Project    | \$ 33,875,000.00                     | \$ 33,297,000.00        | \$ 578,000.00                    | N/A  | N/A                                     | \$ 33,875,000.00                        | \$ 578,000.00                                    | BF                 |
| b                            | Renewal        | 1900007      | 1900014      | 0660552  | <b>Department of Forestry and Fire Protection<br/>SPWB Lease Revenue Bonds</b><br>Westwood Forest Fire Station: Replace Facility | \$ 8,353,000.00                      | \$ 7,126,000.00         | \$ 1,227,000.00                  | N/A  | N/A                                     | \$ 8,353,000.00                         | \$ 1,227,000.00                                  | BF                 |
| <b>NEW LOAN REQUEST</b>      |                |              |              |          |  |                                      |                         |                                  |  |   |   |  |                    |
| c                            | New            | N/A          | 1900015      | N/A      | <b>Department of Corrections and Rehabilitation<br/>SPWB Lease Revenue Bonds</b><br>Orange County Juvenile Project               | \$ 9,507,000.00                      | \$ -                    | \$ 9,507,000.00                  | N/A  | N/A                                     | \$ 9,507,000.00                         | \$ 9,507,000.00                                  | BF                 |
|                              |                |              |              |          |  | <b>\$ 51,735,000.00</b>              | <b>\$ 40,423,000.00</b> | <b>\$ 11,312,000.00</b>          | <b>\$ -</b>                                  | <b>\$ -</b>                             | <b>\$ 51,735,000.00</b>                 | <b>\$ 11,312,000.00</b>                          |                    |

Total amount of outstanding PMIA loans as of December 31, 2019  
 Impact on the Pool from this meeting's actions  
 Outstanding PMIA loans after this meeting's actions

|  | <u>Requested</u>        | <u>Recommended</u>      |
|--|-------------------------|-------------------------|
|  | \$ 605,929,000.00       | \$ 605,929,000.00       |
|  | <u>\$ 11,312,000.00</u> | <u>\$ 11,312,000.00</u> |
|  | \$ 617,241,000.00       | \$ 617,241,000.00       |

**State of California -- State Treasurer's Office**

**STO 1025 (Rev. 4/2015).**

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

**LOAN REQUEST**  
New Loan  Renewal

FOR STO USE ONLY  
PMIB NO. **1900013**

*33,849,000*  
*33,885,000*

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**  
(Completed by Requesting Department)

|  |  |  |   |
|--|--|--|---|
| Department Name:<br><b>Corrections and Rehabilitation</b>  |  | Total Project Appropriation:<br><b>\$294,101,545.00</b>                          | Amount Requested:<br><b>\$33,887,000 - 33,885,000</b> |
| Appropriation(s) to be Funded:<br><b>SB 81, Ch. 175/07 (as amended)<br/>5225-801-0660, 61.01.132<br/>Local Youthful Offender Rehabilitative Facilities</b> |  | <b>Welfare and Institutions Code, Ch. 1.5,<br/>Article 3, Sections 1970-1978</b> | Date Funds Needed:<br><b>1/15/2020</b>                |
| Department Contact:<br><b>Michelle Weaver</b>  | Title:<br><b>Associate Director</b>  | Phone:<br><b>916-255-2239</b>  |   |
| Department Director's Name (please print):<br><i>Dean L. Bois</i>  | Signature:<br><i>Dean L. Bois</i>  | Date:<br><b>12/4/19</b>  |   |
| Name of SPWB Project:<br><b>Monterey County Juvenile Project, 60.01.830</b>  | Project Contact:<br><b>Michael Potter</b>  | Phone:<br><b>916-255-2158</b>  |   |
| SCO Fund # (for renewals only):<br><b>0660-587</b>   | Phase of Project (select all that apply):<br><input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B | Estimated Project Completion Date:<br><b>12/4/2020</b>                           |   |

**Use of Loan Proceeds:**

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

|  |  |
|--|--|
| <input type="checkbox"/> To pay vendors directly?                | <input checked="" type="checkbox"/> To reimburse local government for vendor payments? |
| <input type="checkbox"/> To make advances to other state funds*? | <input type="checkbox"/> To make advances to State Architect Revolving Fund*?          |

\*If Yes, specify :
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate "due diligence" memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

| Date Action(s) Taken | Type of Authorization  |
|----------------------|--|
| <b>5/13/2016</b>     | <input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale |
|                      | <input type="checkbox"/> Loan <input type="checkbox"/> Sale            |
|                      | <input type="checkbox"/> Loan <input type="checkbox"/> Sale            |

**PART II. RECOMMENDATION AND CONDITIONS**  
(Completed by Public Finance Division of the State Treasurer's Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 33,297,000.00 to be used to pay/reduce existing PMIB loan # 1890017

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**  
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
 PMIB NO.  
**1900013**

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

**Total Project Appropriation: \$294,101,545.00**      **Proposed Funding Date: 1/15/2020**  
**Loan Amount: ~~\$33,887,000.00~~ ~~\$33,885,000~~ **33,875,000****

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)**       **State Building Construction Act**       **Other, specify:** \_\_\_\_\_

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 5/13/2016, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
**Corrections and Rehabilitation**  
 Signature: Department Director  
  
 Date  
**12/4/19**

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director  
  
 Date  
**12/10/19**

| POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION   |     |      |
|---|-----|------|
| The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows: |     |      |
| MEMBER  | AYE | NO   |
| Treasurer of the State of California  |     |      |
| Controller of the State of California   |     |      |
| Director of Finance of the State of California  |     |      |
| Conditions (if applicable):   |     |      |
| Signature: Executive Secretary of the Pooled Money Investment Board   |     | Date |

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation  
 Project Name: Monterey County Juvenile Project  
 Date: 12/4/2019

**Loan Amount <sup>(1)</sup>: \$ 33,875,000**

Estimated Project  
 Completion Date: \_\_\_\_\_

|                                      |           |                                 |     |
|--------------------------------------|-----------|---------------------------------|-----|
| Estimated Phase<br>Completion Dates: | N/A       | Acquisition (A)                 |     |
|                                      | 7/13/2015 | Prelim Plans (PP)               | N/A |
|                                      | 4/5/2016  | Work Draw (WD)                  | N/A |
|                                      | 12/4/2020 | Construction (C) <sup>(2)</sup> |     |
|                                      | N/A       | Equipment (E)                   |     |

Performance Criteria  
& Concept Drawings  
(PCCD)  
Design-Build (D-B) <sup>(2)</sup>

|    | Month and Year                | Actual Project Disbursements | Project Disbursements for the Next 12 Months | Cumulative Disbursements | Phase of Project |
|----|-------------------------------|------------------------------|--|--------------------------|------------------|
|    | Prior Loan(s)                 | 19,361,904.00                |  | 19,361,904               |                  |
|    | Current Loan                  | 5,789,461.00                 |  | 25,151,365               |                  |
|    | Prior Interest                | 22,164.81                    |  | 25,173,530               |                  |
|    | Current Interest              | 576,672.20                   |  | 25,750,202               |                  |
|    | Administrative <sup>(3)</sup> | 25,950.00                    | 10,000.00                                    | 25,786,152               |                  |
| 1  | Feb-20                        |                              | 7,323,417.00                                 | 33,109,569               | C                |
| 2  | Mar-20                        |                              | -  | 33,109,569               | C                |
| 3  | Apr-20                        |                              | -  | 33,109,569               | C                |
| 4  | May-20                        |                              | -  | 33,109,569               | C                |
| 5  | Jun-20                        |                              | -  | 33,109,569               | C                |
| 6  | Jul-20                        |                              | 387,609.00                                   | 33,497,178               | C                |
| 7  | Aug-20                        |                              | -  | 33,497,178               | C                |
| 8  | Sep-20                        |                              | -  | 33,497,178               | C                |
| 9  | Oct-20                        |                              | -  | 33,497,178               | C                |
| 10 | Nov-20                        |                              | -  | 33,497,178               | C                |
| 11 | Dec-20                        |                              | 377,609.00                                   | 33,874,787               | C                |
| 12 | Jan-21                        |                              | -  | 33,875,000               | C                |

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. **1900014**

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**  
(Completed by Requesting Department)

|  |  |   |  |
|--|--|---|--|
| Department Name:<br><b>Forestry and Fire Protection</b>                        |  | Total Project Appropriation:<br><b>\$9,140,000.00</b>                         | Amount Requested:<br><b>\$8,353,000.00</b>             |
| Appropriation(s) to be Funded:<br>Ch. 171/2007<br>Ch. 25/2014<br>Ch. 29/2018   |  | Item: 3540-301-0660 (4)<br>Item: 3540-301-0660 (4)<br>Item: 3540-301-0660 (2) | Date Funds Needed:<br><b>1/17/2020</b>                 |
| Department Contact:<br><b>Belinda Swackhammer</b>                              |  | Title:<br><b>Capital Outlay Associate Analyst</b>                             | Phone:<br><b>(916) 322-1205</b>                        |
| Department Director’s Name (please print):<br><b>ANTHONY P. FAURO</b>          |  | Signature:<br><i>Anthony P. Fauro</i>   | Date:<br><b>11/19/19</b>                               |
| Name of SPWB Project:<br><b>Westwood Forest Fire Station: Replace Facility</b> |  | Project Contact:<br><b>Rebecca Beauchene, PD</b>                              | Phone:<br><b>(916) 445-4627</b>                        |
| SCO Fund # (for renewals only):<br><b>0660 552</b>                             | Phase of Project (select all that apply):<br><input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B |   | Estimated Project Completion Date:<br><b>8/31/2020</b> |

Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

|  |  |
|--|--|
| <input checked="" type="checkbox"/> To pay vendors directly?                           | <input type="checkbox"/> To reimburse local government for vendor payments?  |
| <input type="checkbox"/> To make advances to other state funds*?<br>*If Yes, specify : | <input checked="" type="checkbox"/> To make advances to State Architect Revolving Fund*?<br>*If Yes, specify: DGS-PMB Project No. 115660 |

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No

3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

| Date Action(s) Taken | Type of Authorization   |
|----------------------|---|
| 7/13/2007            | <input checked="" type="checkbox"/> Loan <input checked="" type="checkbox"/> Sale |
| 11/13/2017           | <input checked="" type="checkbox"/> Loan <input checked="" type="checkbox"/> Sale |
| 10/21/2019           | <input checked="" type="checkbox"/> Loan <input checked="" type="checkbox"/> Sale |

**PART II. RECOMMENDATION AND CONDITIONS**  
(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ **7,126,000** to be used to pay/reduce existing PMIB loan # **1900007**

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**  
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.  
**1900014**

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

**Total Project Appropriation: \$9,140,000.00** **Proposed Funding Date: 1/17/2020**  
**Loan Amount: \$8,353,000.00**

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)       State Building Construction Act       Other, specify: \_\_\_\_\_

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 7/13/2007, 11/13/2017, 10/21/2019

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
**Forestry and Fire Protection**  
Signature: Department Director *Anthony P. Fano* Date 4/19/19

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director *Andrea Spitzer* Date 11/28/19

| POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION   |     |      |
|---|-----|------|
| The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows: |     |      |
| MEMBER  | AYE | NO   |
| Treasurer of the State of California  |     |      |
| Controller of the State of California   |     |      |
| Director of Finance of the State of California  |     |      |
| Conditions (if applicable):   |     |      |
| Signature: Executive Secretary of the Pooled Money Investment Board   |     | Date |

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Forestry and Fire Protection

Project Name: Westwood Forest Fire Station: Replace Facility

Date: 11/18/2019

**Loan Amount <sup>(1)</sup>: \$ 8,353,000**

Estimated Project  
 Completion Date: 8/31/2020

|                                      |                   |                                 |          |  |
|--------------------------------------|-------------------|---------------------------------|----------|--|
| Estimated Phase<br>Completion Dates: | <u>N/A</u>        | Acquisition (A)                 |          | Performance Criteria<br>& Concept Drawings<br>(PCCD) |
|                                      | <u>11/17/2014</u> | Prelim Plans (PP)               | <u>-</u> |  |
|                                      | <u>8/27/2018</u>  | Work Draw (WD)                  | <u>-</u> | Design-Build (D-B) <sup>(2)</sup>                    |
|                                      | <u>8/31/2020</u>  | Construction (C) <sup>(2)</sup> |          |  |
|                                      | <u>-</u>          | Equipment (E)                   |          |  |

| Month and Year                | Actual Project Disbursements | Project Disbursements for the Next 12 Months | Cumulative Disbursements | Phase of Project |
|-------------------------------|------------------------------|--|--------------------------|------------------|
| Prior Loan(s)                 | 1,313,071.37                 |  | 1,313,071                | C                |
| Current Loan                  | -                            |  | 1,313,071                | C                |
| Prior Interest                | 4,835.62                     |  | 1,317,907                |                  |
| Current Interest              | 38,730.78                    |  | 1,356,638                |                  |
| Administrative <sup>(3)</sup> | 20,438.00                    | 10,000.00                                    | 1,387,076                |                  |
| 1 Jan-20                      |                              | 1,100,000.00                                 | 2,487,076                | C                |
| 2 Feb-20                      |                              | 1,100,000.00                                 | 3,587,076                | C                |
| 3 Mar-20                      |                              | 850,000.00                                   | 4,437,076                | C                |
| 4 Apr-20                      |                              | 750,000.00                                   | 5,187,076                | C                |
| 5 May-20                      |                              | 700,000.00                                   | 5,887,076                | C                |
| 6 Jun-20                      |                              | 500,000.00                                   | 6,387,076                | C                |
| 7 Jul-20                      |                              | 400,000.00                                   | 6,787,076                | C                |
| 8 Aug-20                      |                              | 400,000.00                                   | 7,187,076                | C                |
| 9 Sep-20                      |                              | 400,000.00                                   | 7,587,076                | C                |
| 10 Oct-20                     |                              | 400,000.00                                   | 7,987,076                | C                |
| 11 Nov-20                     |                              | 365,000.00                                   | 8,352,076                | C                |
| 12 Dec-20                     |                              | -  | 8,353,000                | C                |

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months

State of California – State Treasurer’s Office

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

LOAN REQUEST

New Loan  Renewal

FOR STO USE ONLY  
PMIB NO. 1900015

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION  
(Completed by Requesting Department)

|   |  |   |  |
|---|--|---|--|
| Department Name:<br><b>Corrections and Rehabilitation</b>   |  | Total Project Appropriation:<br><b>\$294,101,545.00</b>                               | Amount Requested:<br><b>\$9,504,000.00</b> <del>19,507,000</del> <i>BM</i> |
| Appropriation(s) to be Funded:<br><b>SB 81, Ch. 175/07 (as amended),<br/>5225-801-0660, 61.01.132<br/>Local Youthful Offender Rehabilitative Facilities</b> |  | <b>Welfare and Institutions Code, Ch. 1.5<br/>Article 3, Sections 1970-1978</b>       | Date Funds Needed:<br><del>12/20/2019</del><br><b>1/17/20</b> <i>BM</i>    |
| Department Contact:<br><b>Michelle Weaver</b>   | Title:<br><b>Associate Director</b>  | Phone:<br><b>916-255-2239</b>   |  |
| Department Director’s Name (please print):<br><b>Dean L. Borg</b>   | Signature:<br><i>Dean L. Borg</i>  | Date:<br><b>10/31/19</b>  |  |
| Name of SPWB Project:<br><b>Orange County Juvenile Project, 61.01.842</b>   | Project Contact:<br><b>Michael Potter</b>  | Phone:<br><b>916-255-2158</b>   |  |
| SCO Fund # (for renewals only):   | Phase of Project (select all that apply):<br><input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B | Estimated Project Completion Date:<br><del>4/24/2021</del> <b>4/22/2021</b> <i>BM</i> |  |

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

|  |  |
|--|--|
| <input type="checkbox"/> To pay vendors directly?                | <input checked="" type="checkbox"/> To reimburse local government for vendor payments? |
| <input type="checkbox"/> To make advances to other state funds*? | <input type="checkbox"/> To make advances to State Architect Revolving Fund*?          |

\*If Yes, specify : .
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

| Date Action(s) Taken | Type of Authorization  |
|----------------------|--|
| 8/16/2019            | <input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale |
|                      | <input type="checkbox"/> Loan <input type="checkbox"/> Sale            |
|                      | <input type="checkbox"/> Loan <input type="checkbox"/> Sale            |

PART II. RECOMMENDATION AND CONDITIONS  
(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ \_\_\_\_\_ to be used to pay/reduce existing PMIB loan # \_\_\_\_\_

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

PART III. RECOMMENDATION  
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
 PMIB NO.  
**1900015**

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$294,101,545.00  
 Loan Amount: ~~\$9,504,000.00~~  
~~\$9,503,000.00~~ *XJK*  
 Proposed Funding Date: ~~12/20/2019~~  
 1/17/2020 *gw*

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)       State Building Construction Act       Other, specify: \_\_\_\_\_

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/16/2019, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
**Corrections and Rehabilitation**  
 Signature: Department Director *Dean Lee Borg* Date: 10/30/19

The State Public Works Board certifies by the signature below. (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director *Alexander* Date: 12-2-19

| POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION   |     |      |
|---|-----|------|
| The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows: |     |      |
| MEMBER  | AYE | NO   |
| Treasurer of the State of California  |     |      |
| Controller of the State of California   |     |      |
| Director of Finance of the State of California  |     |      |
| Conditions (if applicable):   |     |      |
| Signature: Executive Secretary of the Pooled Money Investment Board   |     | Date |

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Corrections and Rehabilitation  
 Project Name: Orange County Juvenile Facility  
 Date: 12/2/2019

**Loan Amount <sup>(1)</sup>: \$ 9,507,000**

Estimated Project  
 Completion Date: 4/22/2021

|                                      |                  |                                 |            |  |
|--------------------------------------|------------------|---------------------------------|------------|--|
| Estimated Phase<br>Completion Dates: | <u>N/A</u>       | Acquisition (A)                 |            | Performance<br>Criteria & Concept<br>Drawings (PCCD) |
|                                      | <u>3/2/2017</u>  | Prelim Plans (PP)               | <u>N/A</u> |  |
|                                      | <u>5/10/2019</u> | Work Draw (WD)                  | <u>N/A</u> | Design-Build (D-B) <sup>(2)</sup>                    |
|                                      | <u>4/22/2021</u> | Construction (C) <sup>(2)</sup> |            |  |
|                                      | <u>N/A</u>       | Equipment (E)                   |            |  |

|    | Month and Year                | Actual Project Disbursements | Project Disbursements for the Next 12 Months | Cumulative Disbursements | Phase of Project |
|----|-------------------------------|------------------------------|--|--------------------------|------------------|
|    | Prior Loan(s)                 | -                            |  | -                        |                  |
|    | Current Loan                  | -                            |  | -                        |                  |
|    | Prior Interest                |                              |  |                          |                  |
|    | Current Interest              |                              |  |                          |                  |
|    | Administrative <sup>(3)</sup> |                              | 10,000.00                                    | 10,000                   |                  |
| 1  | Jan-20                        |                              | -  | 10,000                   | C                |
| 2  | Feb-20                        |                              | -  | 10,000                   | C                |
| 3  | Mar-20                        |                              | -  | 10,000                   | C                |
| 4  | Apr-20                        |                              | 877,324.00                                   | 887,324                  | C                |
| 5  | May-20                        |                              | -  | 887,324                  | C                |
| 6  | Jun-20                        |                              | -  | 887,324                  | C                |
| 7  | Jul-20                        |                              | 3,619,056.00                                 | 4,506,380                | C                |
| 8  | Aug-20                        |                              | -  | 4,506,380                | C                |
| 9  | Sep-20                        |                              | -  | 4,506,380                | C                |
| 10 | Oct-20                        |                              | 5,000,048.00                                 | 9,506,428                | C                |
| 11 | Nov-20                        |                              | -  | 9,506,428                | C                |
| 12 | Dec-20                        |                              | -  | 9,507,000                | C                |

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months